

Spectector: Principled detection of speculative information flows

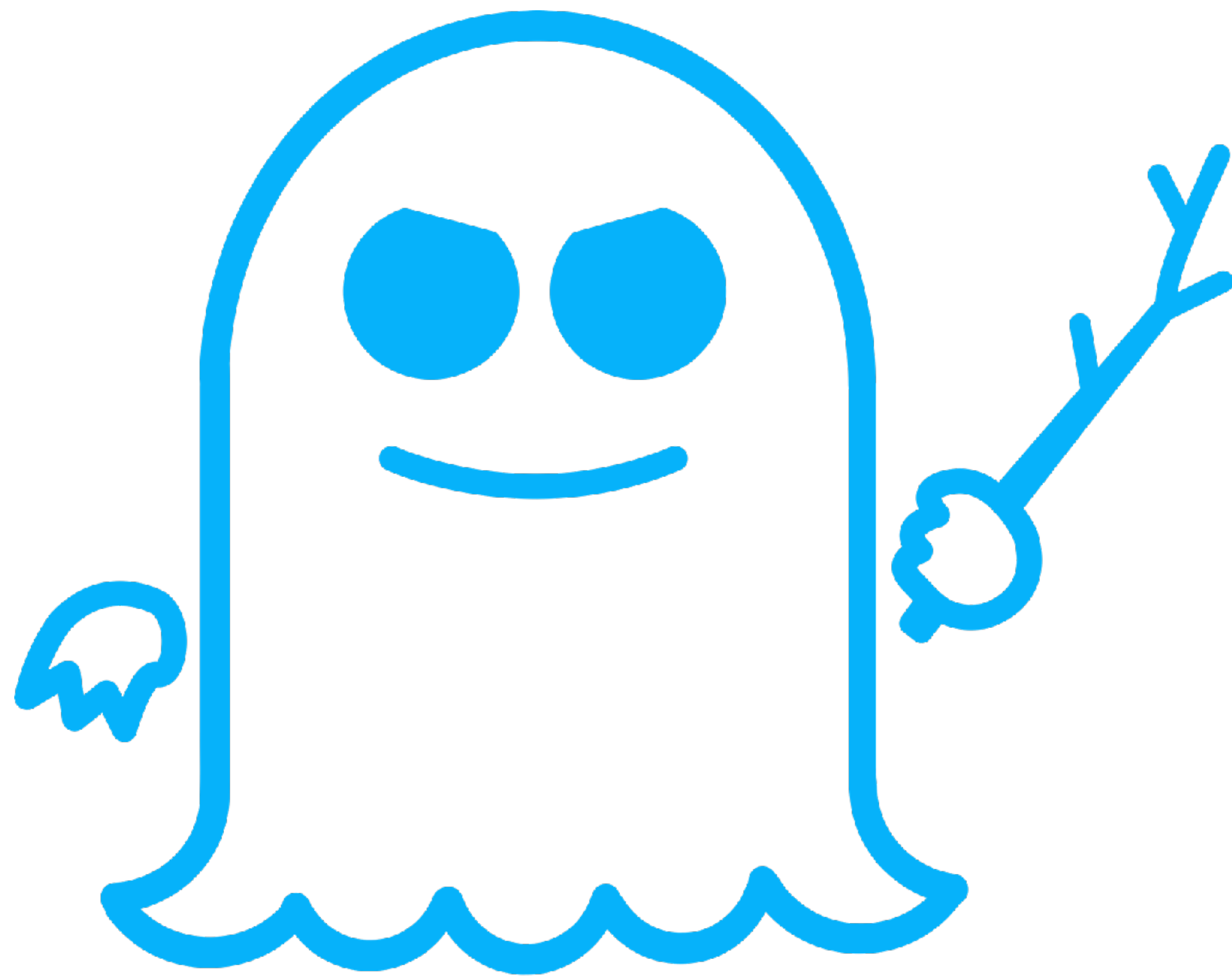
Marco Guarnieri
IMDEA Software Institute

Joint work with

José F. Morales, Andrés Sánchez @ IMDEA Software Institute

Boris Köpf @ Microsoft Research

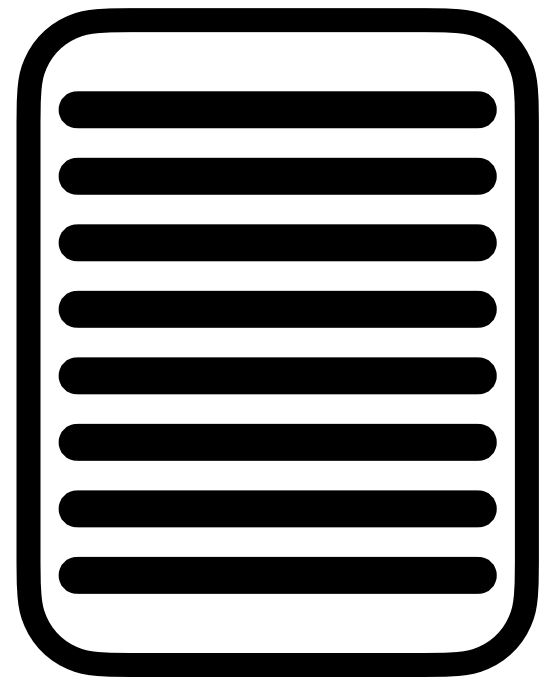
Jan Reineke @ Saarland University



SPECTRE

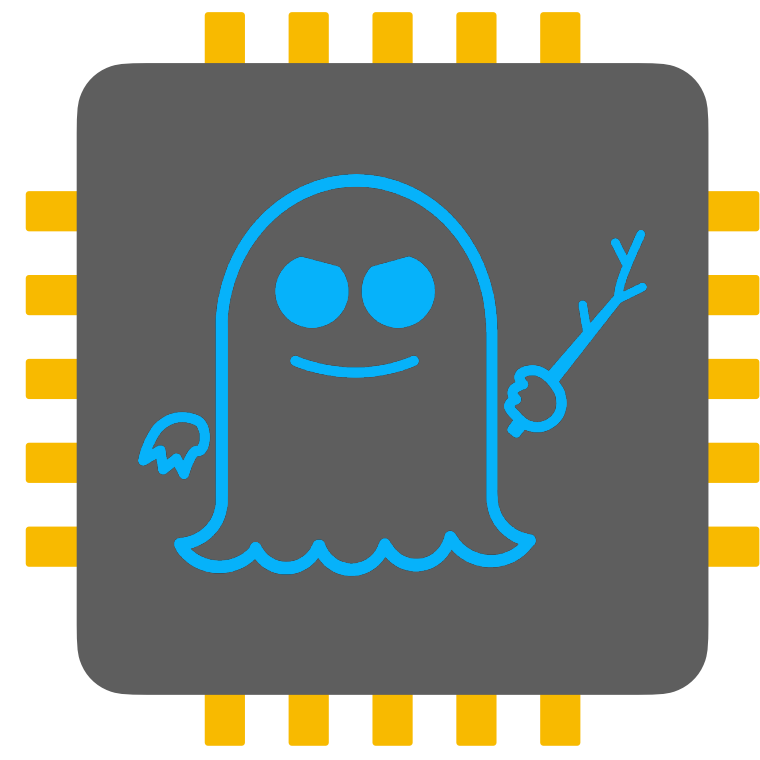
Exploits *speculative execution*

Almost *all* modern *CPUs* are *affected*



Program

+

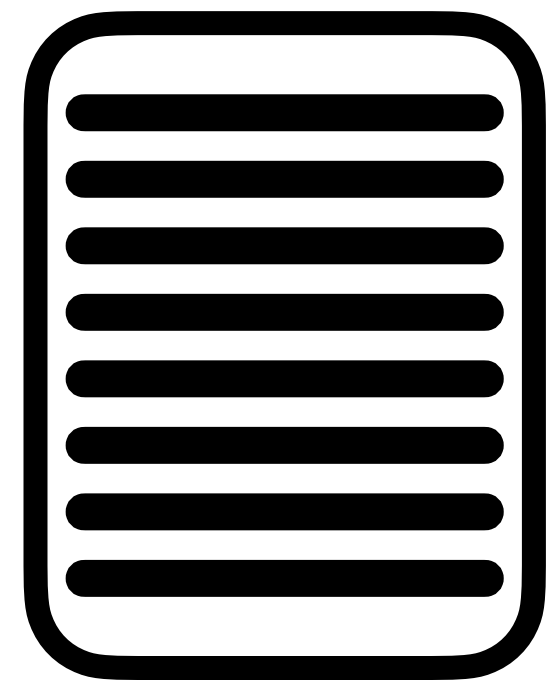


CPU with *speculative execution*

=

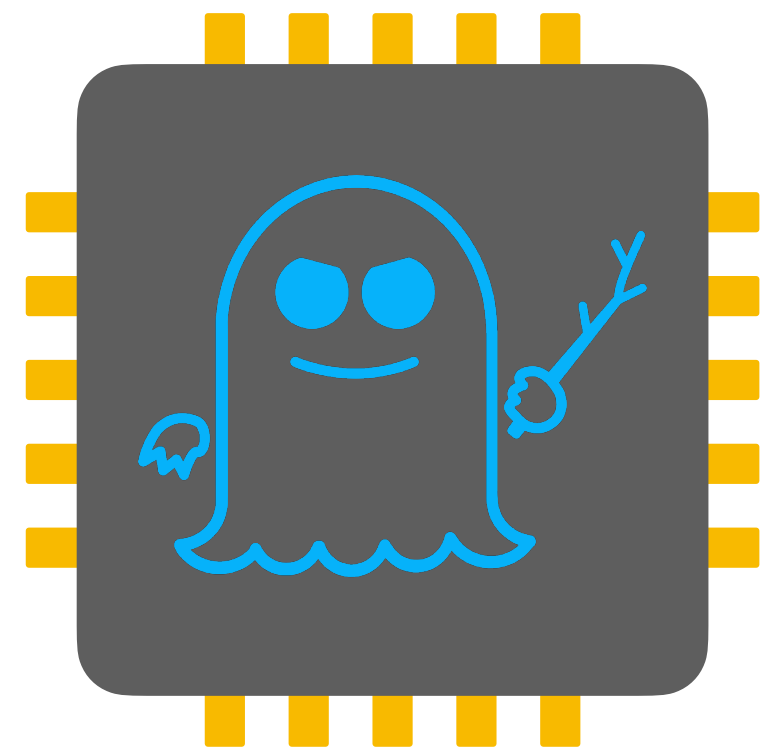
Secure?





Program

+



CPU with **speculative execution**

=

Secure?



In this talk..

1. **Semantic notion of security** against **speculative execution attacks**

2. Analysis to **detect vulnerability** or **prove security**

Speculative execution attacks 101

Speculative execution + branch prediction

Size of array **A**

```
if (x < A_size)  
    y = B[A[x]]
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Speculative execution + branch prediction

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Branch predictor

Speculative execution + branch prediction

Prediction based on *branch history* & *program structure*

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Branch predictor

Wrong prediction? **Rollback changes!**



Architectural (ISA) state



Microarchitectural state

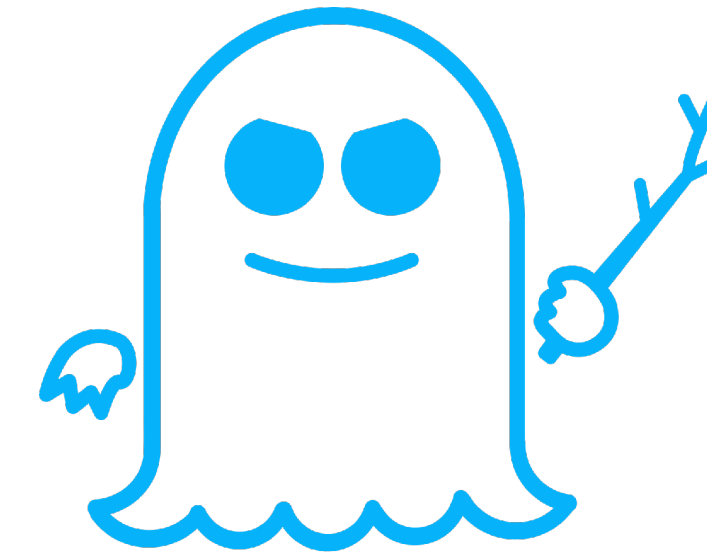
Spectre V1

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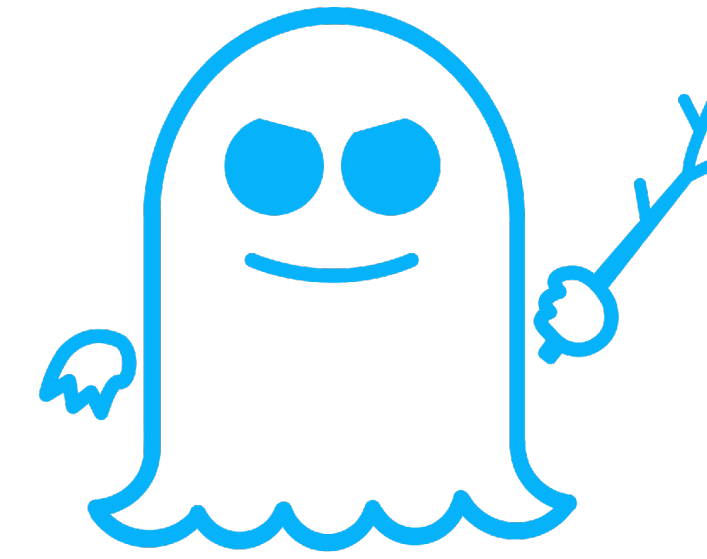
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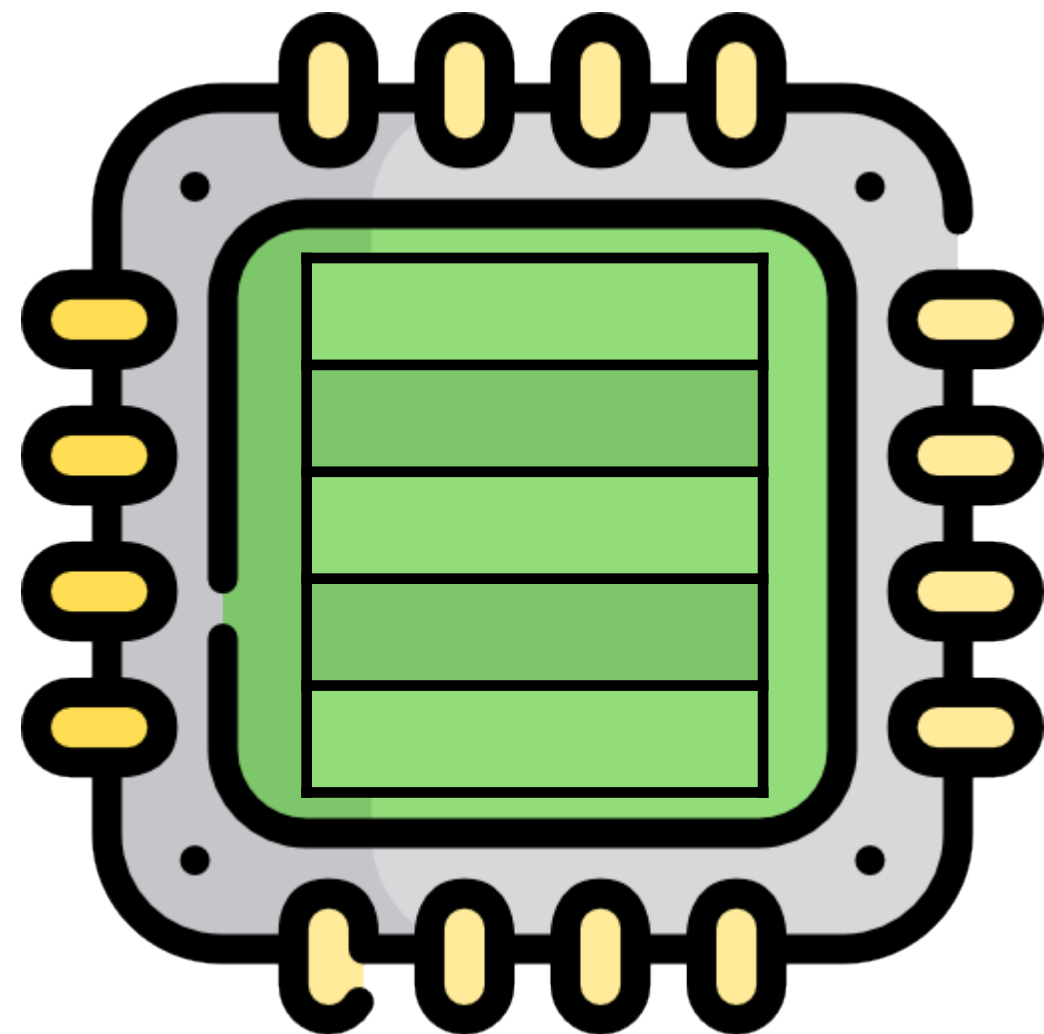
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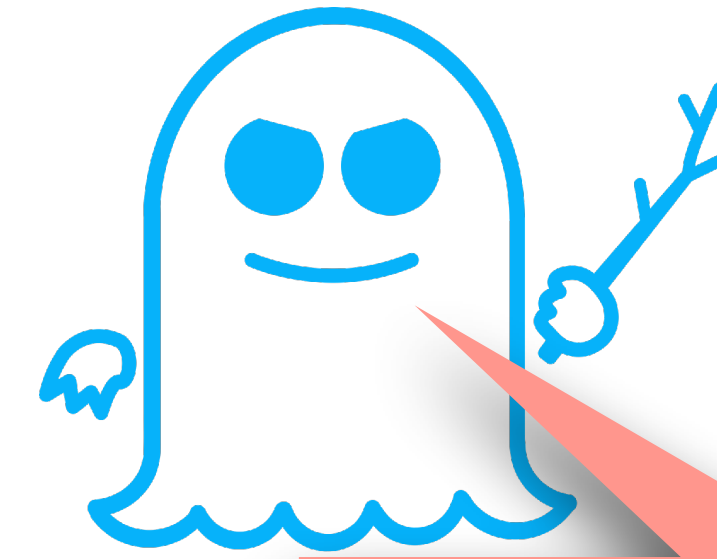
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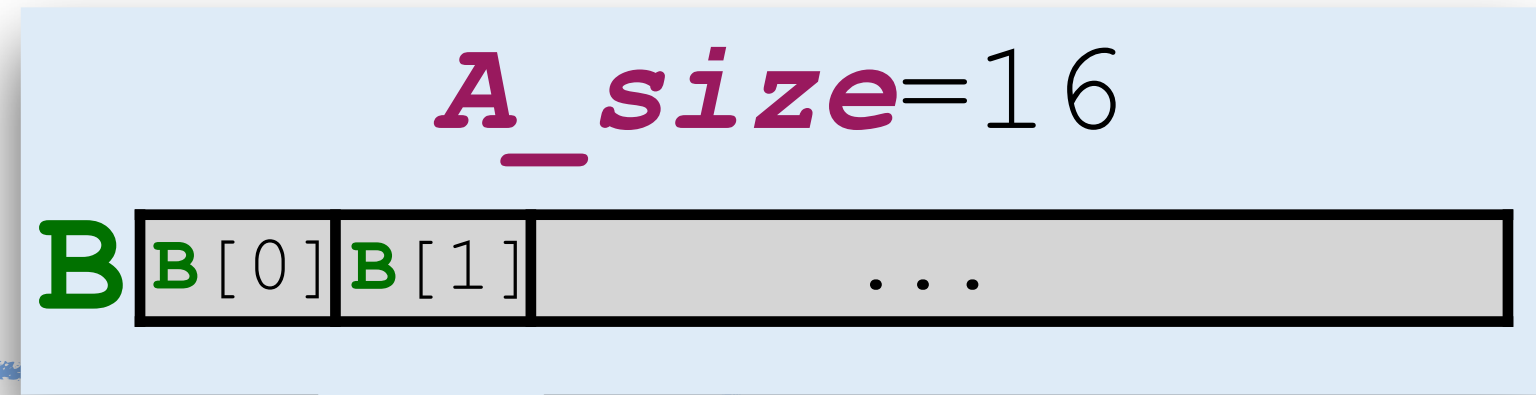
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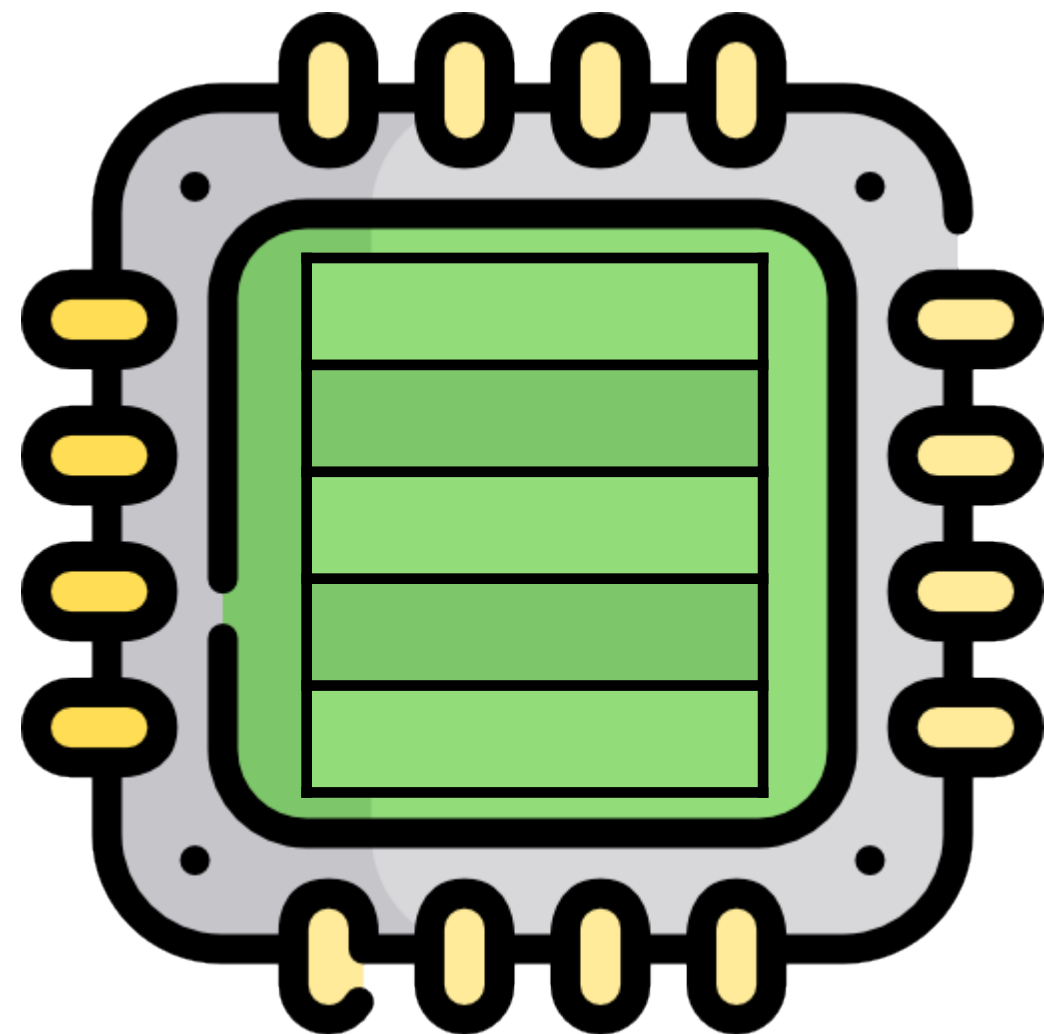
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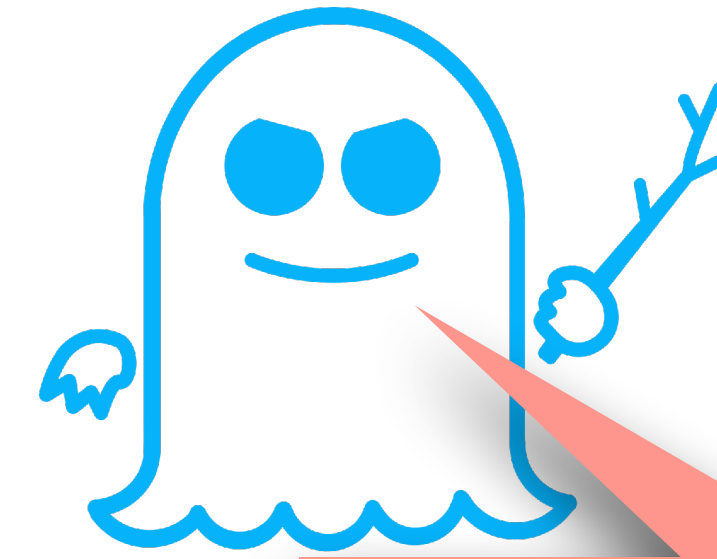
What is in **A**[128]?



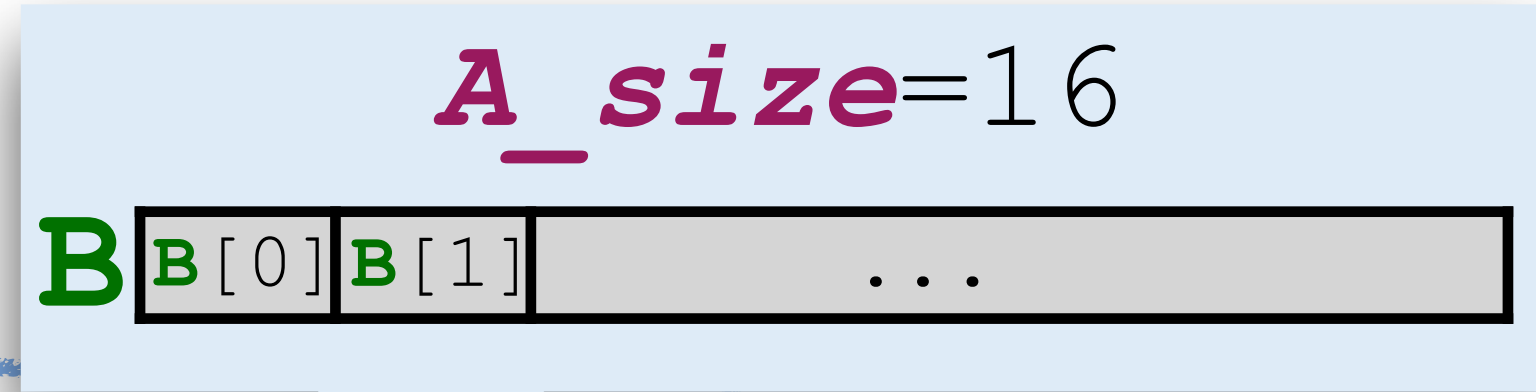
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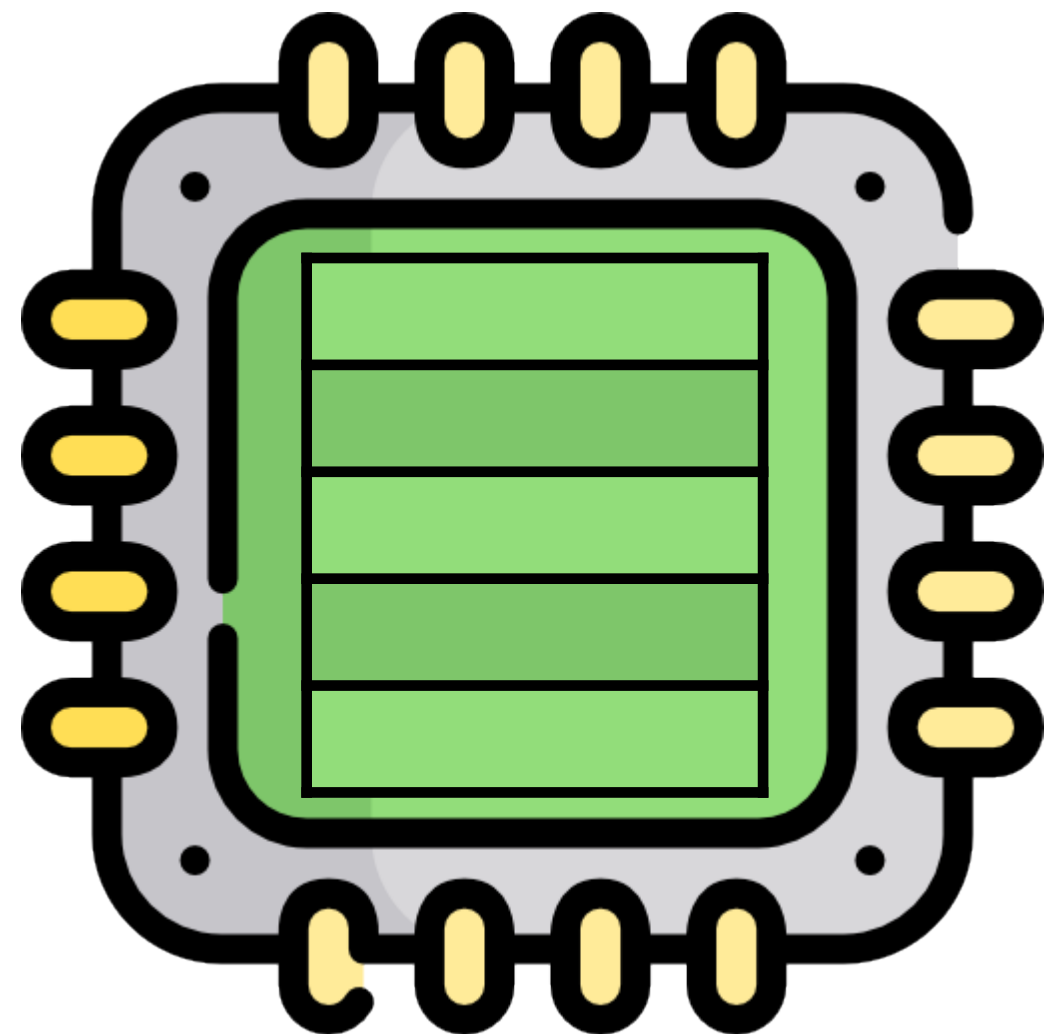
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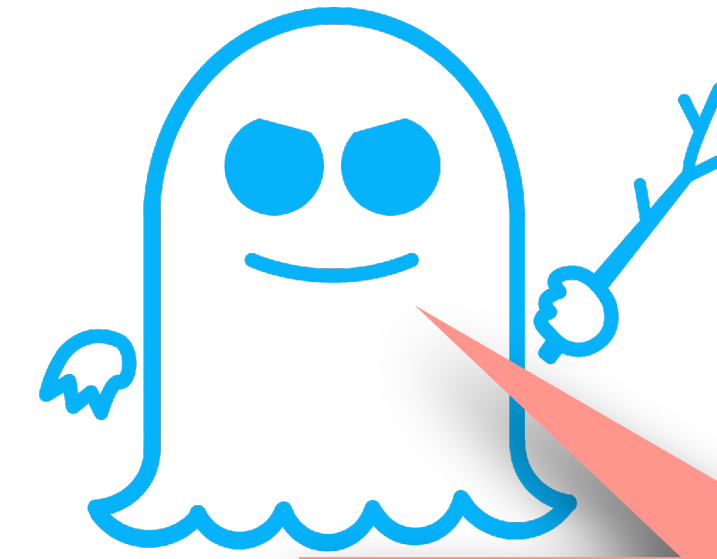
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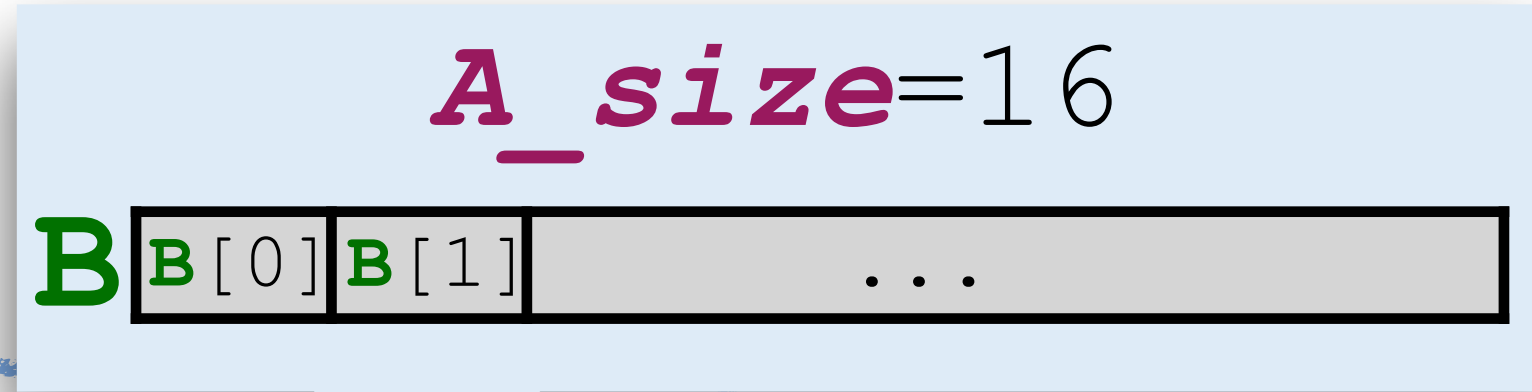
1) Train branch predictor



Spectre V1



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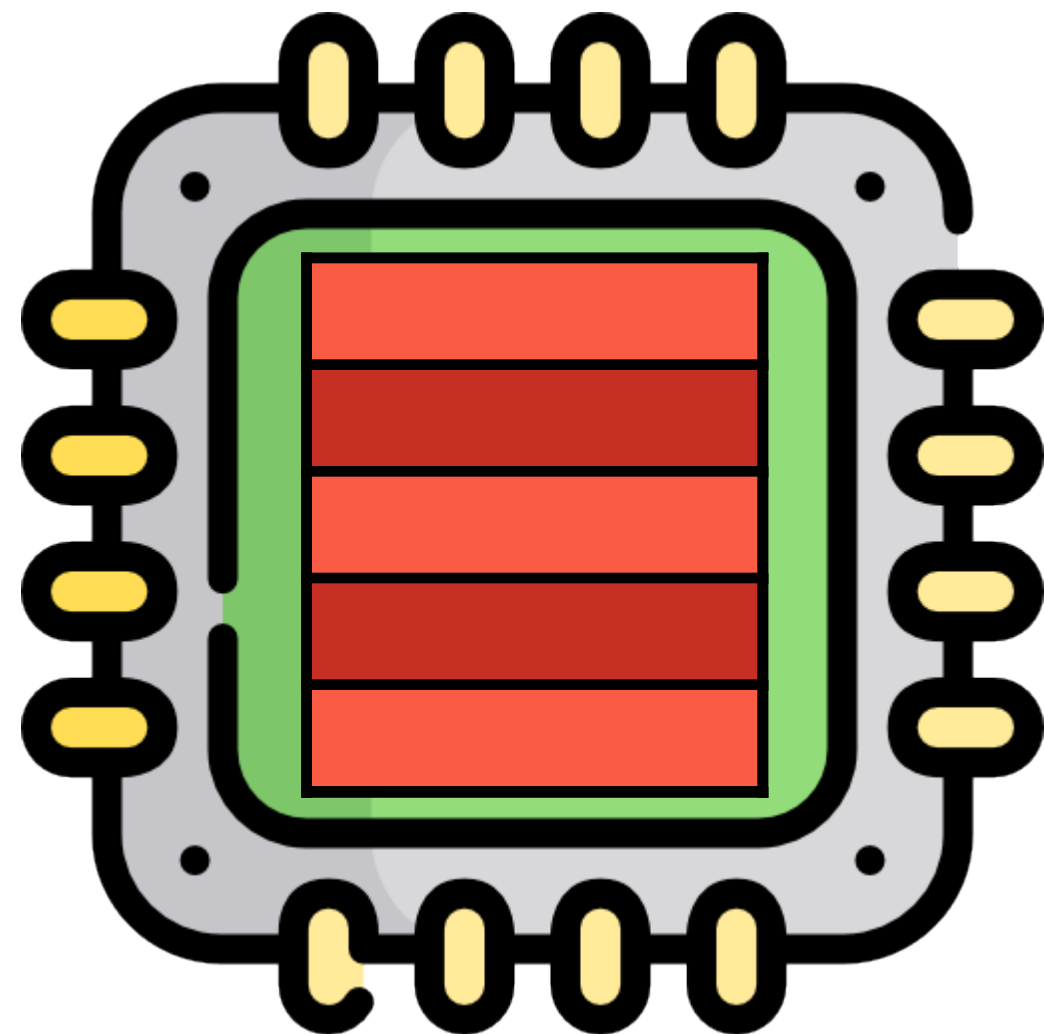


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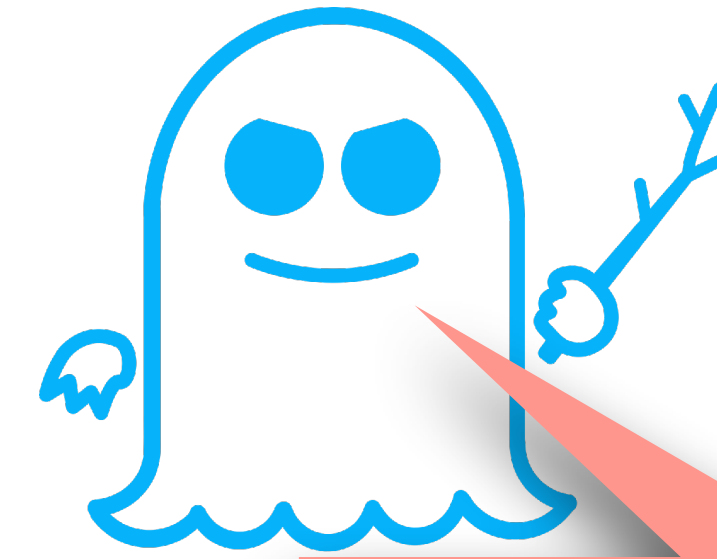


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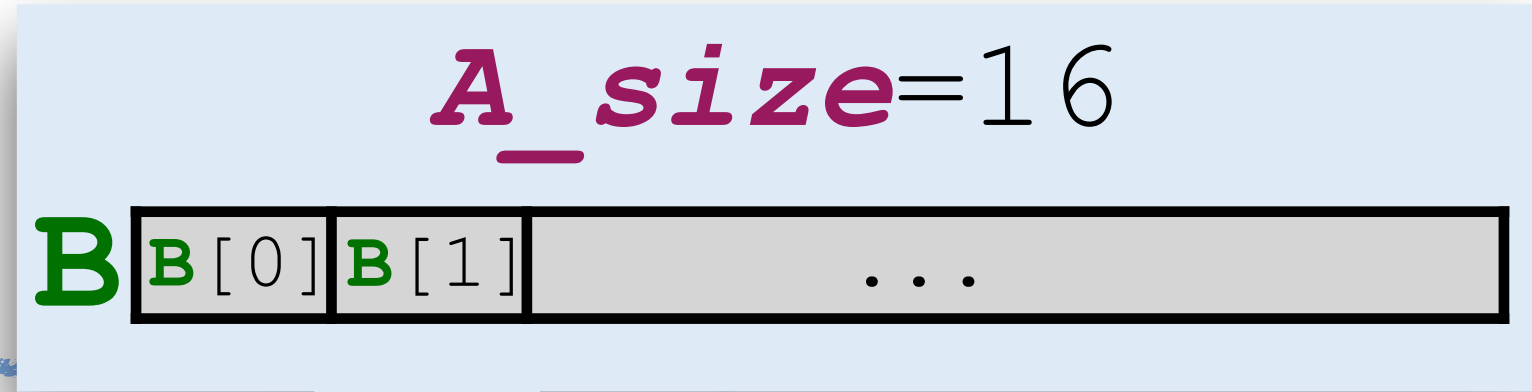
2) Prepare cache



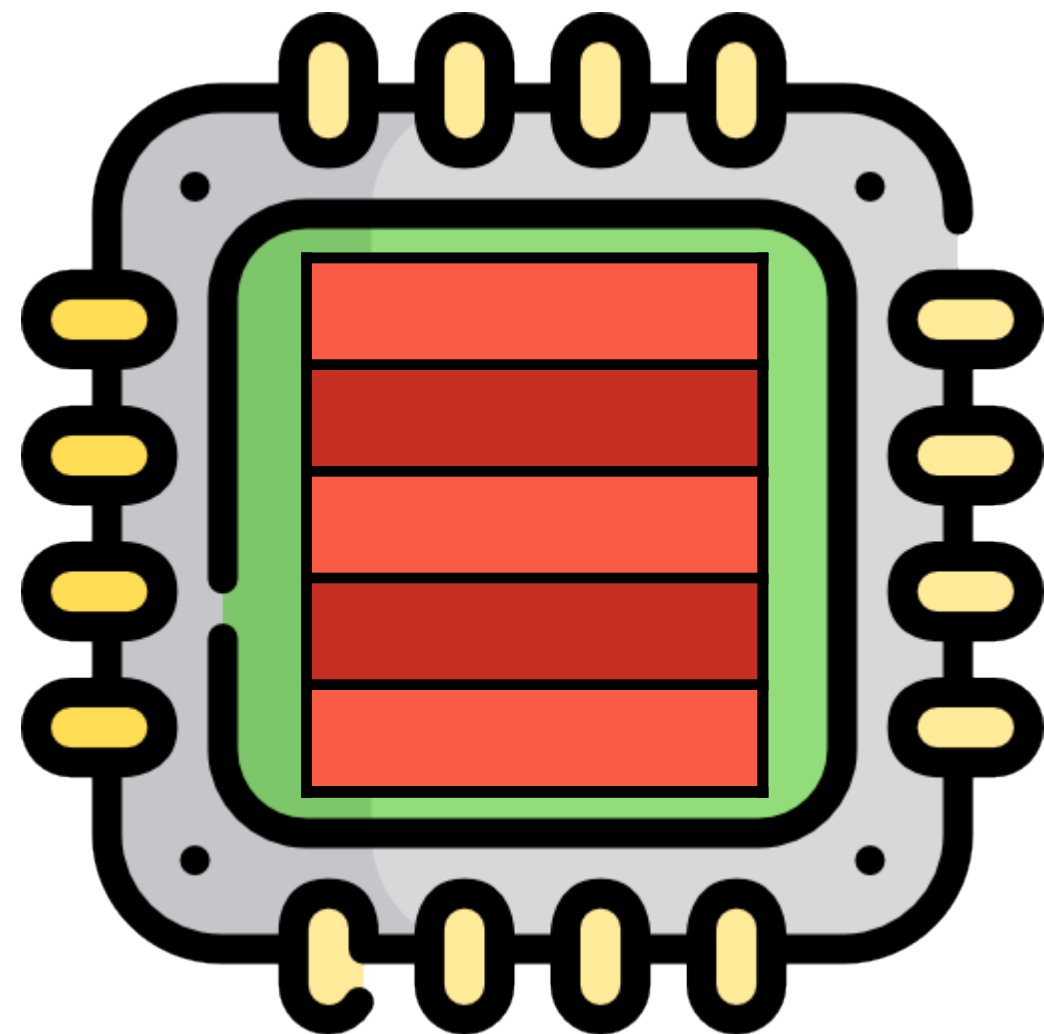
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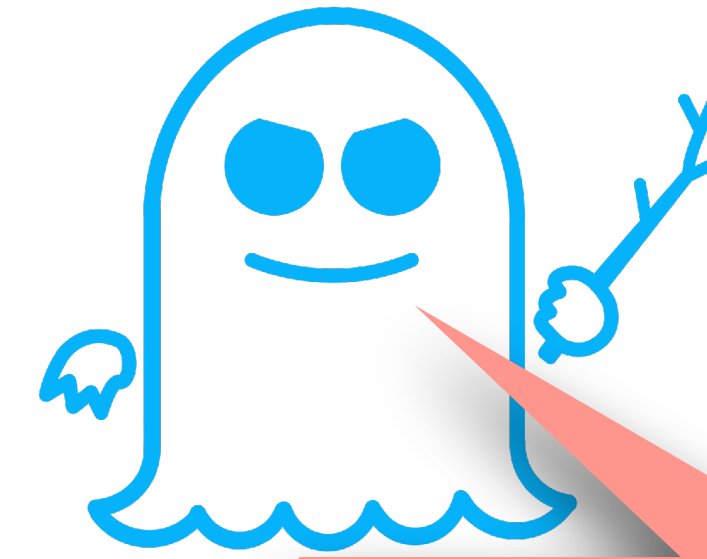


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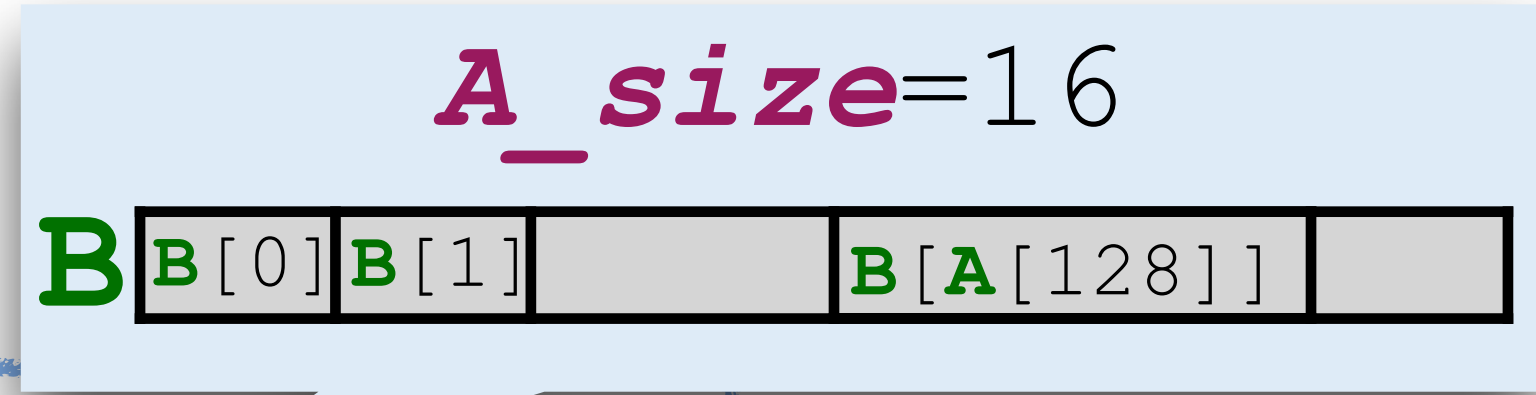
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3) Run with $x = 128$

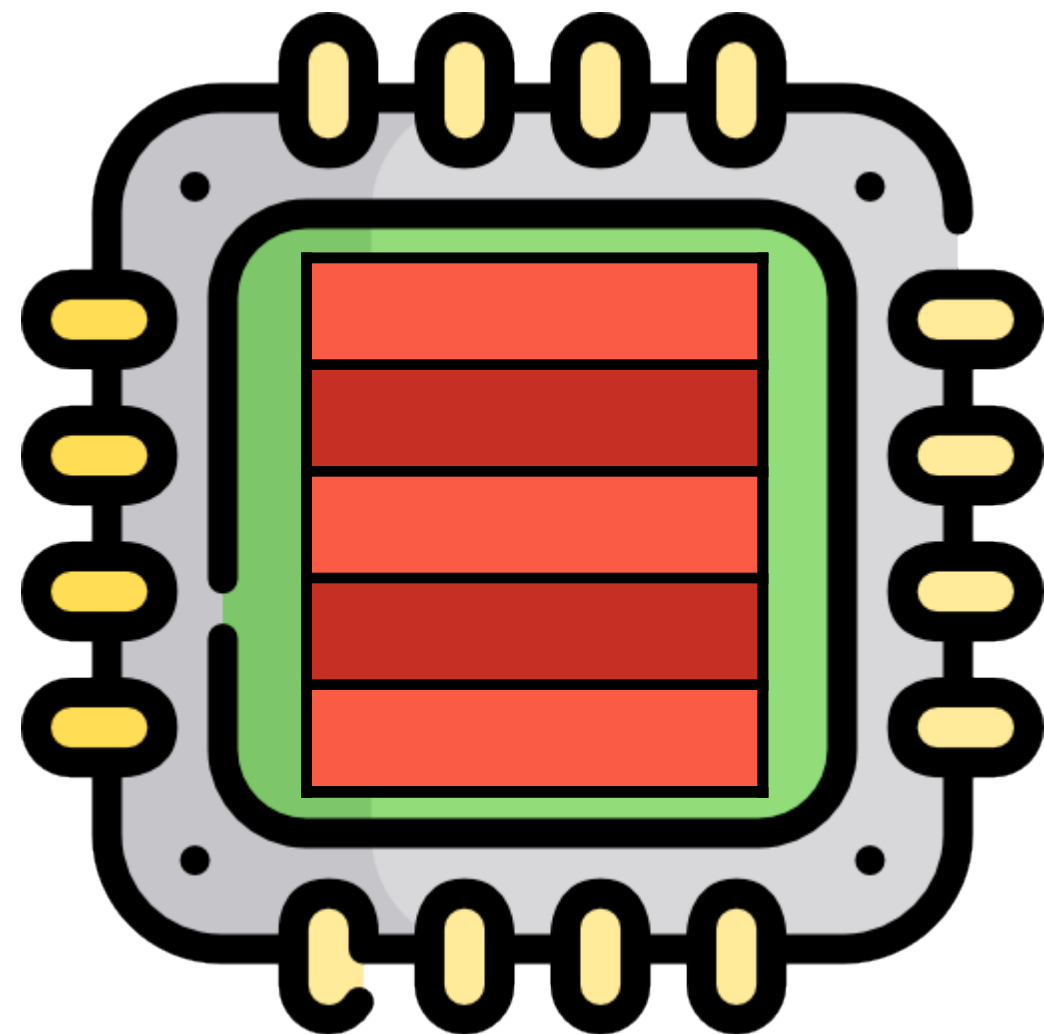
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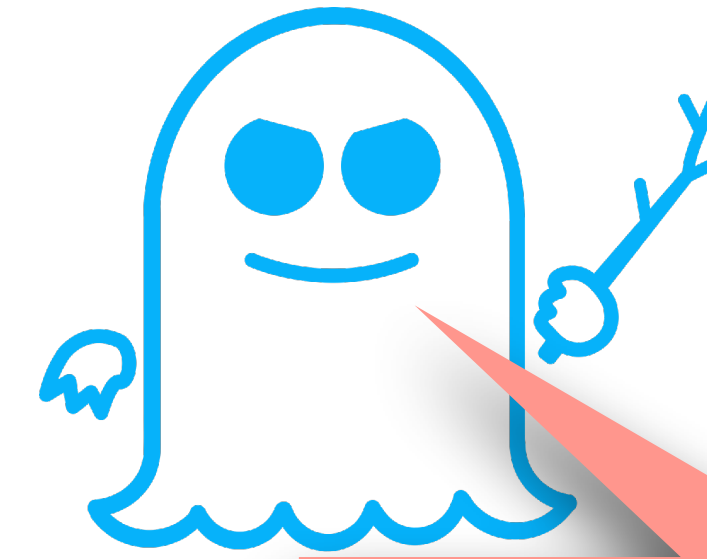


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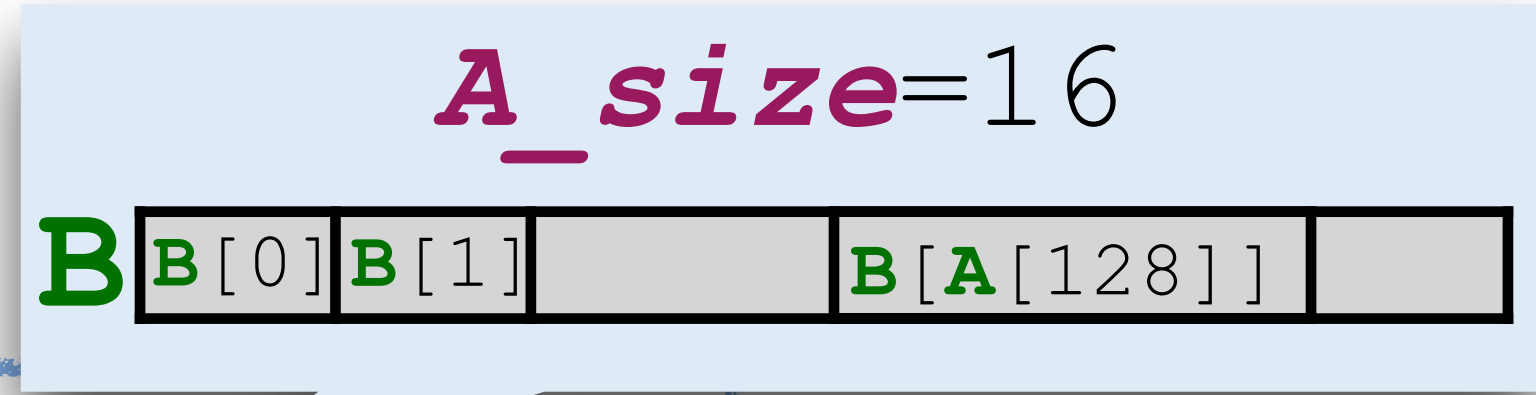
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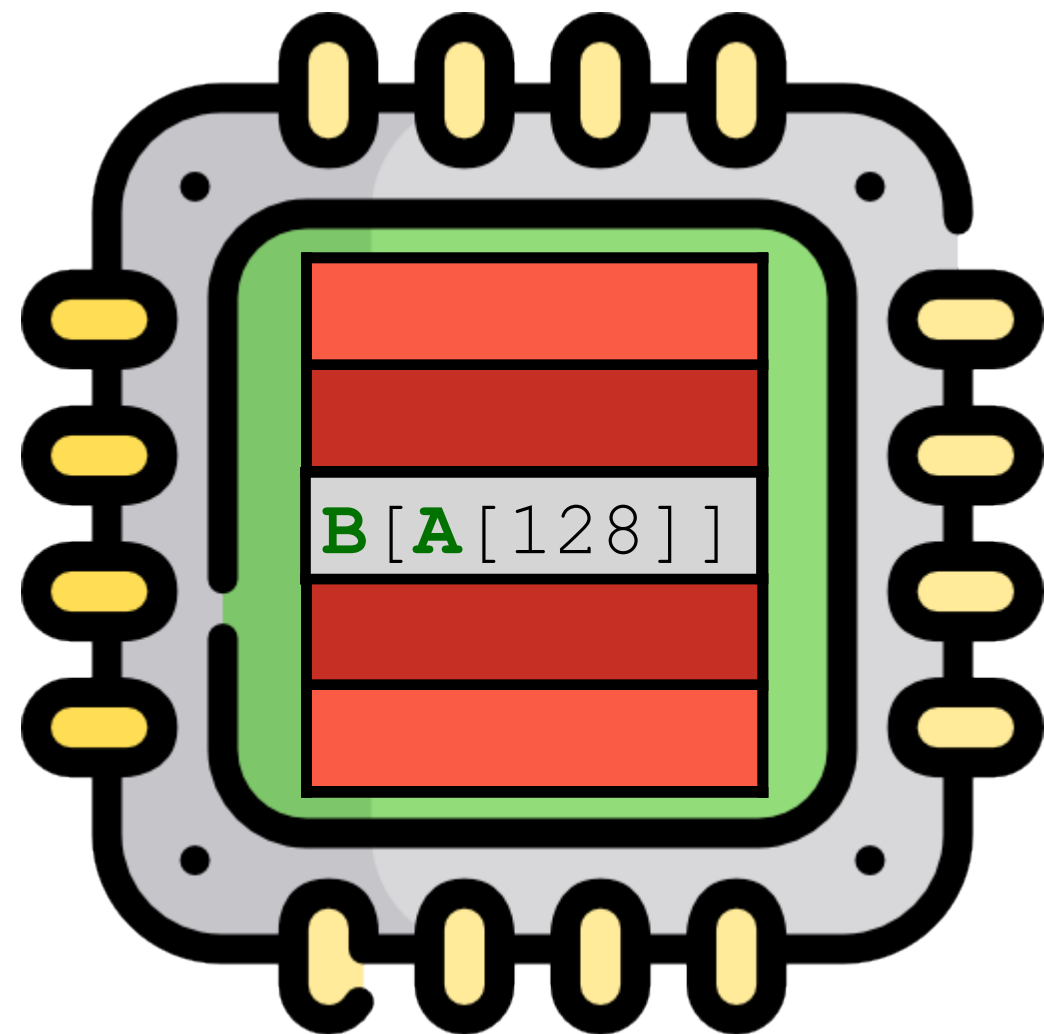
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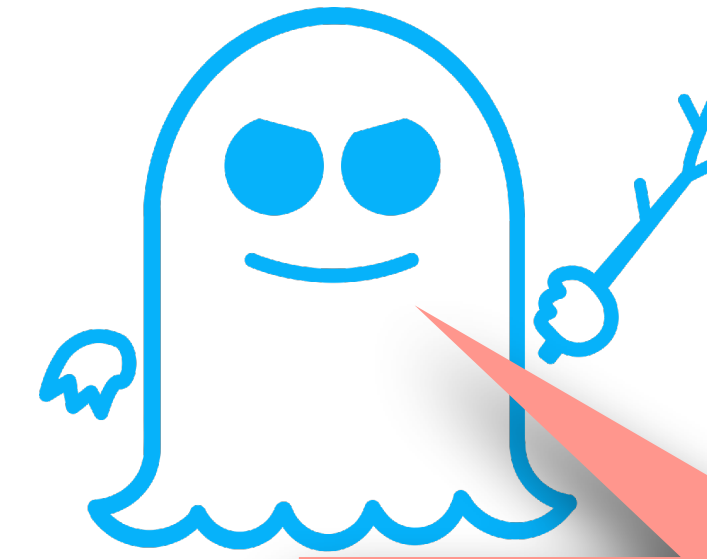


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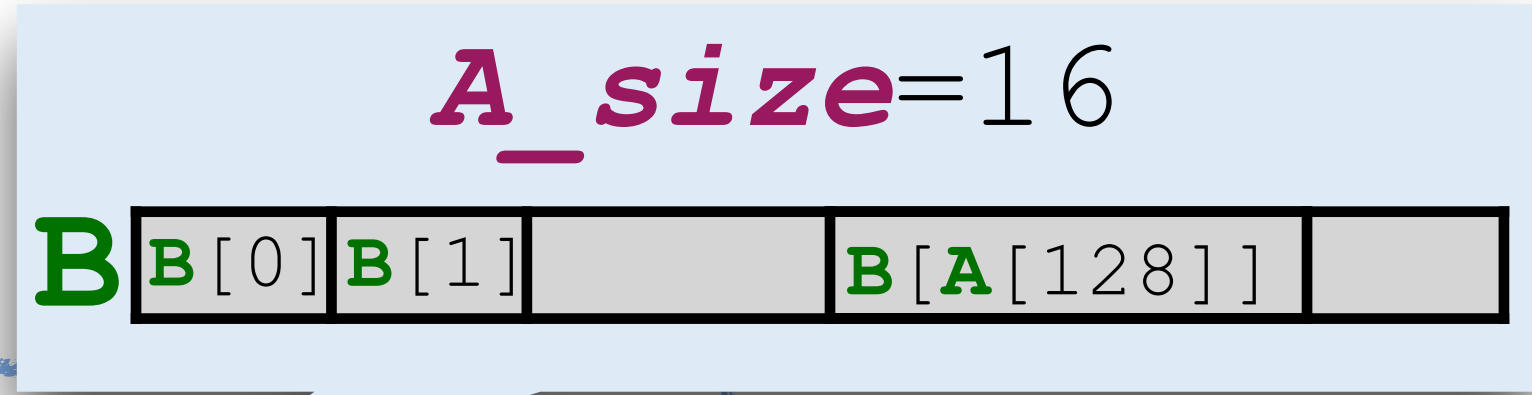
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Spectre V1



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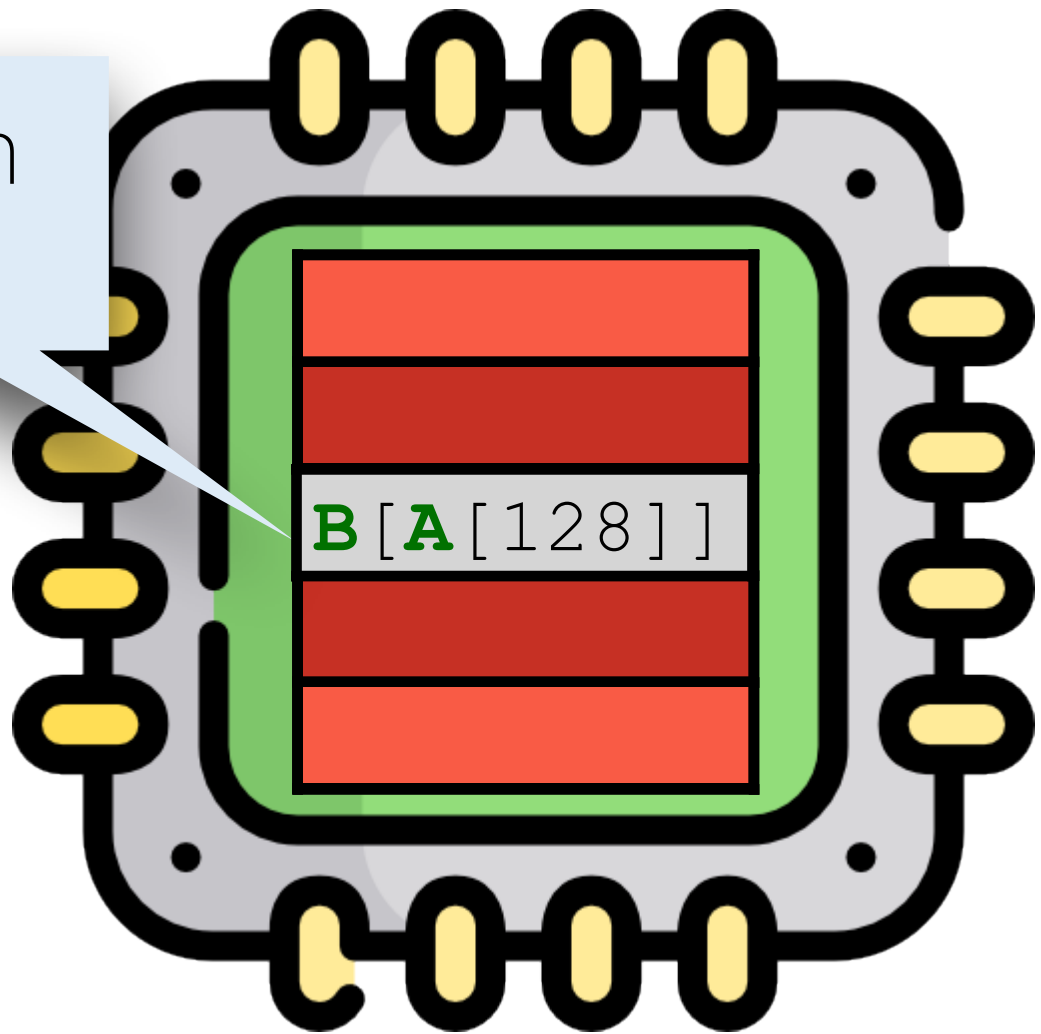


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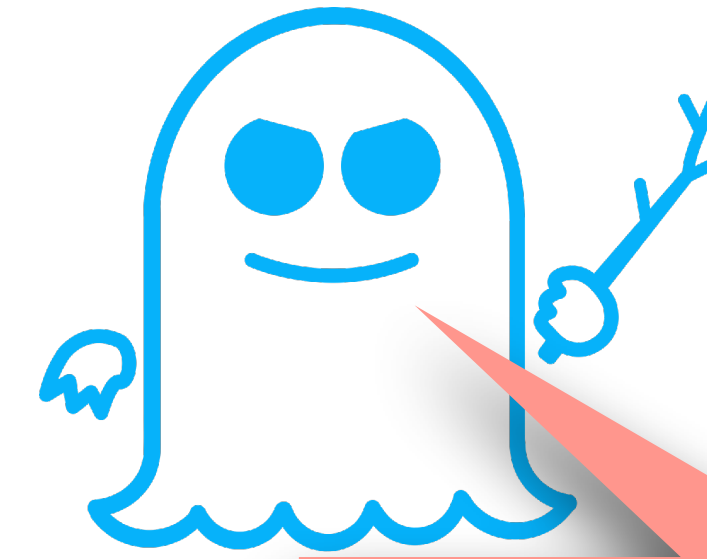
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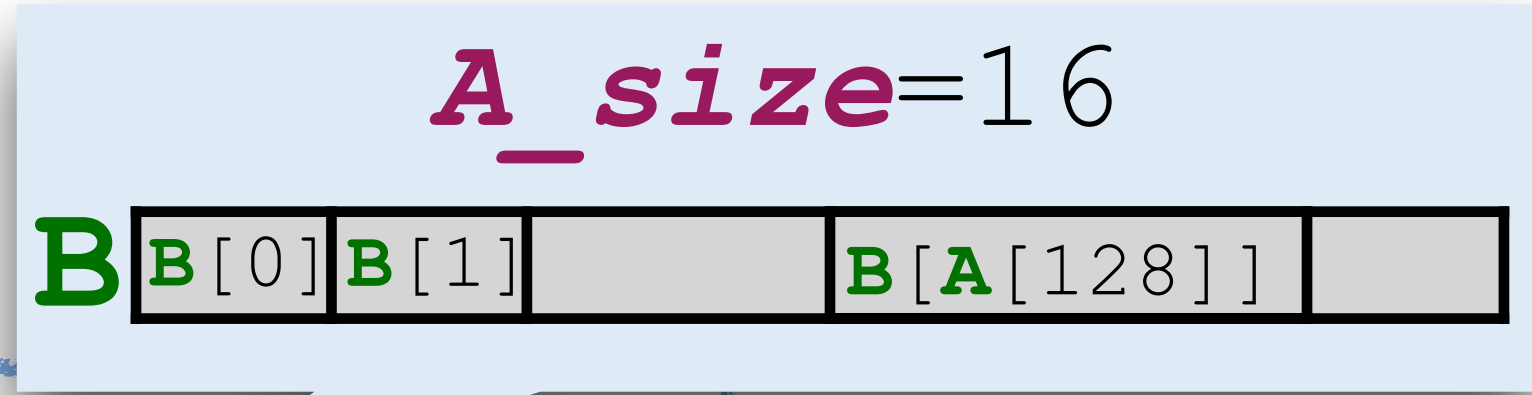
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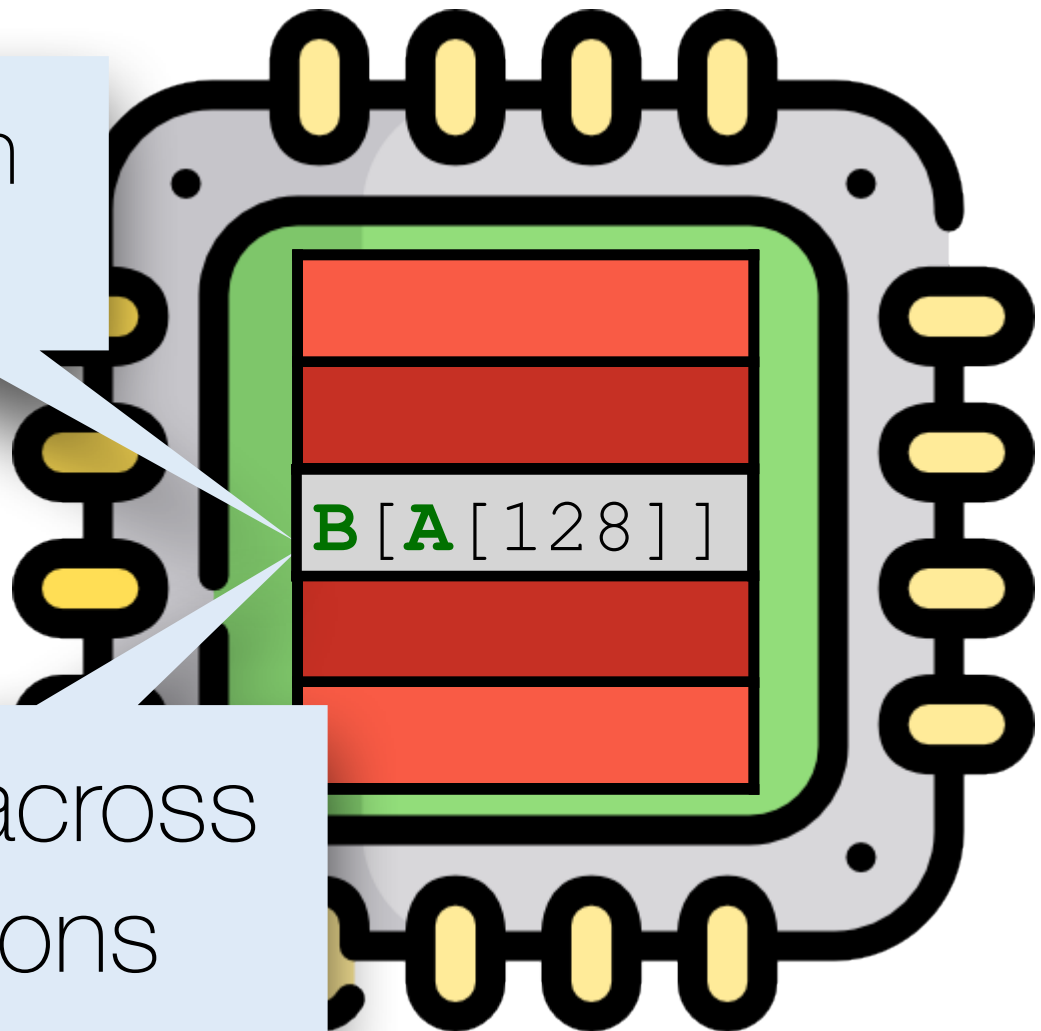


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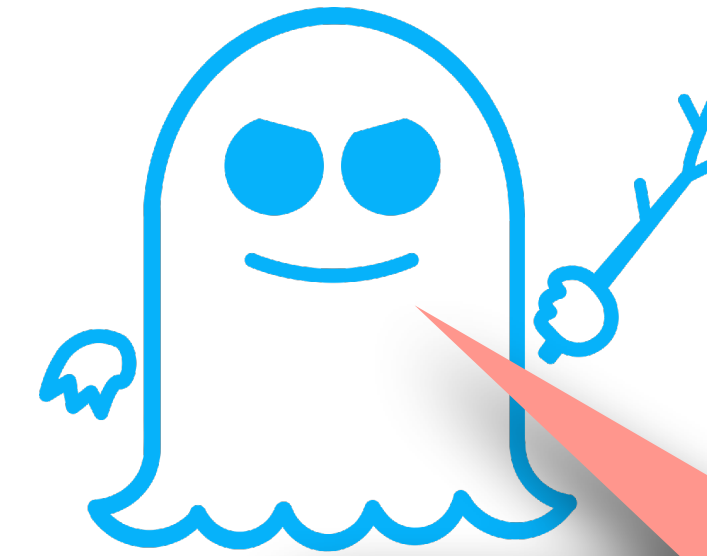
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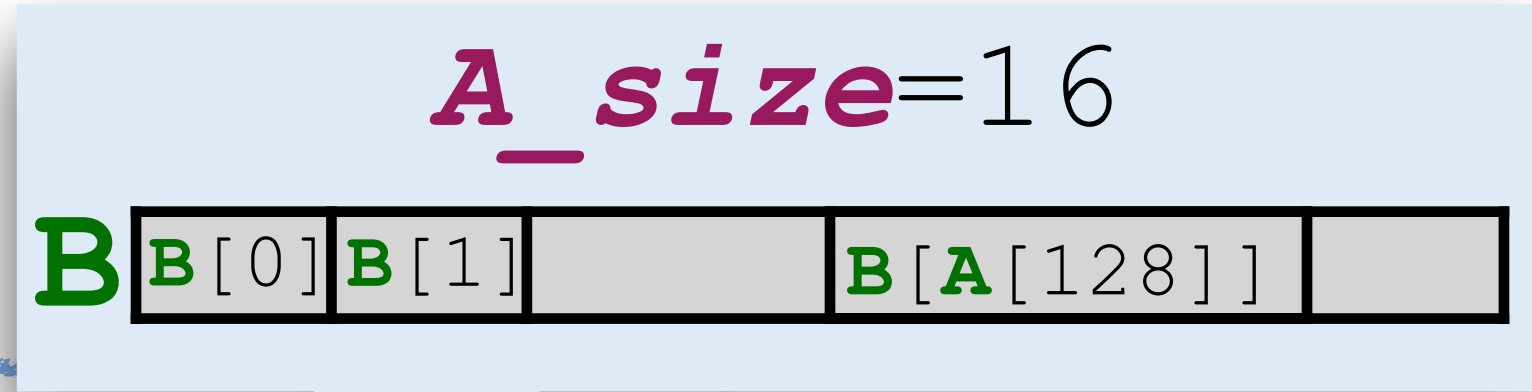


Persistent across speculations

Spectre V1



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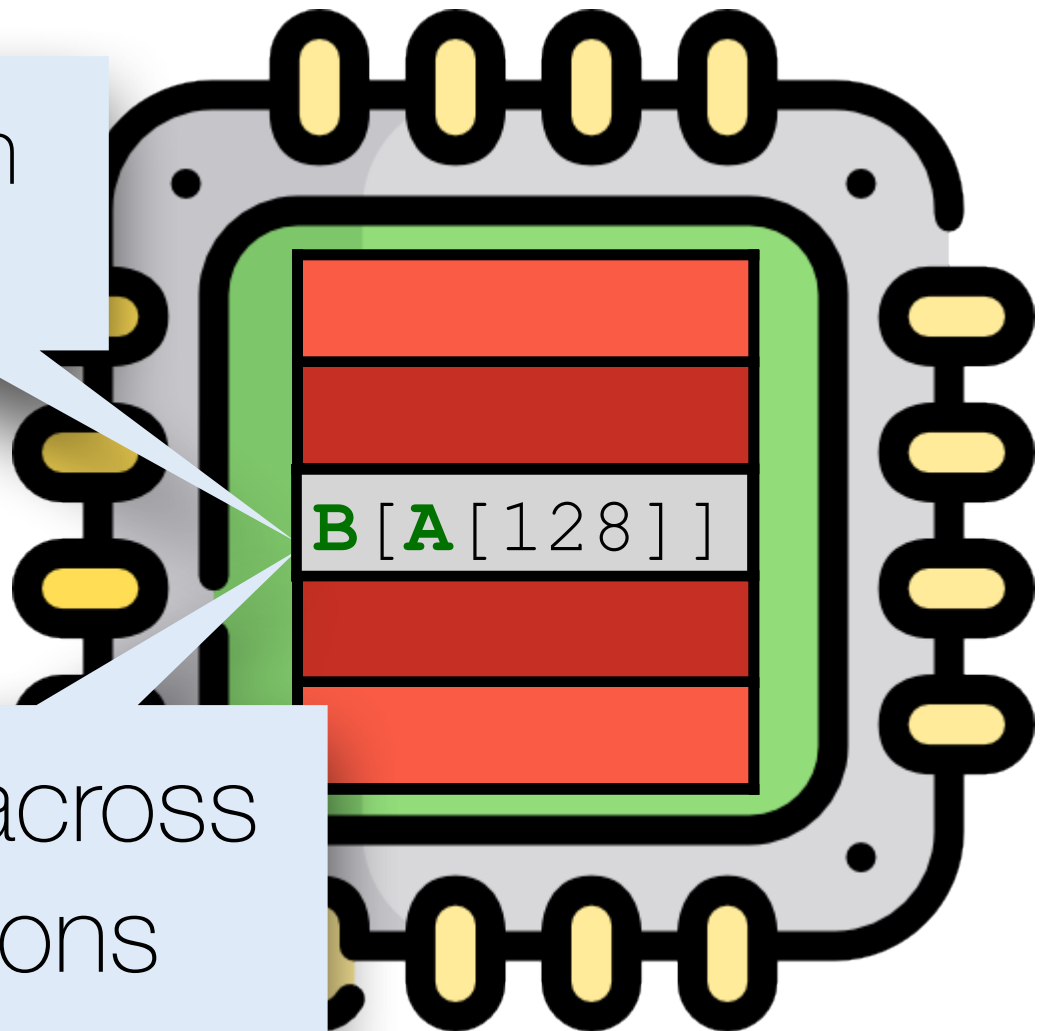
1) Train branch predictor

2) Prepare cache

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4) Extract from cache

Depends on **A**[128]



Persistent across speculations

Speculative non-interference

Speculative non-interference

Program **P** is **speculatively non-interferent** if

$$\text{Leakage}(\mathbf{P}, \text{chip}) = \text{Leakage}(\mathbf{P}, \text{ghost})$$

Information leaked by
executing **P** *without*
speculative execution

Information leaked by
executing **P** *with*
speculative execution

How to capture leakage?

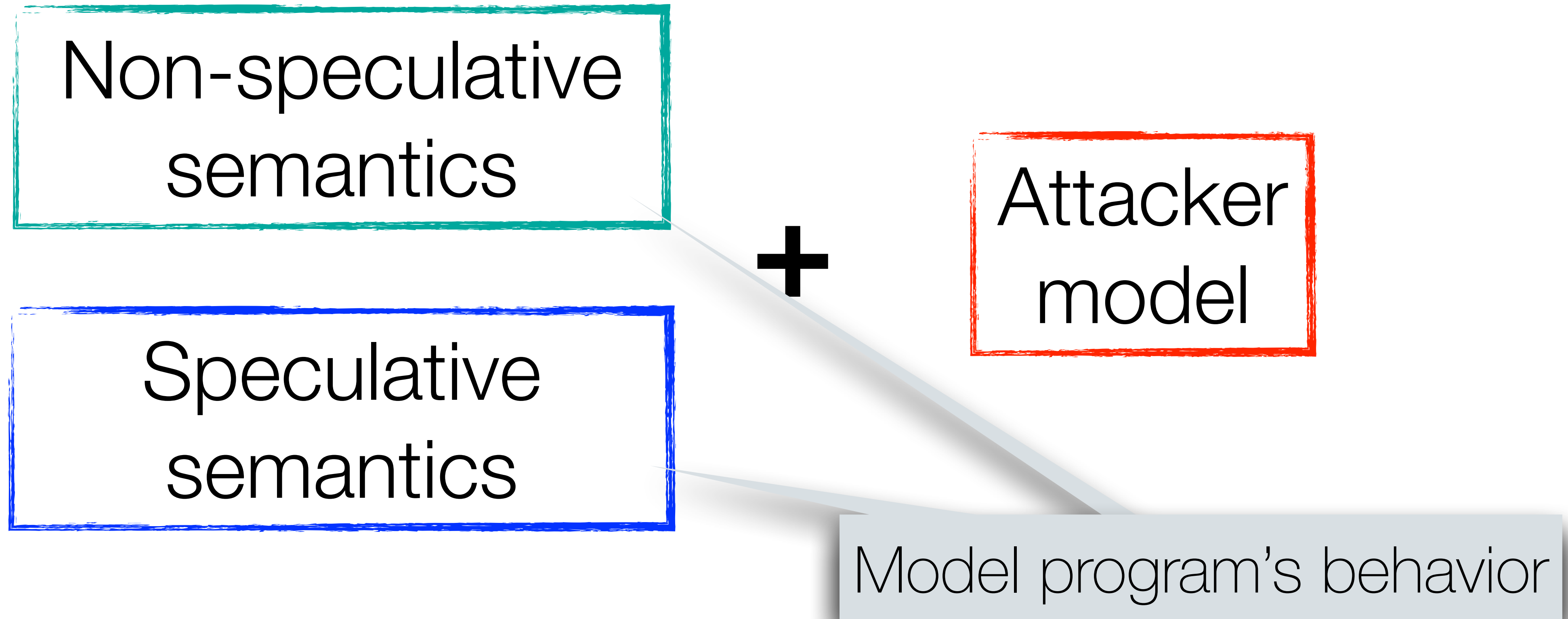
Non-speculative
semantics

+

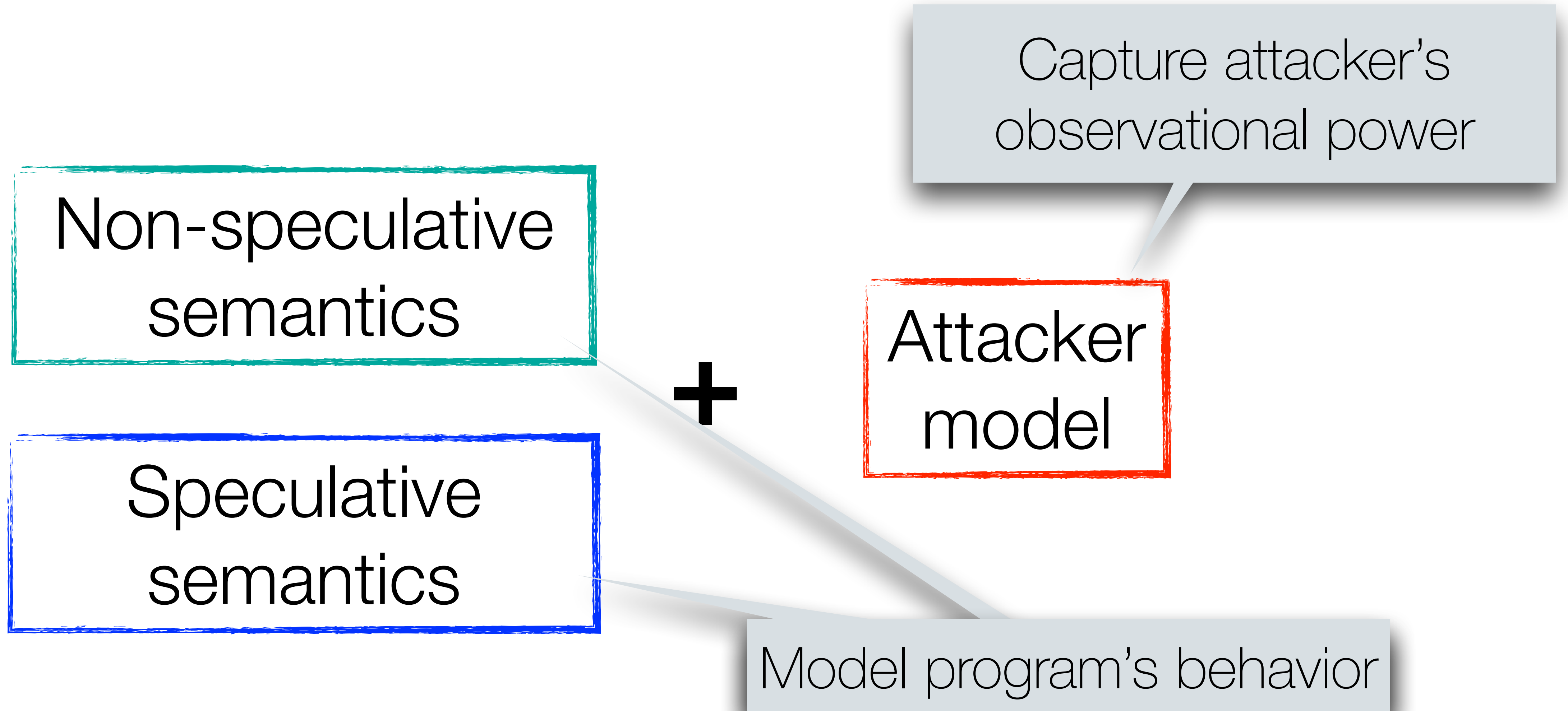
Attacker
model

Speculative
semantics

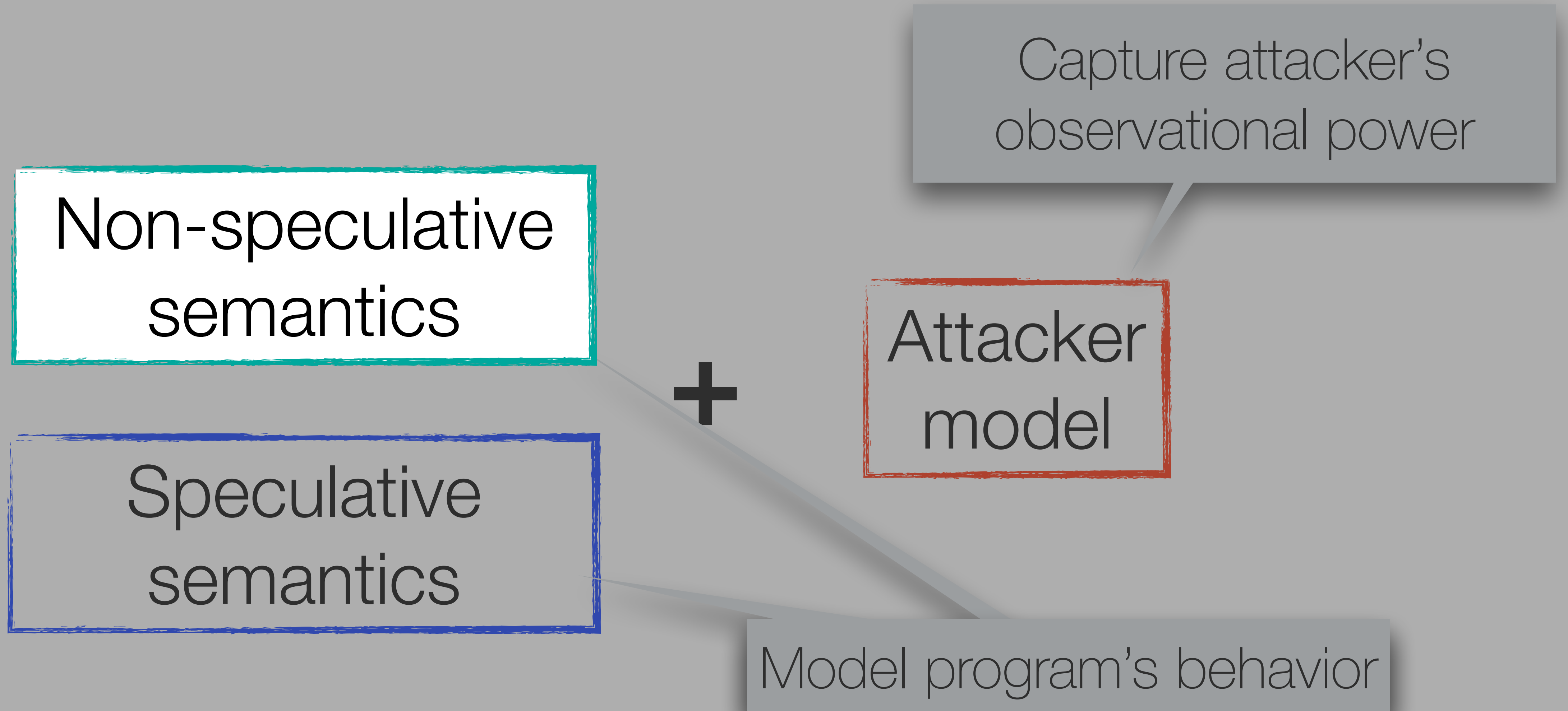
How to capture leakage?



How to capture leakage?



How to capture leakage?



How to capture leakage?

Standard *in-order* semantics

Non-speculative semantics

Speculative semantics

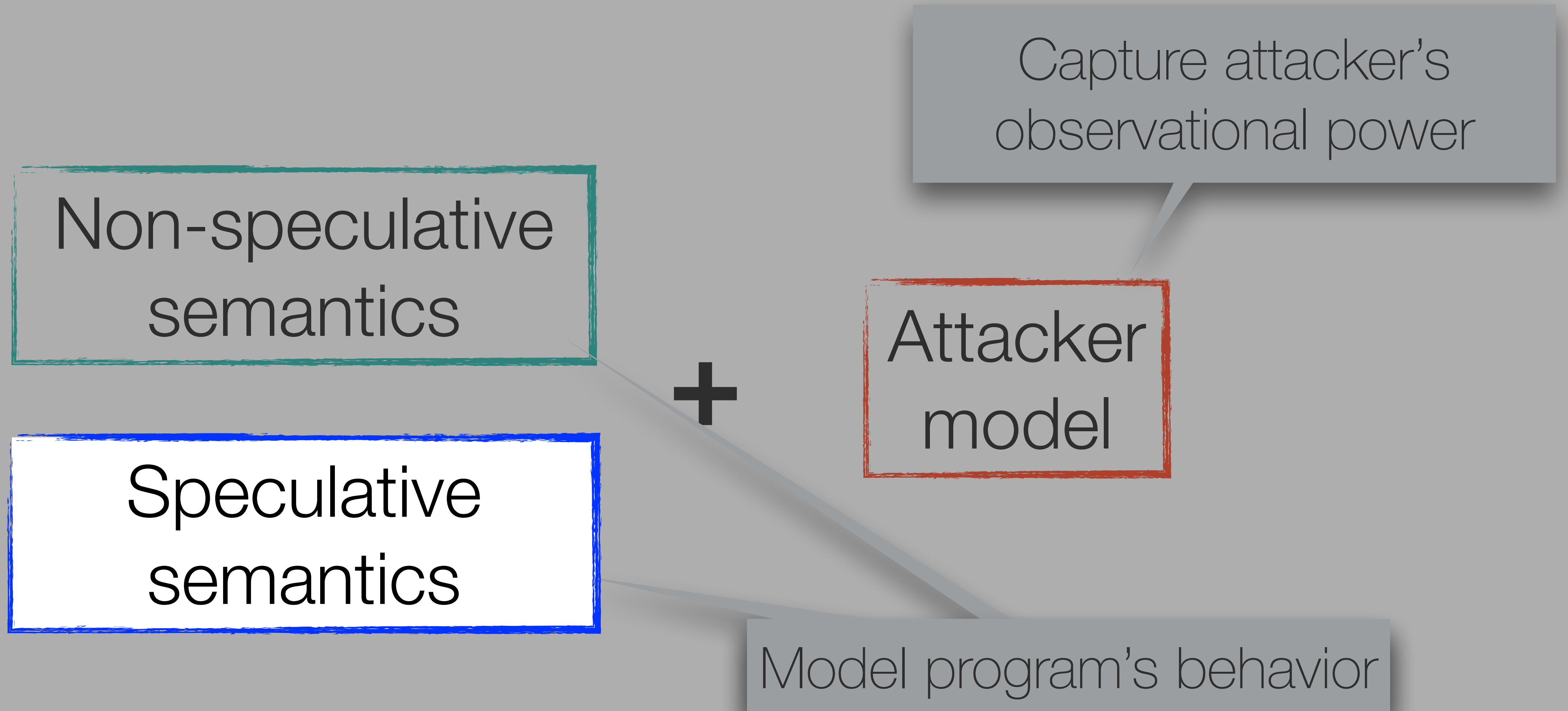
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Attacker model

Capture attacker's observational power

Model program's behavior

How to capture leakage?



How to capture leakage?

Prediction Oracle \mathcal{O} :

branch prediction + length of speculative window

Speculative semantics

+

Attacker model

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Capture attacker's observational power

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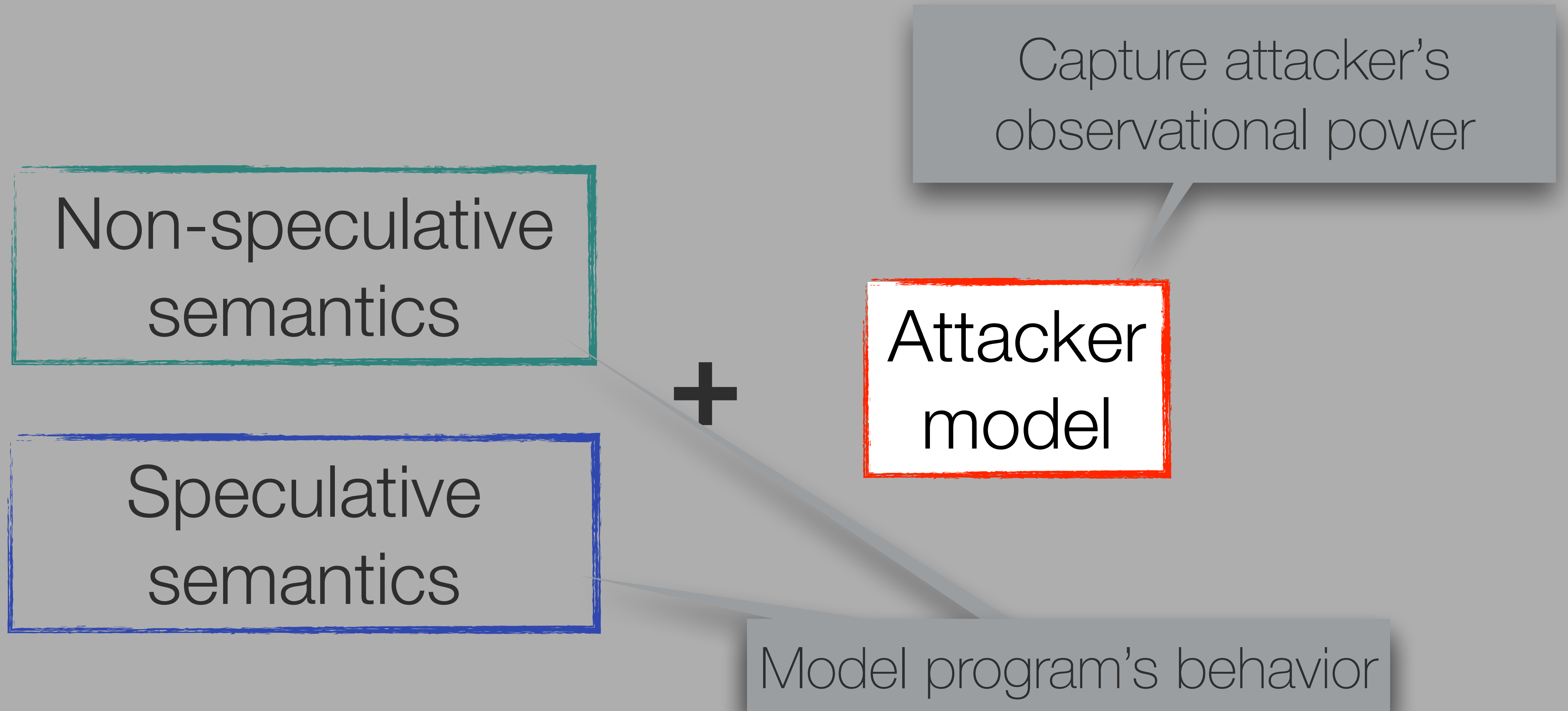
Speculative semantics

Starts **speculative transactions** upon branch instructions

- **Committed** upon correct speculation
- **Rolled-back** upon misprediction

model program's behavior

How to capture leakage?



How to capture leakage?

Non-speculative semantics

Speculative semantics

+

Attacker model

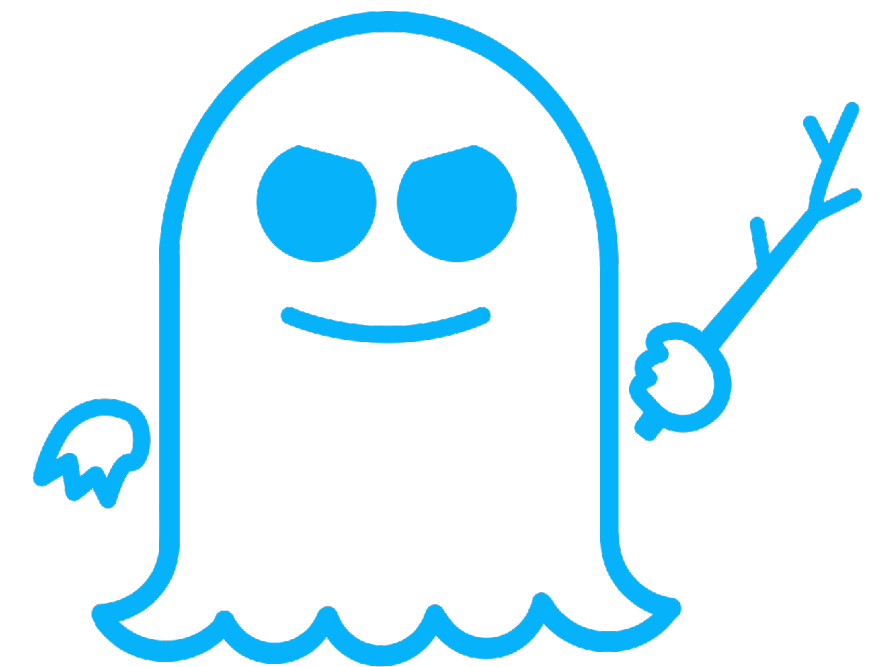
Capture attacker's observational power

Attacker can observe:

- locations of **memory accesses**
- **branch/jump** targets
- **start/end** speculative execution

How to capture leakage?

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```

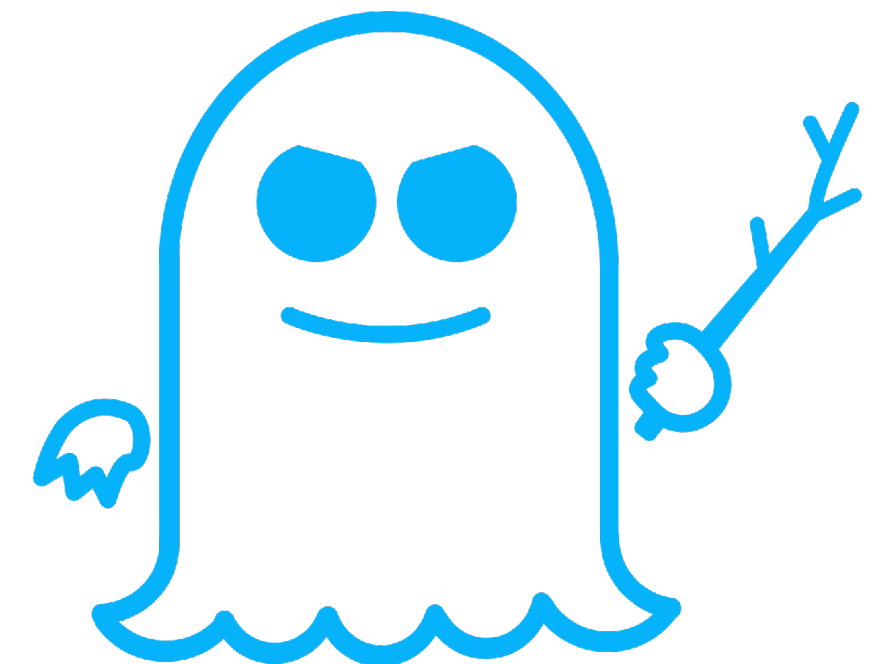


How to capture leakage?

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$x > A_size$

$x < A_size$ predicted as satisfied

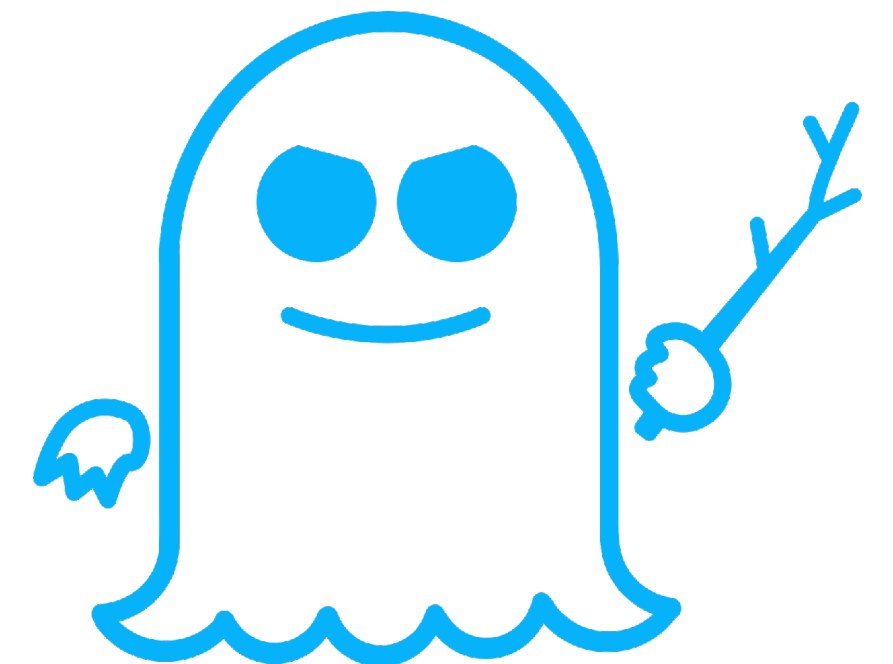
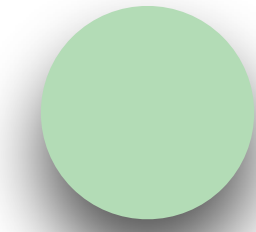


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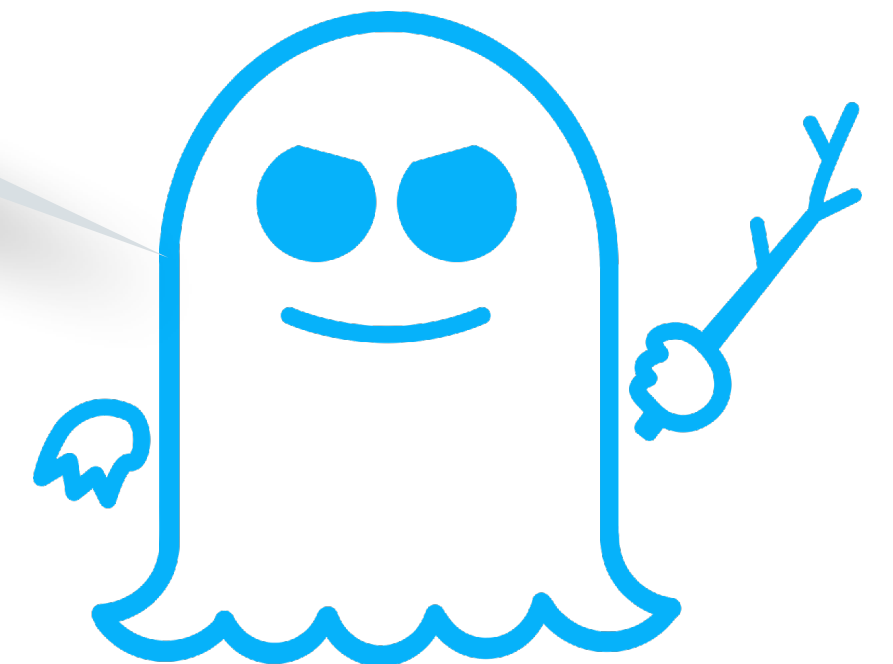
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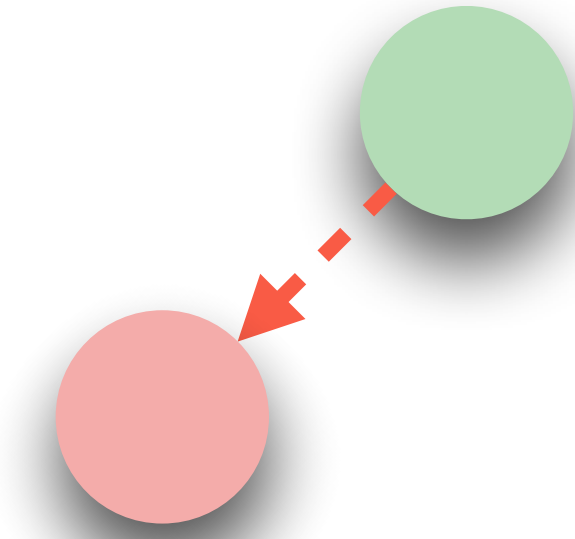
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```
start
pc 2
```



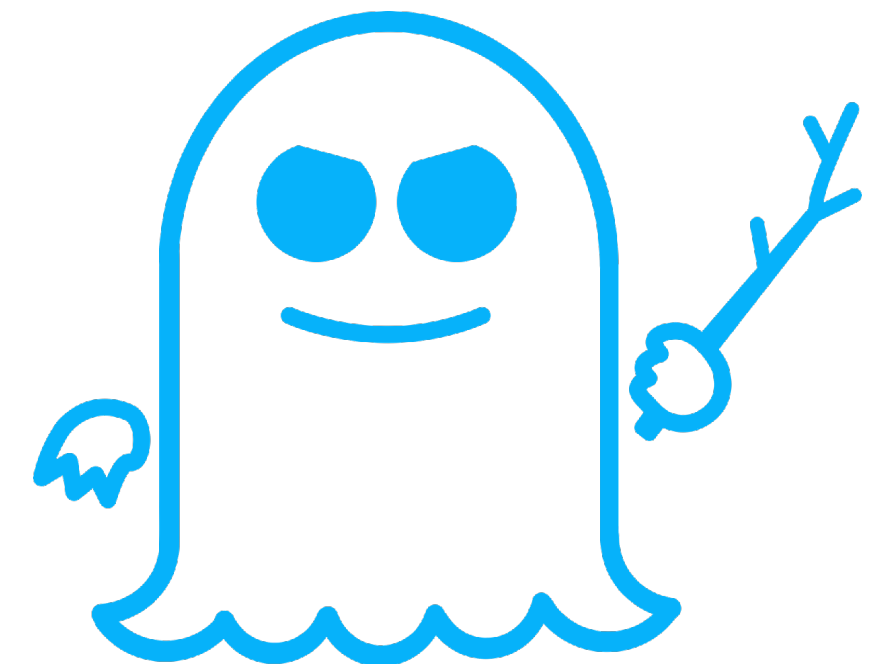
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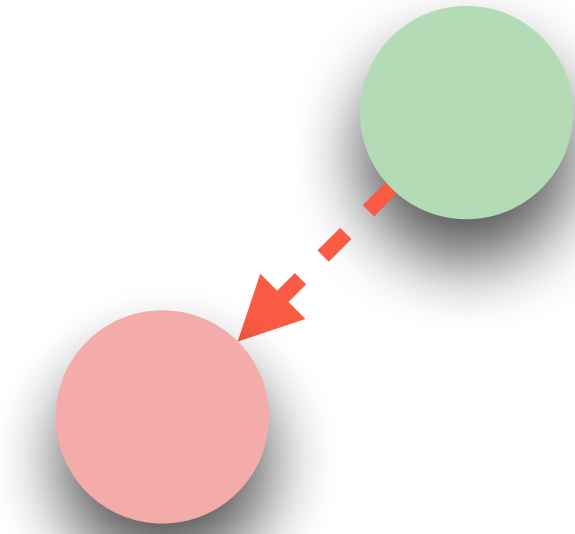


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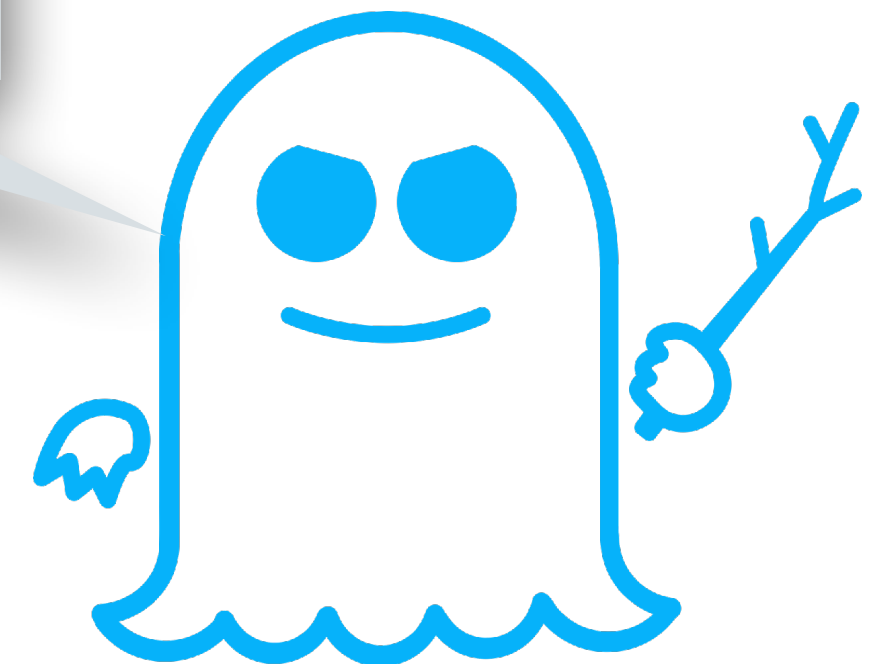
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load $A+x$

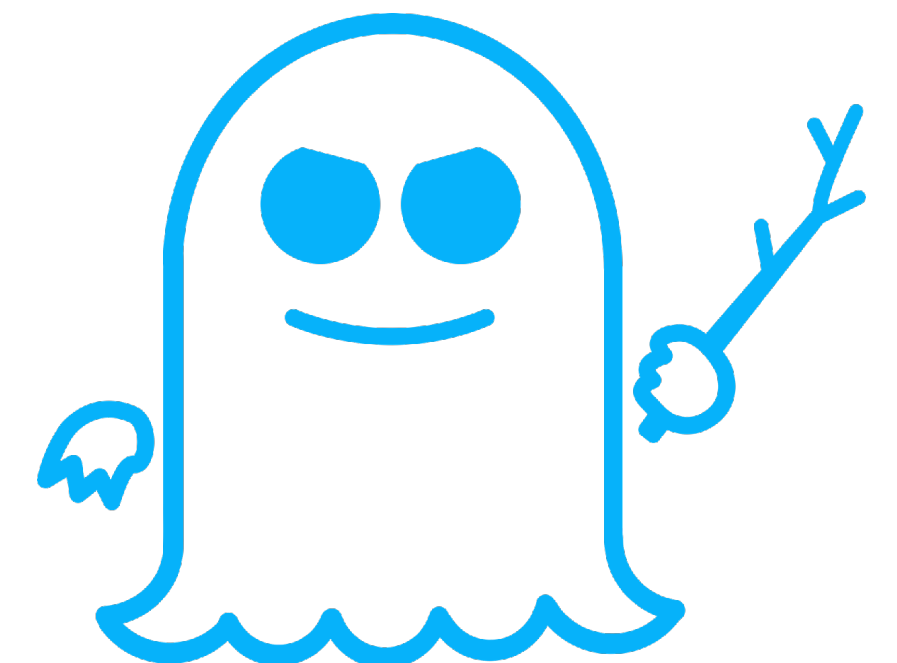
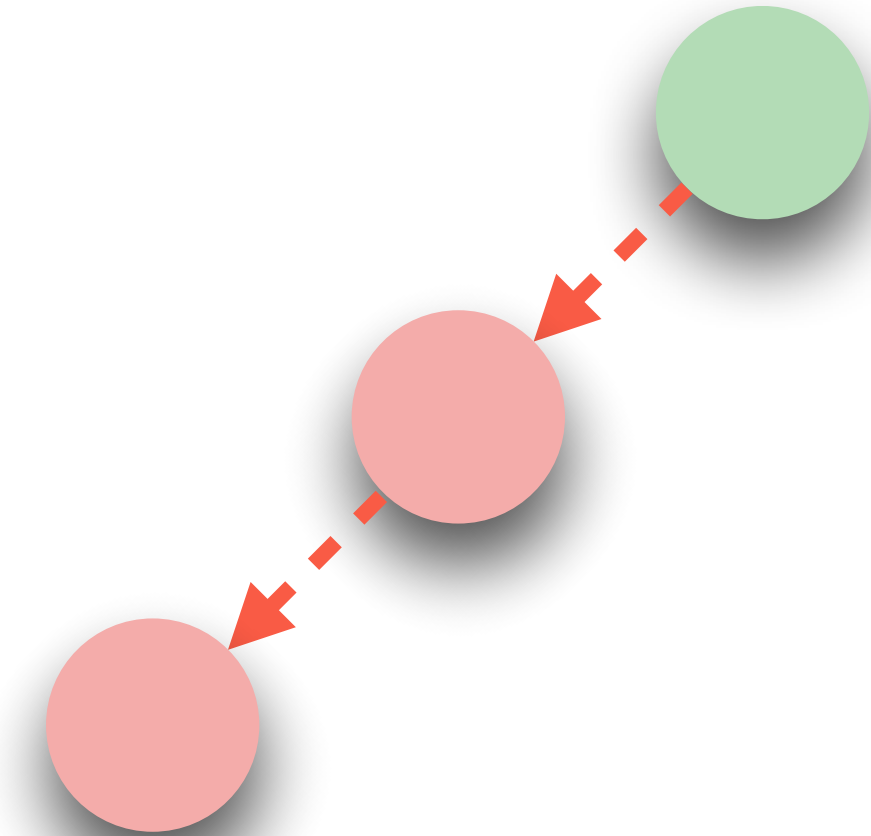


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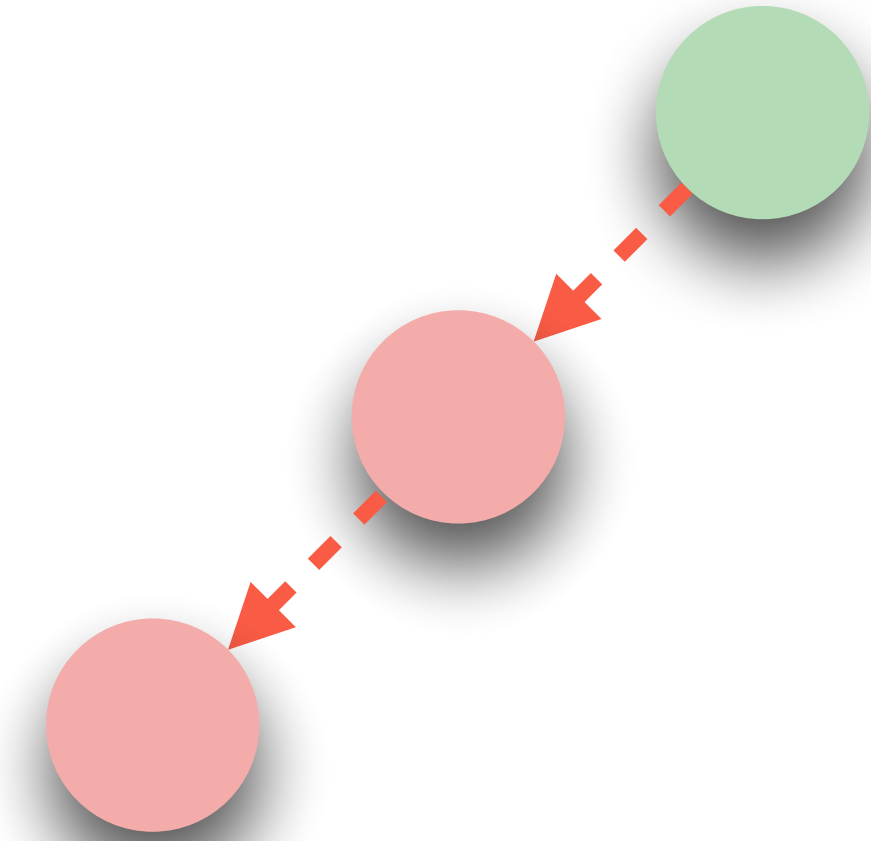


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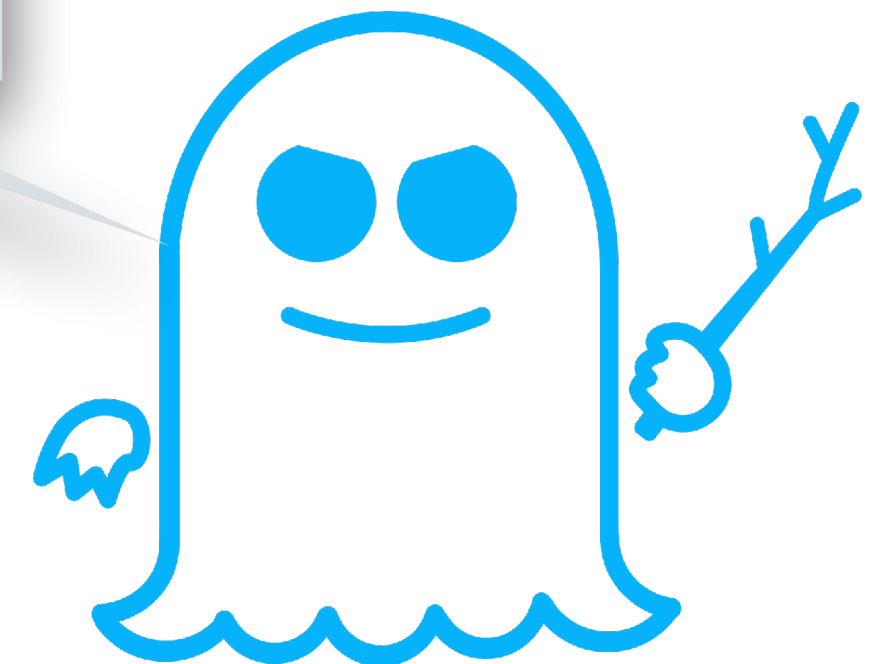
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load $B+A[x]$

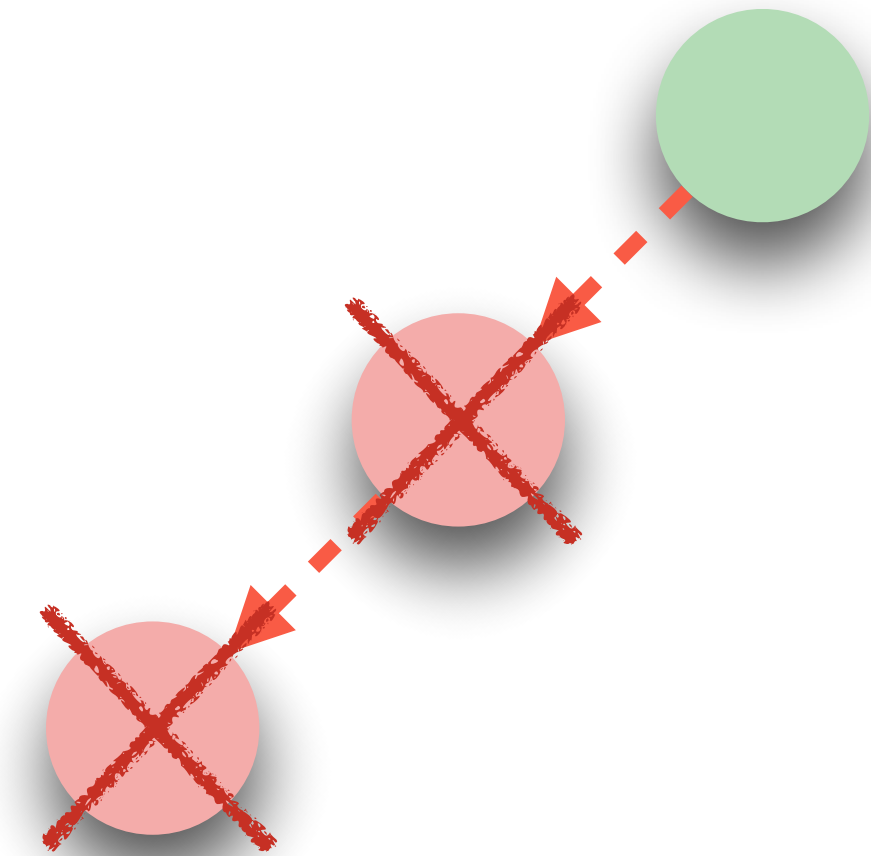


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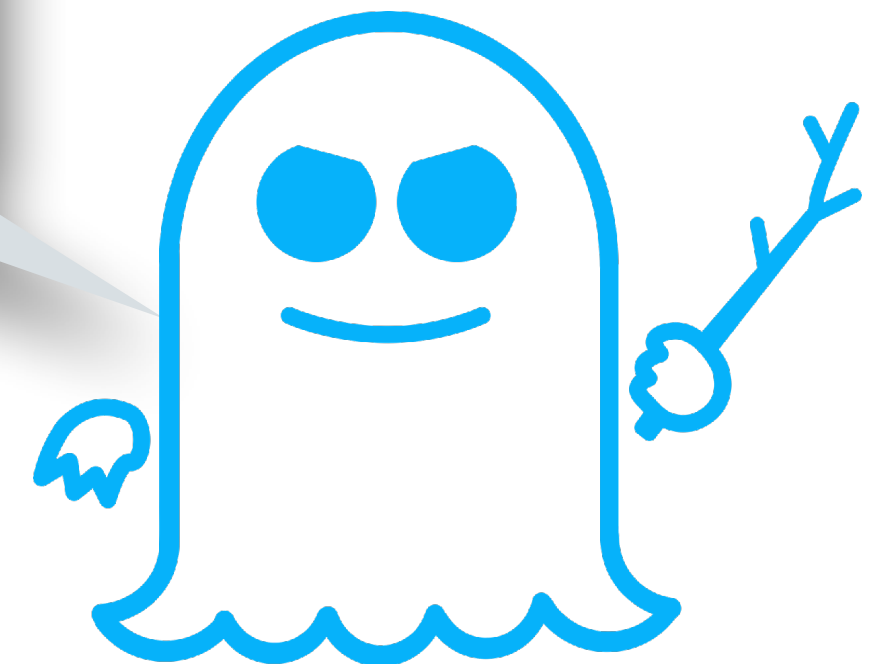
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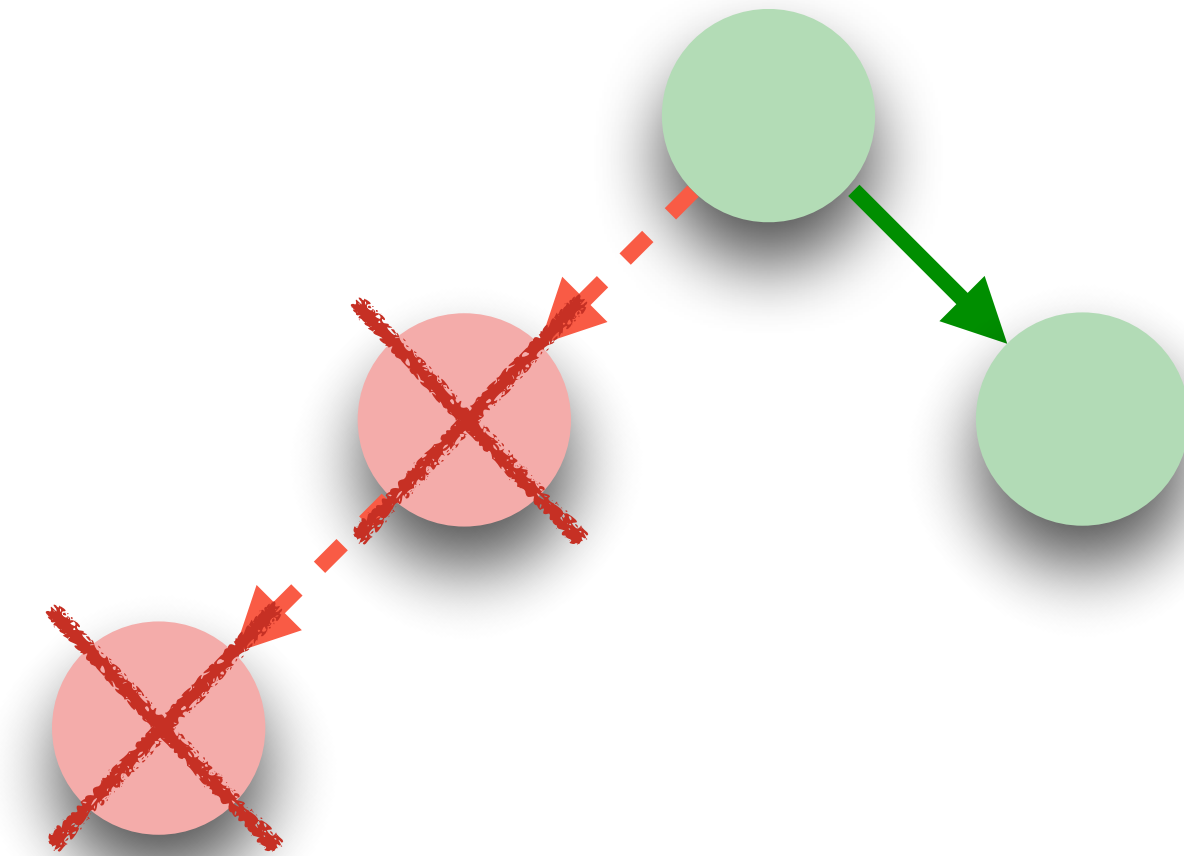


rollback
pc 4



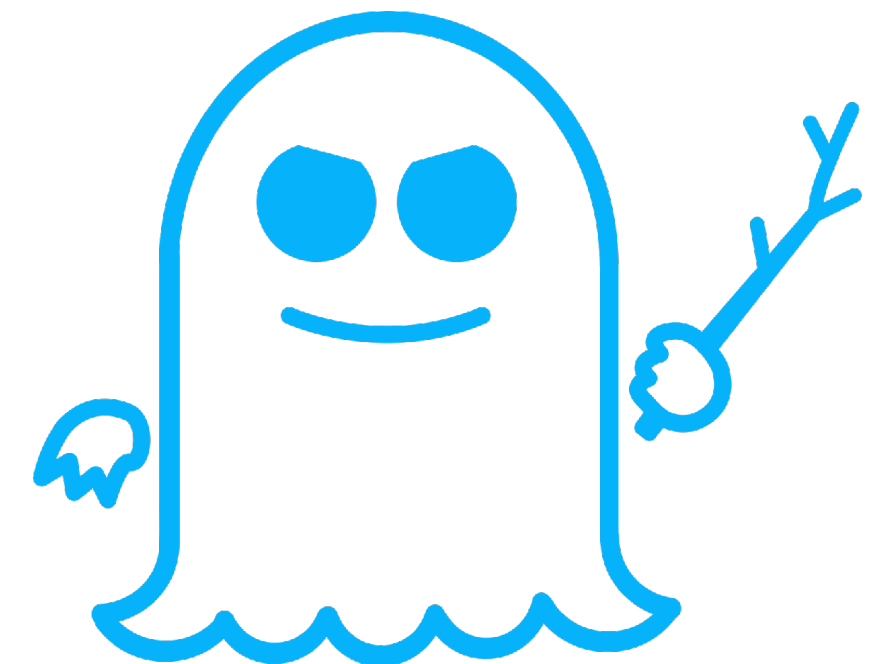
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Speculative non-interference

Formally!

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Program \mathcal{P} is **speculatively non-interferent** for prediction oracle \mathcal{O} if

Speculative non-interference

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For all program states s and s' :

Speculative non-interference

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For all program states \mathbf{s} and \mathbf{s}' :

$$\mathbf{P}_{\text{non-spec}}(\mathbf{s}) = \mathbf{P}_{\text{non-spec}}(\mathbf{s}')$$

Speculative non-interference

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See paper for: reasoning about **arbitrary prediction oracles**

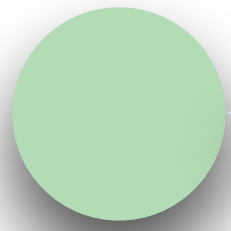
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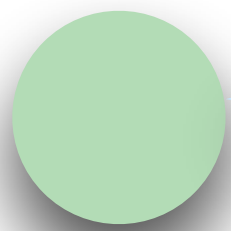
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4. end
```

x < **A_size** predicted as satisfied



x=128
A_size=16
A[128]=0

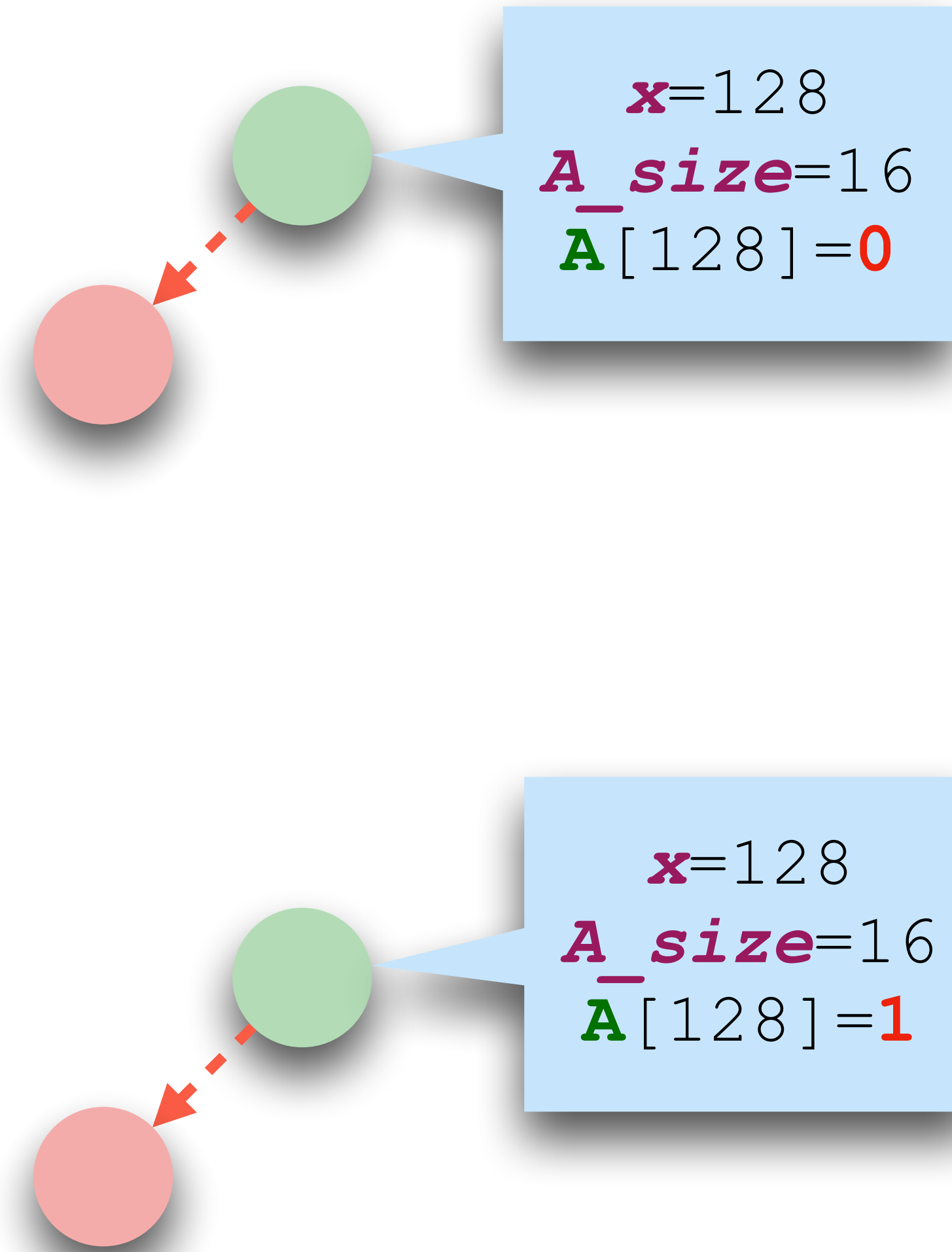


x=128
A_size=16
A[128]=1

Speculative non-interference

```
1.  if (x < A_size)
2.      y = A[x]
3.      z = B[y]
4.  end
```

x < **A_size** predicted as satisfied



Speculative non-interference

```
1.  if (x < A_size)
2.      y = A[x]
3.      z = B[y]
4.  end
```

x < **A_size** predicted as satisfied

load **A**+128

x=128
A_size=16
A[128]=0

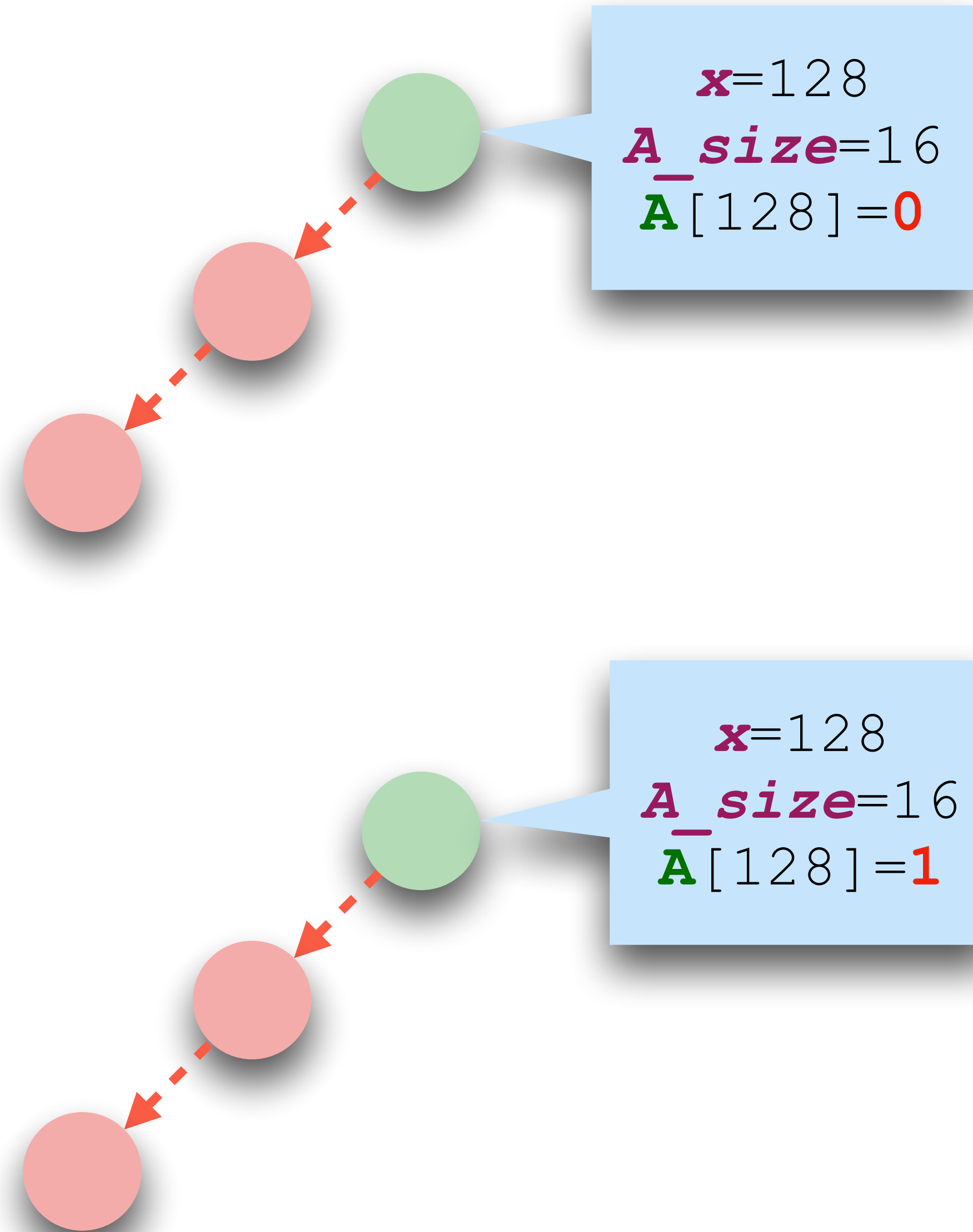
load **A**+128

x=128
A_size=16
A[128]=1

Speculative non-interference

```
1.  if (x < A_size)
2.      y = A[x]
3.      z = B[y]
4.  end
```

x < **A_size** predicted as satisfied



Speculative non-interference

```
1.  if (x < A_size)
2.      y = A[x]
3.      z = B[y]
4.  end
```

$x < A_size$ predicted as satisfied

load $B+0$

load $B+1$

$x=128$
 $A_size=16$
 $A[128]=0$

$x=128$
 $A_size=16$
 $A[128]=1$

Speculative non-interference

```
1.  if (x < A_size)
2.      y = A[x]
3.      z = B[y]
4.  end
```

$x < A_size$ predicted as satisfied

load $B+0$

load $B+1$

$x=128$
 $A_size=16$
 $A[128]=0$

$x=128$
 $A_size=16$
 $A[128]=1$

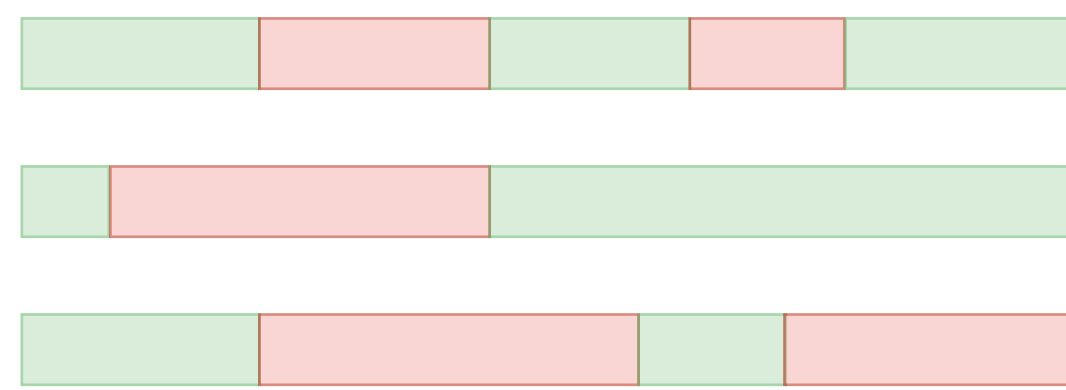
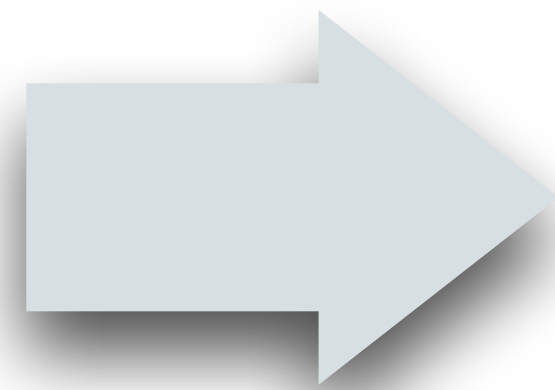


Detecting speculative leaks

Detecting speculative leaks

```
1. if (x < A_size)
2.   y = A[x]
3.   z = B[y]
4. end
```

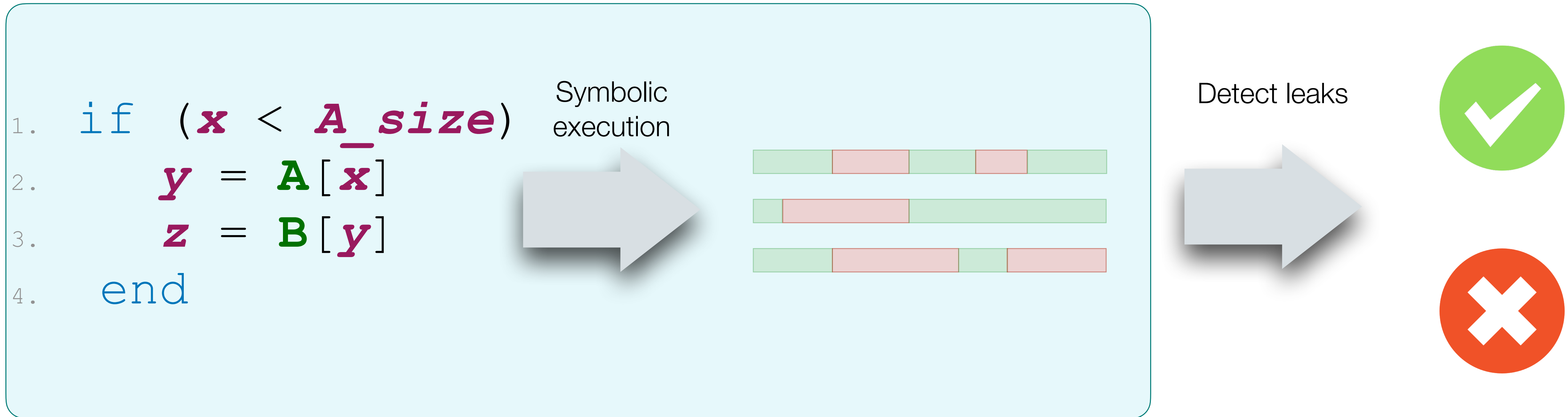
Symbolic execution



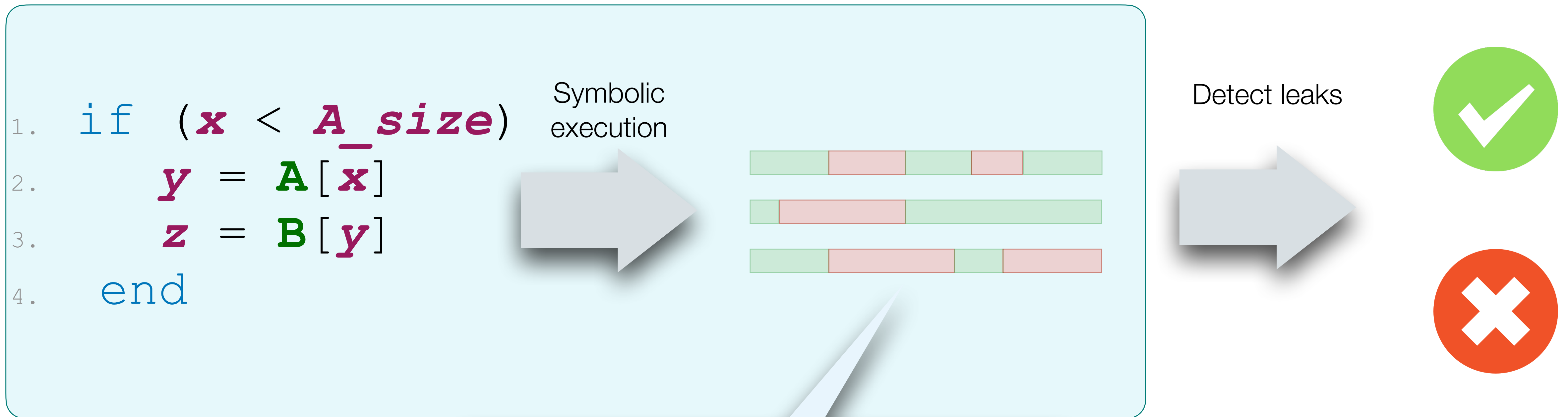
Detect leaks



Detecting speculative leaks



Detecting speculative leaks



Symbolic trace: path condition + observations along the symbolic path

Symbolic execution

```
1. if (x < A_size)
2.     y = A[x]
3.     z = B[y]
4. end
```


Symbolic execution

```
1. if (x < A_size)
2.     y = A[x]
3.     z = B[y]
4. end
```

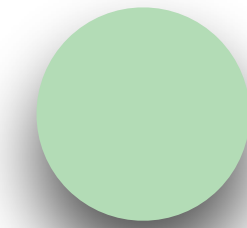


Always mispredict
branch instructions

Symbolic execution

```
1. if (x < A_size)
2.     y = A[x]
3.     z = B[y]
4. end
```

true

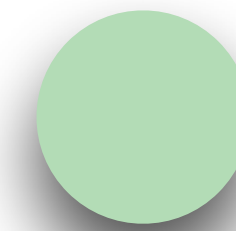


Always mispredict
branch instructions

Symbolic execution

```
1. if (x < A_size)
2.     y = A[x]
3.     z = B[y]
4. end
```

true

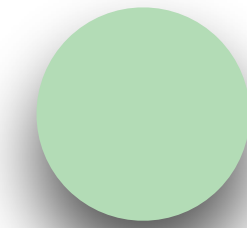


Always mispredict
branch instructions

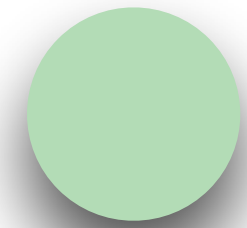
Symbolic execution

```
1. if (x < A_size)
2.     y = A[x]
3.     z = B[y]
4. end
```

$x \geq A_size$



$x < A_size$



Always mispredict
branch instructions

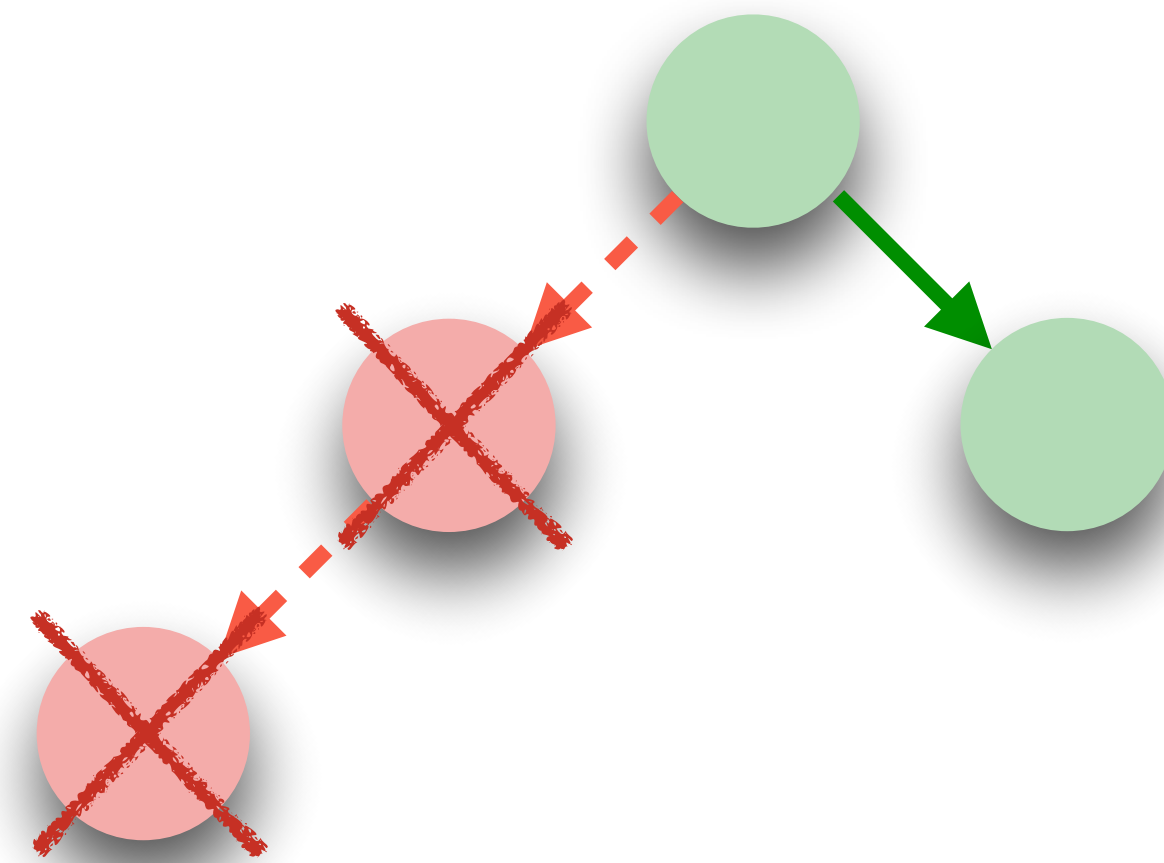
Symbolic execution

```
1. if (x < A_size)
2.     y = A[x]
3.     z = B[y]
4. end
```

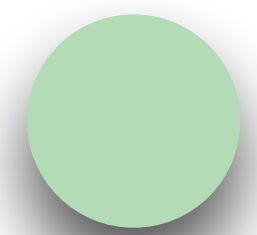


Always mispredict
branch instructions

$x \geq A_size$



$x < A_size$



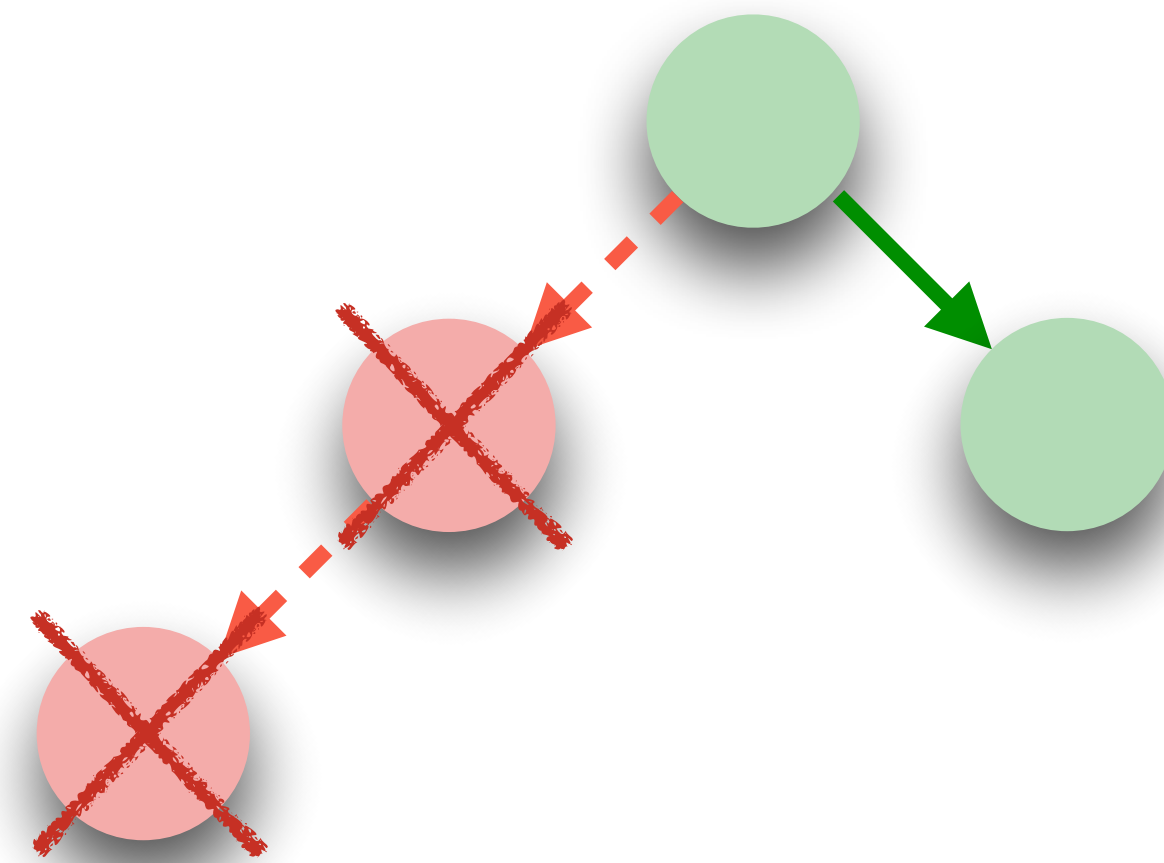
Symbolic execution

```
1. if (x < A_size)
2.     y = A[x]
3.     z = B[y]
4. end
```

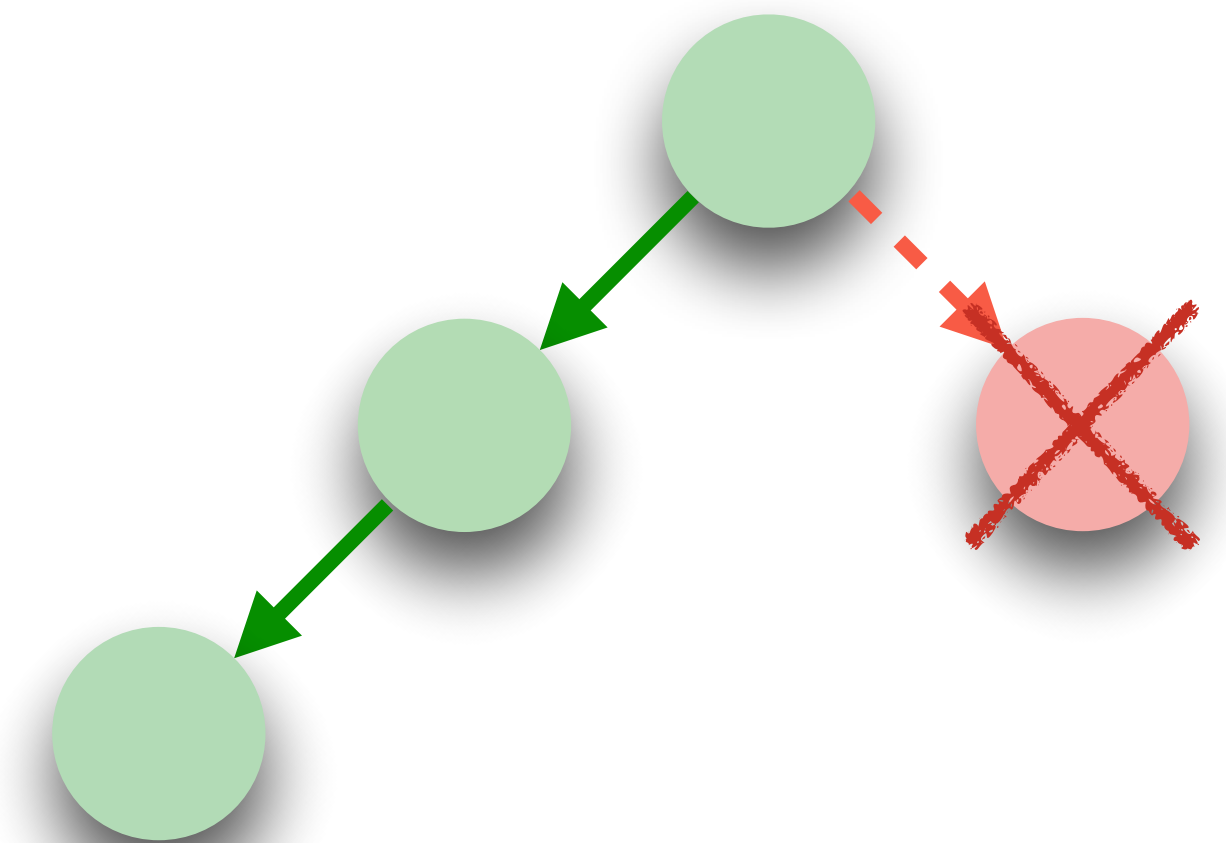


Always mispredict
branch instructions

$x \geq A_size$



$x < A_size$

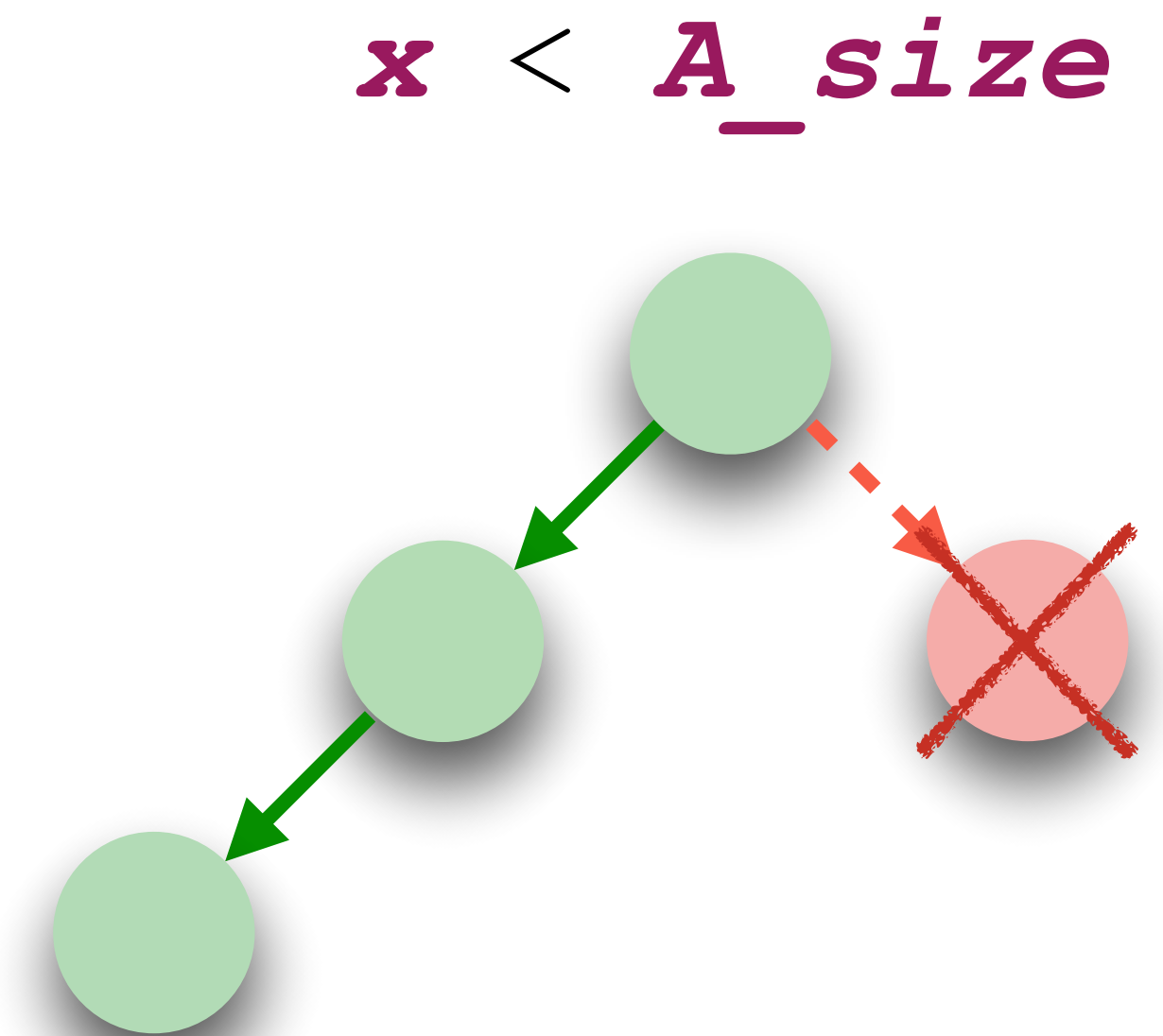
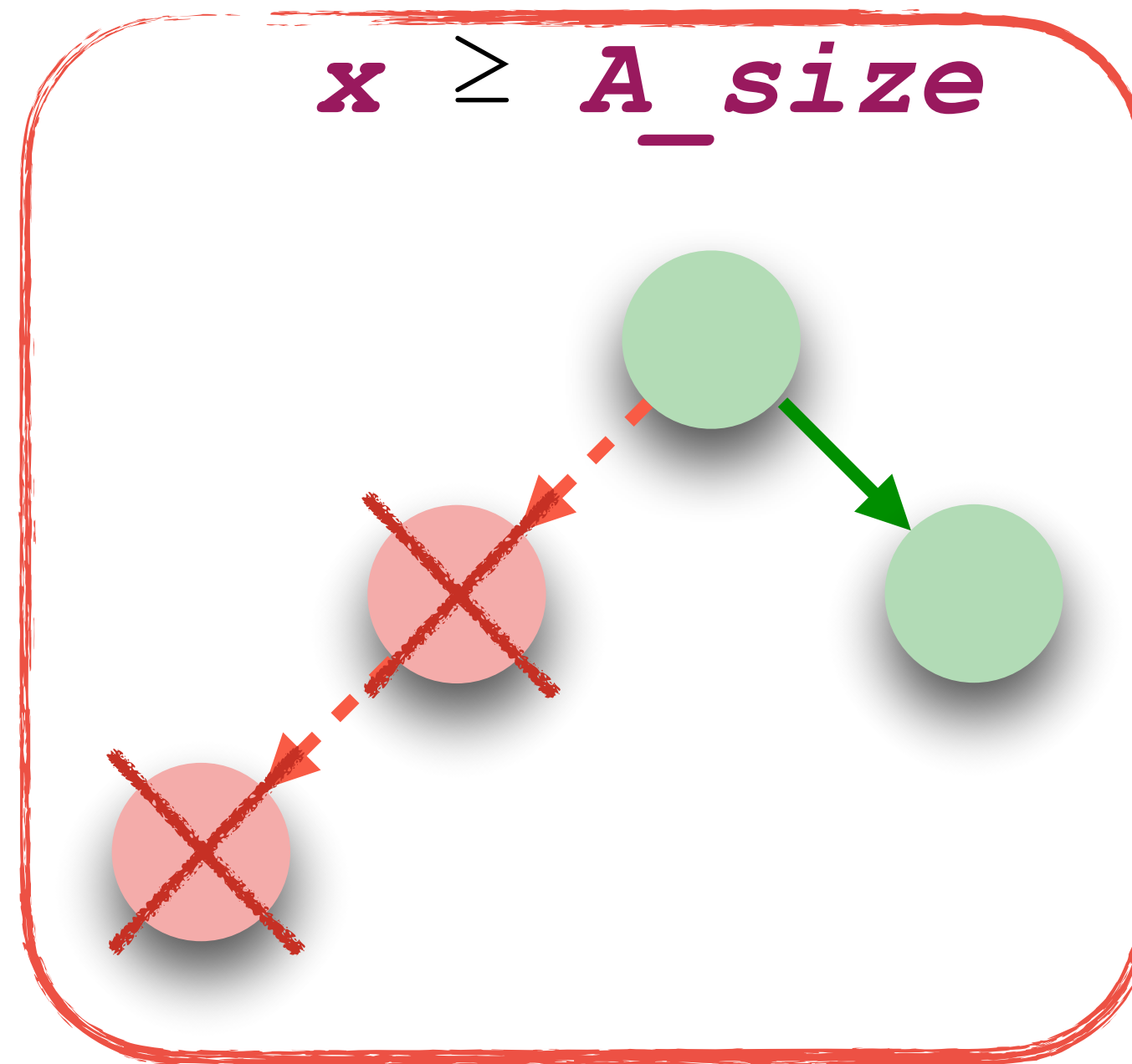


Symbolic execution

```
1. if (x < A_size)
2.     y = A[x]
3.     z = B[y]
4. end
```



Always mispredict
branch instructions

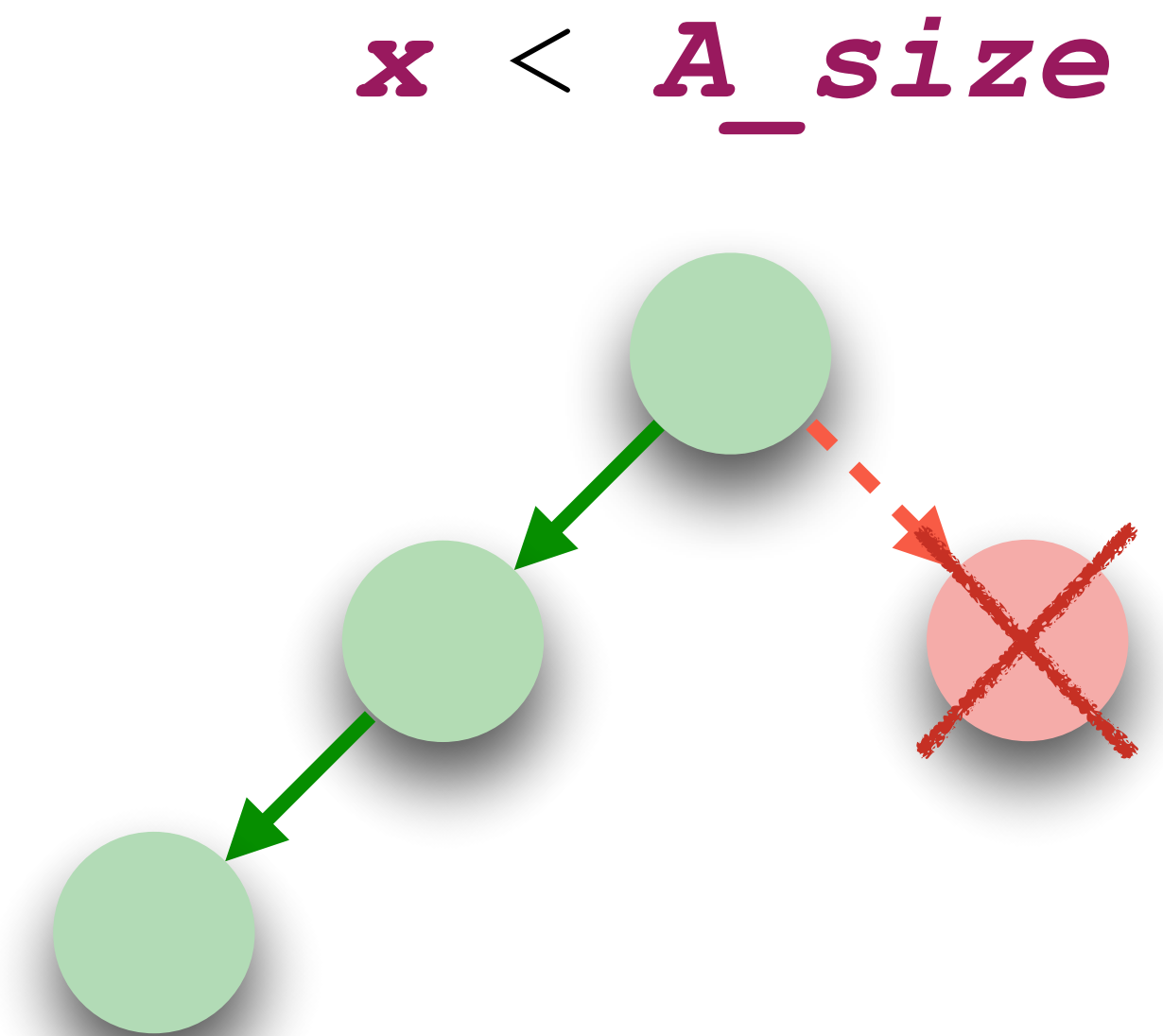
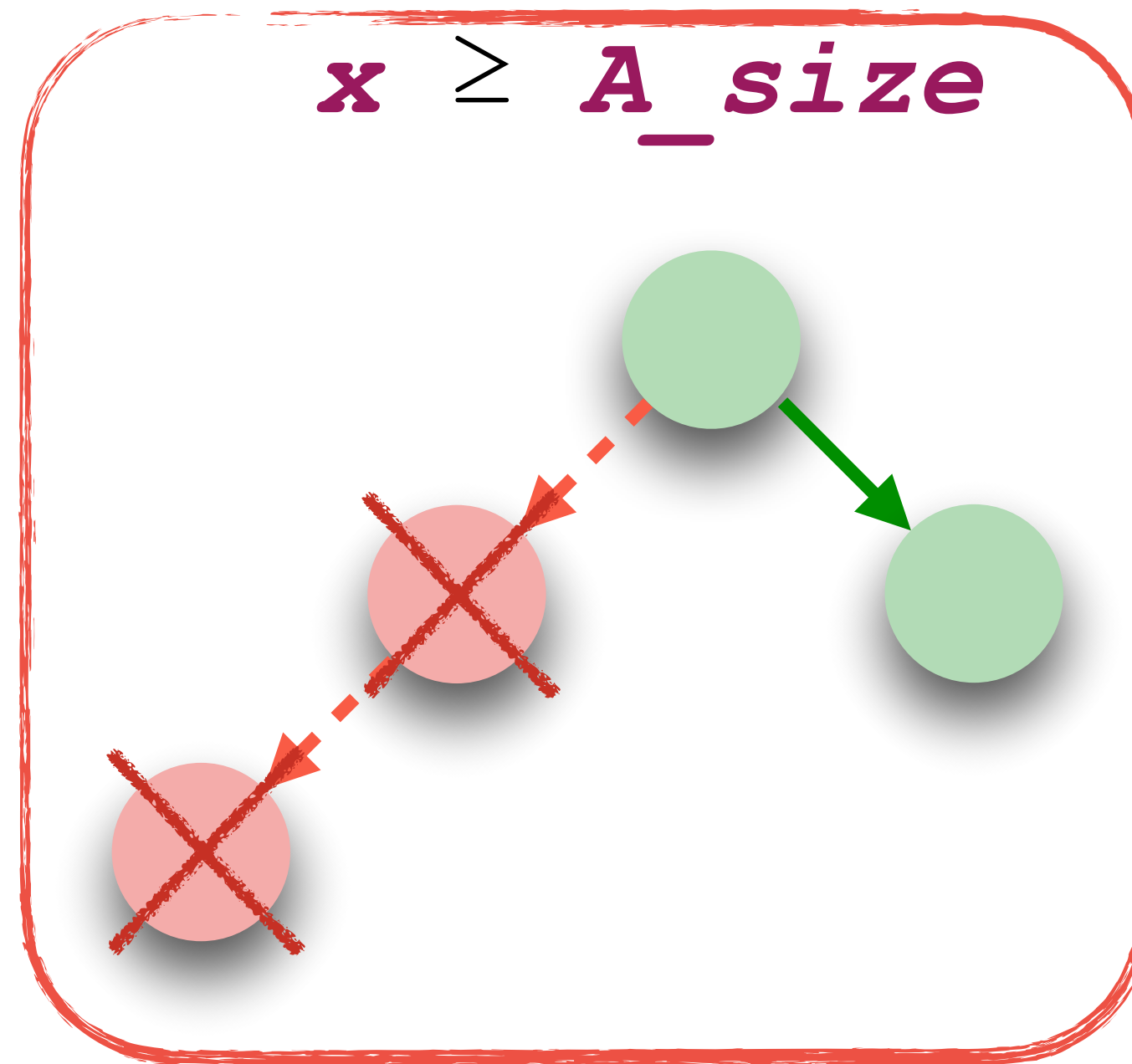


Symbolic execution

```
1. if (x < A_size)
2.     y = A[x]
3.     z = B[y]
4. end
```



Always mispredict
branch instructions



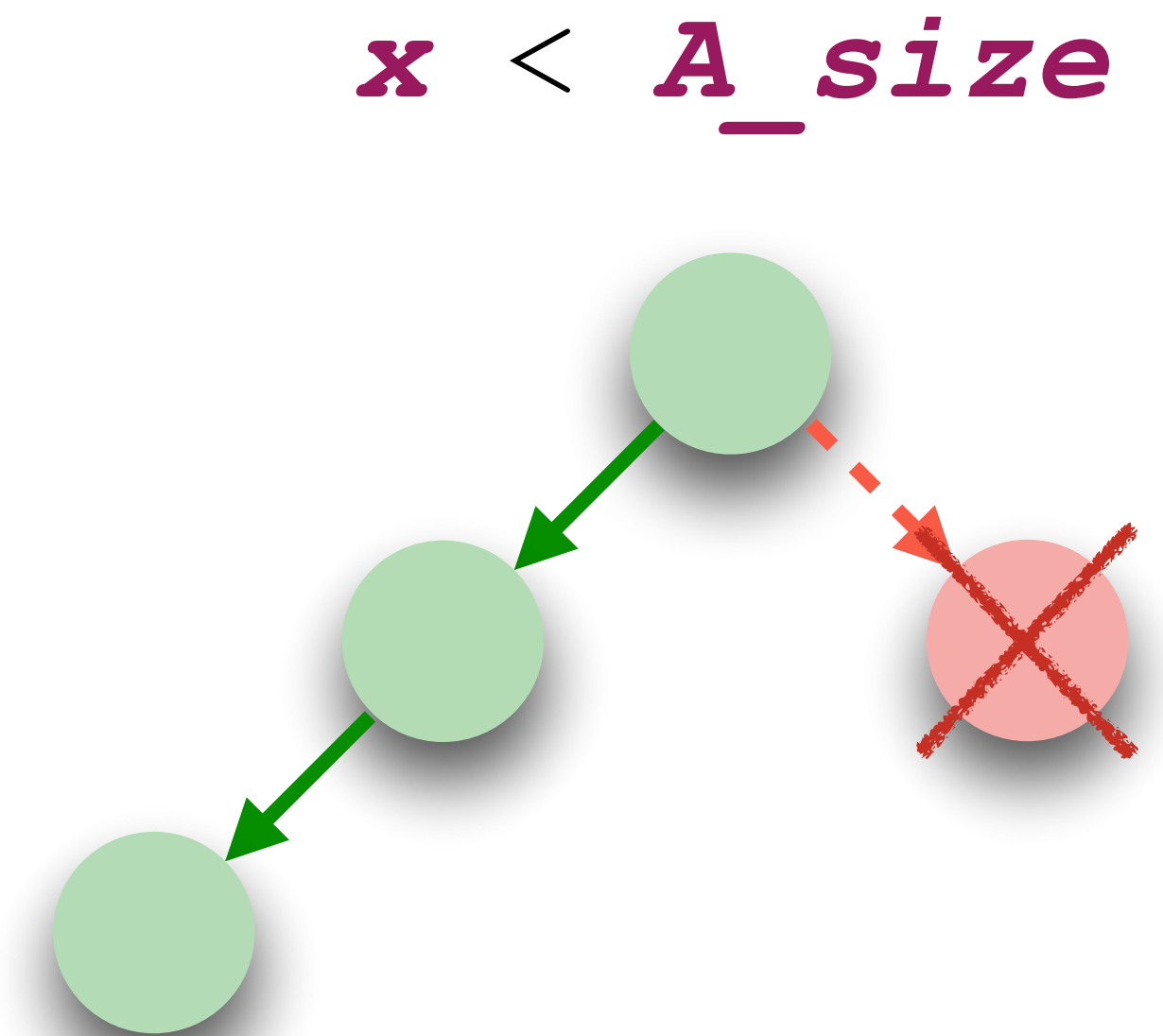
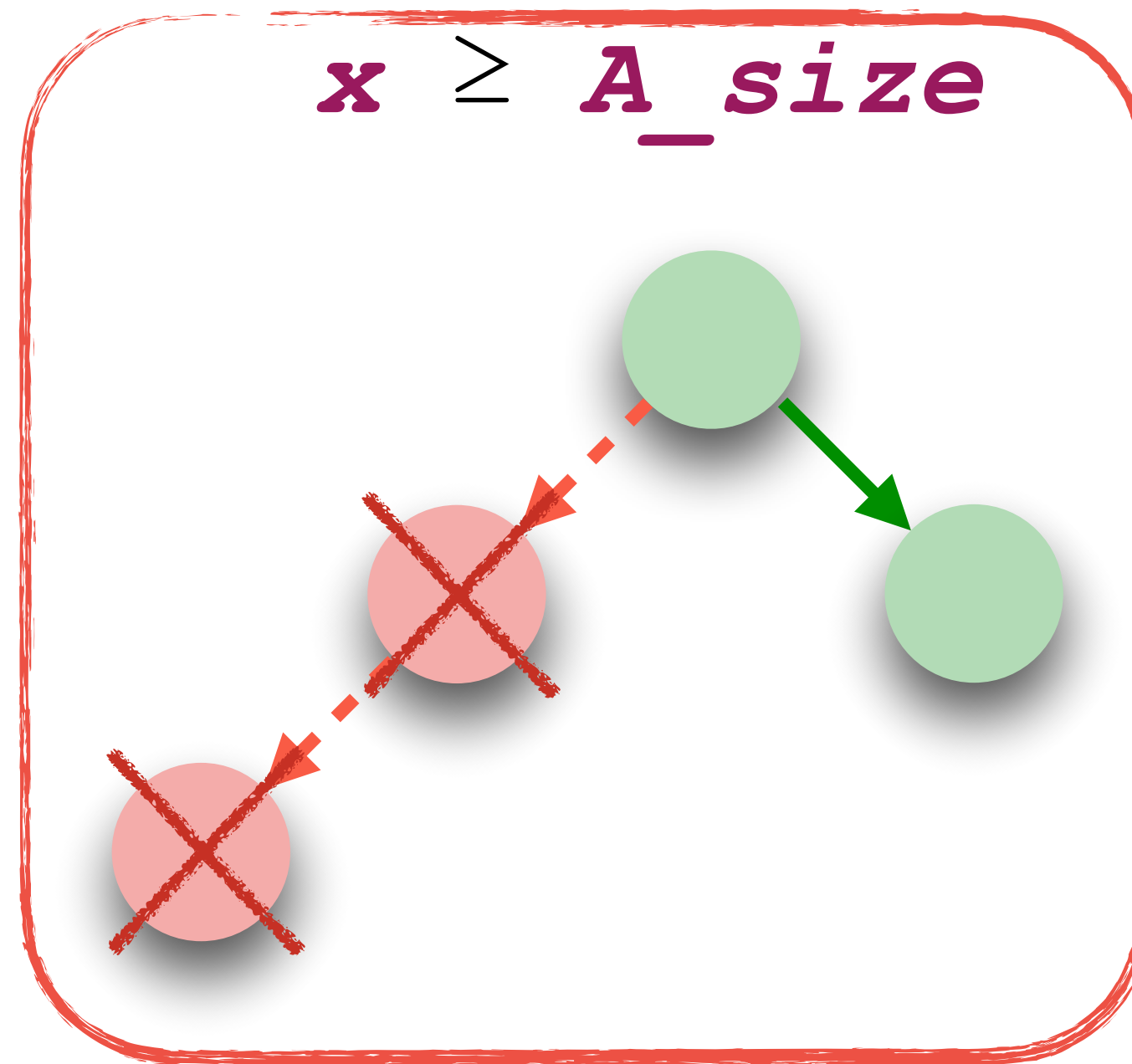
start pc 2 load $A+x$ load $B+A[x]$ rollback pc 4

Symbolic execution

```
1. if (x < A_size)
2.     y = A[x]
3.     z = B[y]
4. end
```



Always mispredict
branch instructions



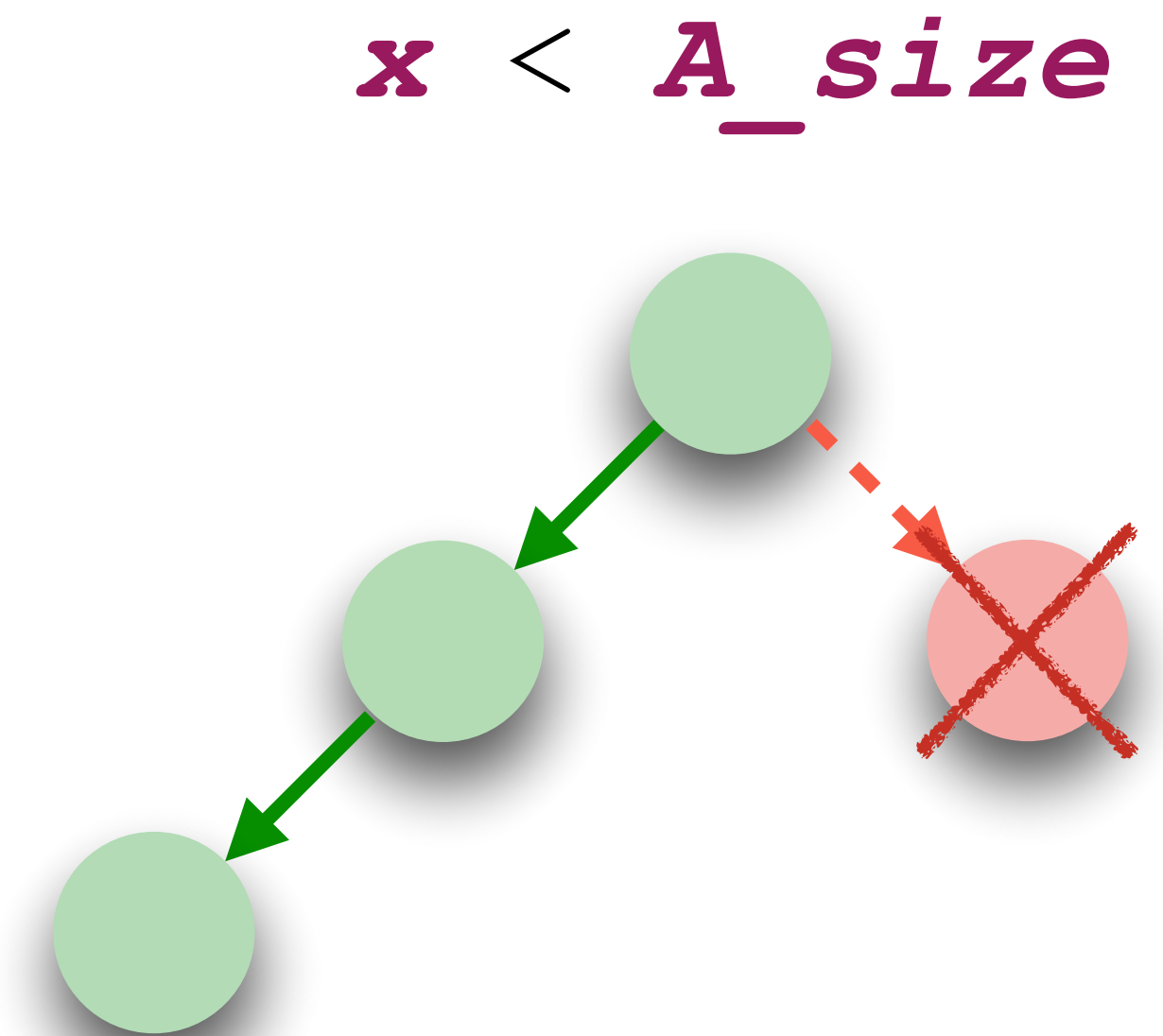
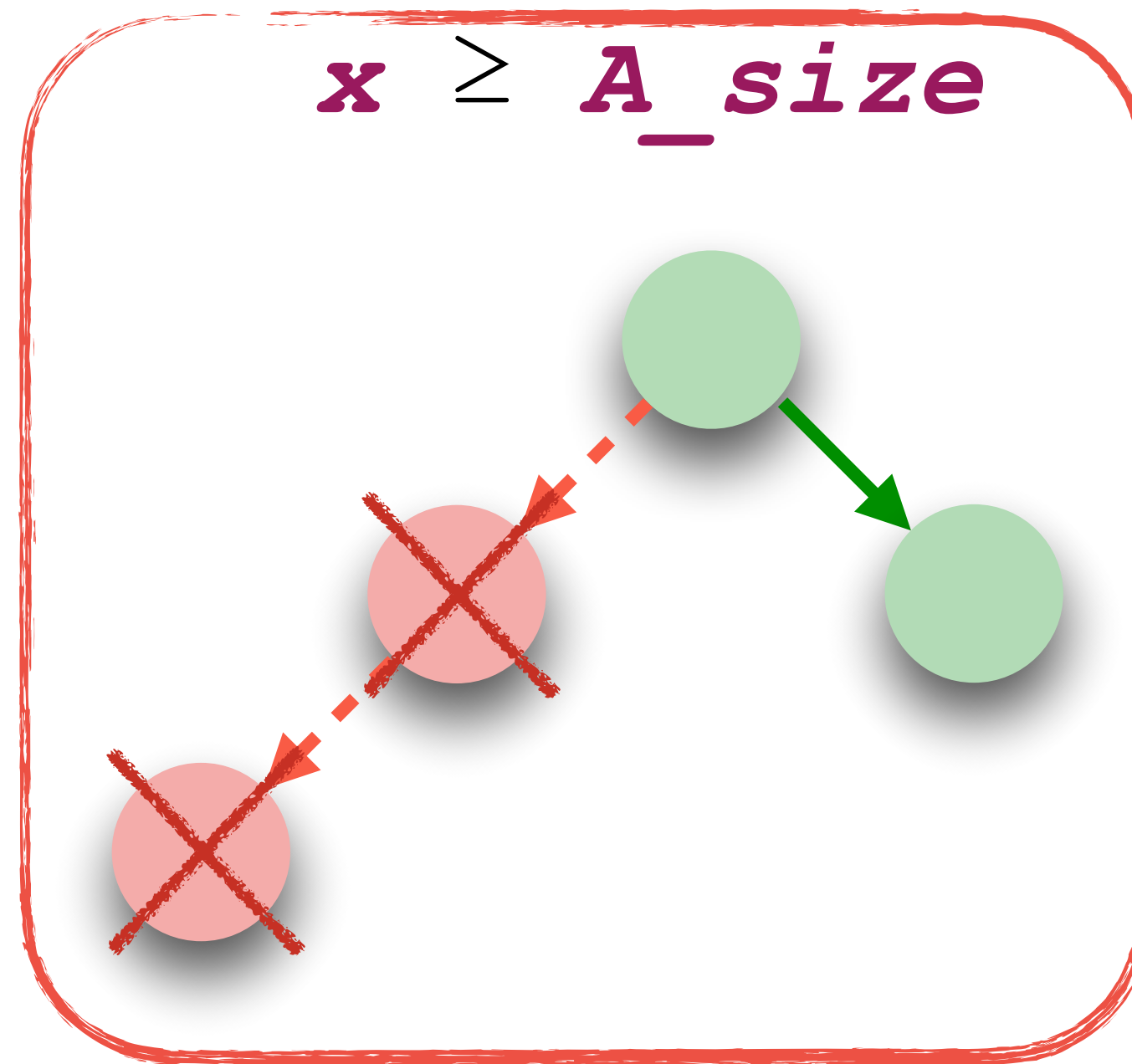
start pc 2 load $A+x$ load $B+A[x]$ rollback pc 4

Symbolic execution

```
1. if (x < A_size)
2.     y = A[x]
3.     z = B[y]
4. end
```



Always mispredict
branch instructions



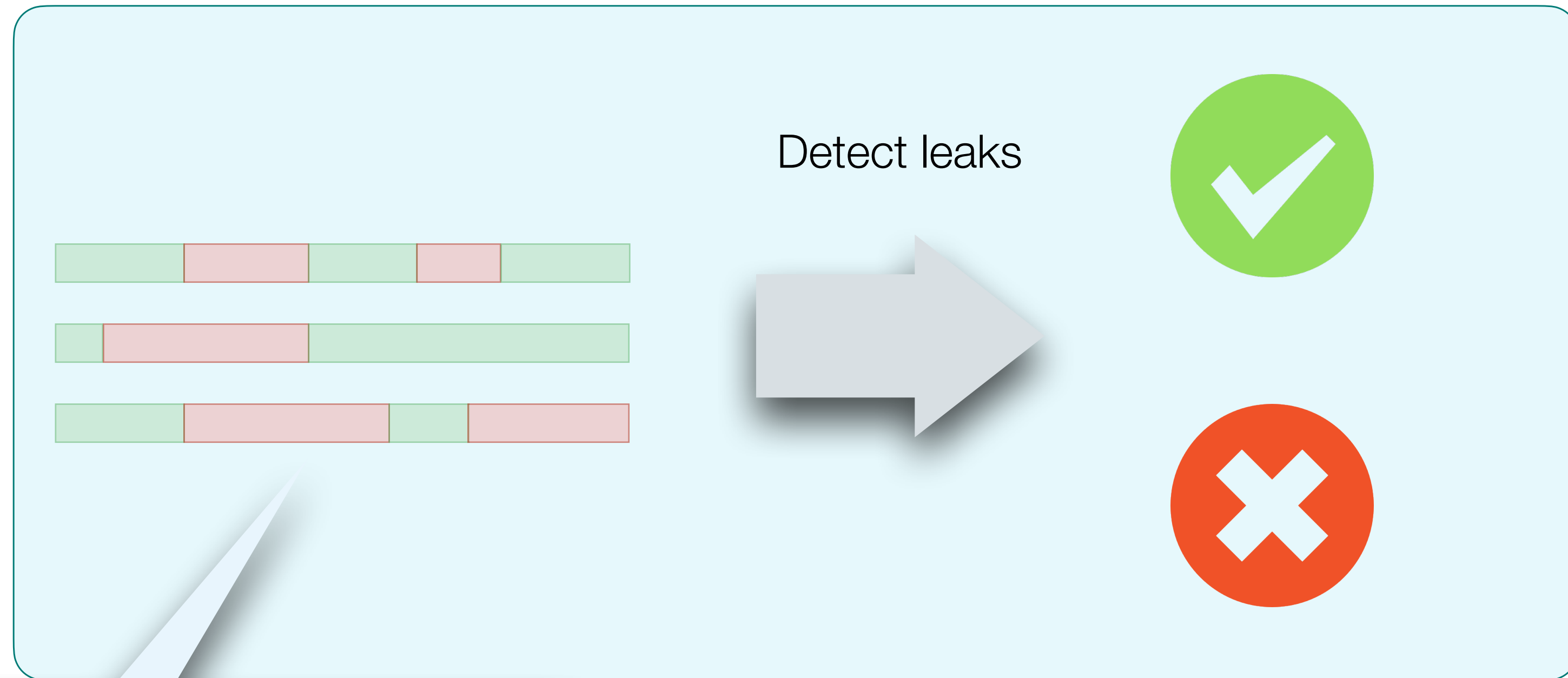
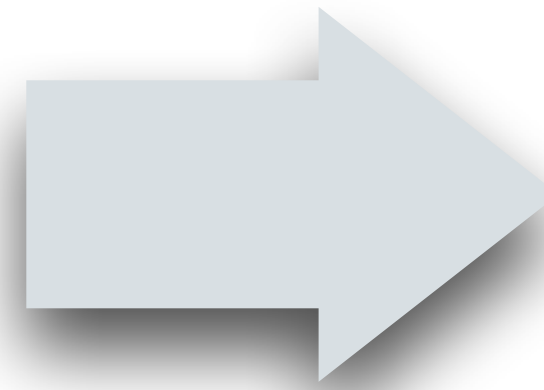
```
start pc 2 load A+x load B+A[x] rollback pc 4
```

Detecting speculative leaks

```
rax <- A_size  
rcx <- x  
jmp rcx ≥ rax, END  
L1: load rax, A + rcx  
load rax, B + rax
```

END:

Symbolic
execution



Symbolic trace: path condition +
observations along the symbolic path

Detecting speculative leaks

```
For each symbolic trace  $\tau \in traces(prg)$   
  if  $MemLeak(\tau)$  then  
    return INSECURE  
  if  $CtrlLeak(\tau)$  then  
    return INSECURE  
return SECURE
```

```
rax  
rcx  
jmp  
L1:  load  
    load
```

```
END:
```



Detecting speculative leaks

```
For each symbolic trace  $\tau \in traces(prg)$   
  if MemLeak( $\tau$ ) then  
    return INSECURE  
  if CtrlLeak( $\tau$ ) then  
    return INSECURE  
  return SECURE
```

```
rax  
rcx  
jmp  
L1:  load  
     load
```

```
END:
```



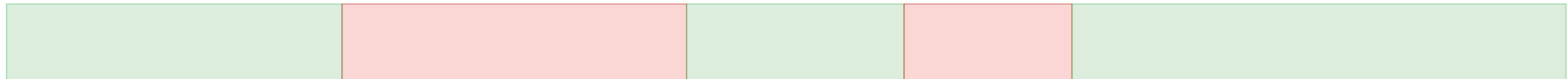
Memory leaks

Speculative memory accesses *must be fully determined* by *non-speculative* observations

Memory leaks

Speculative memory accesses ***must be fully determined*** by ***non-speculative*** observations

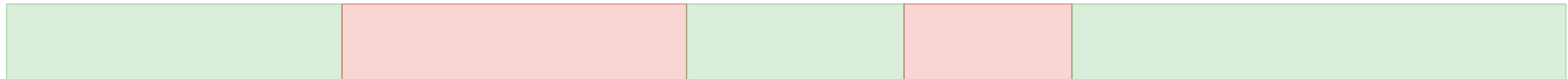
τ



Memory leaks

Speculative memory accesses ***must be fully determined*** by ***non-speculative*** observations

τ



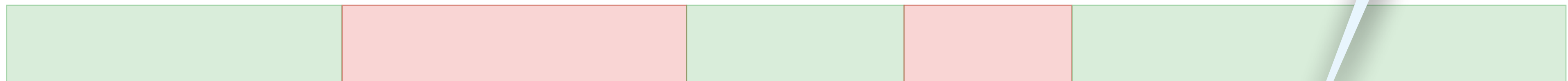
$$\text{pathCnd}(\tau) \wedge \text{obsEqv}(\tau |_{\text{non-spec}}) \wedge \neg \text{obsEqv}(\tau |_{\text{spec}})$$

Memory leaks

Speculative memory accesses **must be fully determined** by **non-speculative** observations

Check with self-composition

τ



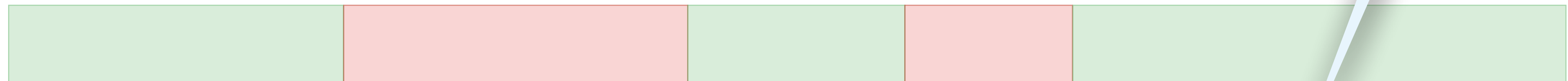
$$\text{pathCnd}(\tau) \wedge \text{obsEqv}(\tau|_{\text{non-spec}}) \wedge \neg \text{obsEqv}(\tau|_{\text{spec}})$$

Memory leaks

Speculative memory accesses **must be fully determined** by **non-speculative** observations

Check with self-composition

τ



$$\text{pathCnd}(\tau) \wedge \text{obsEqv}(\tau|_{\text{non-spec}}) \wedge \neg \text{obsEqv}(\tau|_{\text{spec}})$$

s_1

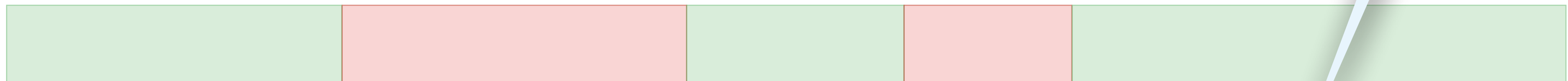
s_2

Memory leaks

Speculative memory accesses **must be fully determined** by **non-speculative** observations

Check with self-composition

τ



$$\boxed{\text{pathCnd}(\tau)} \wedge \text{obsEqv}(\tau|_{\text{non-spec}}) \wedge \neg \text{obsEqv}(\tau|_{\text{spec}})$$

$$s_1 \models \varphi$$

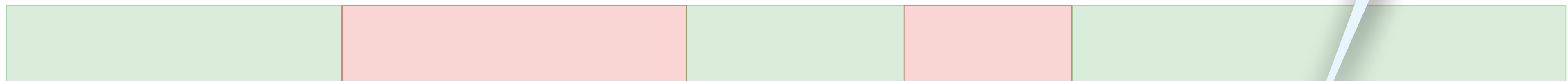
$$s_2 \models \varphi$$

Memory leaks

Speculative memory accesses **must be fully determined** by **non-speculative** observations

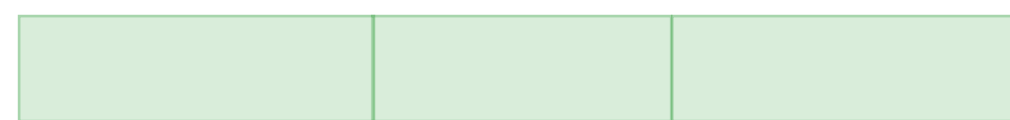
Check with self-composition

τ



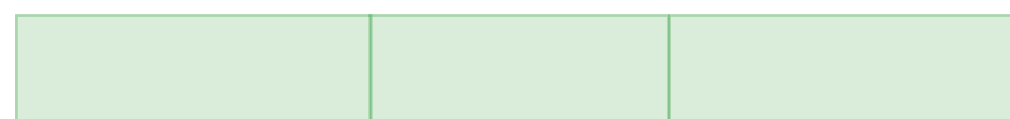
$$pathCnd(\tau) \wedge \boxed{obsEqv(\tau|_{non-spec})} \wedge \neg obsEqv(\tau|_{spec})$$

$s_1 \models \varphi$



||

$s_2 \models \varphi$

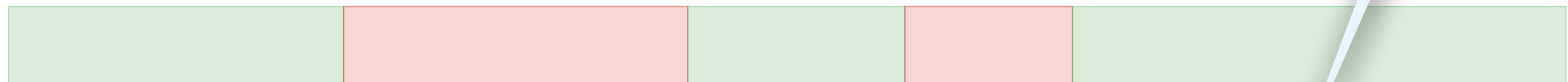


Memory leaks

Speculative memory accesses **must be fully determined** by **non-speculative** observations

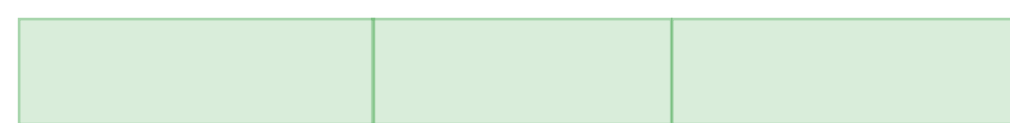
Check with self-composition

τ



$$pathCnd(\tau) \wedge obsEqv(\tau|_{non-spec}) \wedge \neg obsEqv(\tau|_{spec})$$

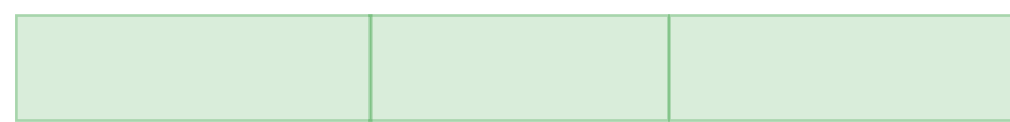
$s_1 \models \varphi$



\parallel

\nparallel

$s_2 \models \varphi$



Spectector + Case studies

Spectector

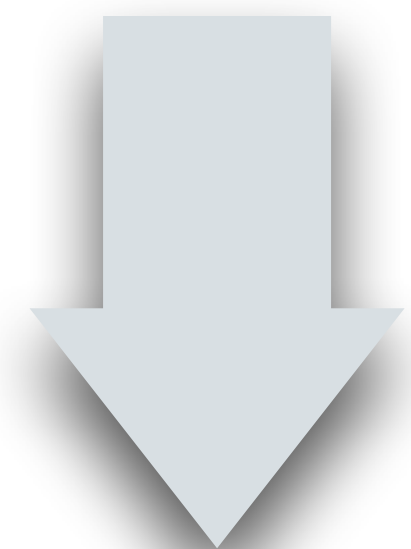


```
mov    rax, A_size
mov    rcx, x
cmp    rcx, rax
jae    END
L1:  mov    rax, A[rcx]
mov    rax, B[rax]
```

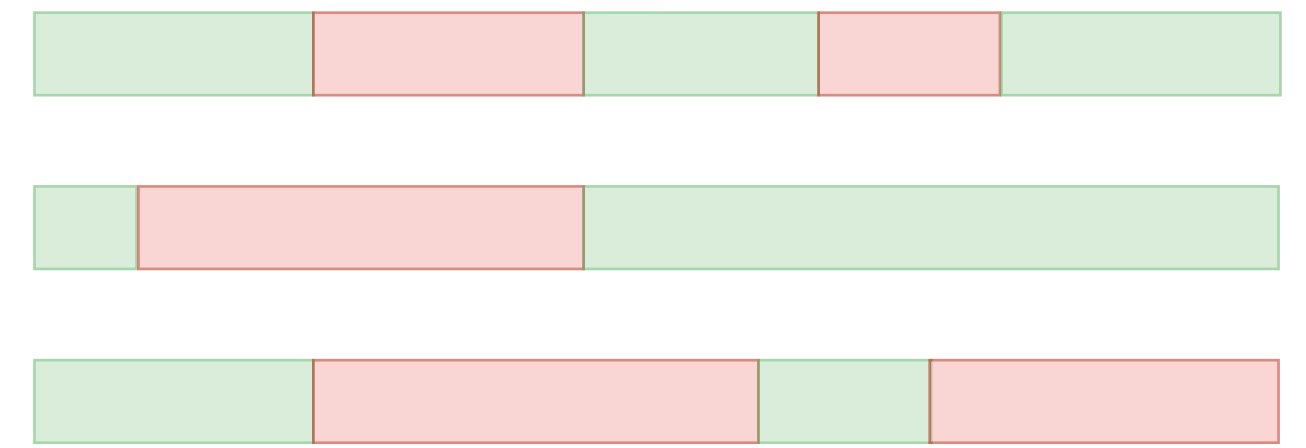
x64 to μ ASM



```
rax <- A_size
rcx <- x
L1:  jmp    rcx >= rax, END
      load rax, A + rcx
      load rax, B + rax
END:
```



Symbolic execution



Check for speculative leaks



Spectector



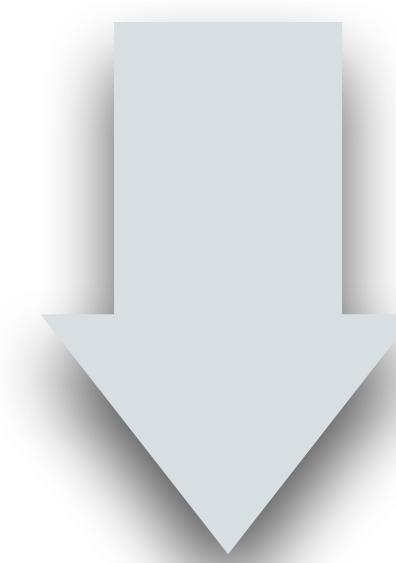
```
mov rax, A_size
mov rcx, x
cmp rcx, rax
jae END
L1: mov rax, A
mov rax, B
```

x64 to μ ASM

```
rax <- A_size
rcx <- x
jmp rcx >= rax, END
load rax, A + rcx
load rax, B + rax
```

More details

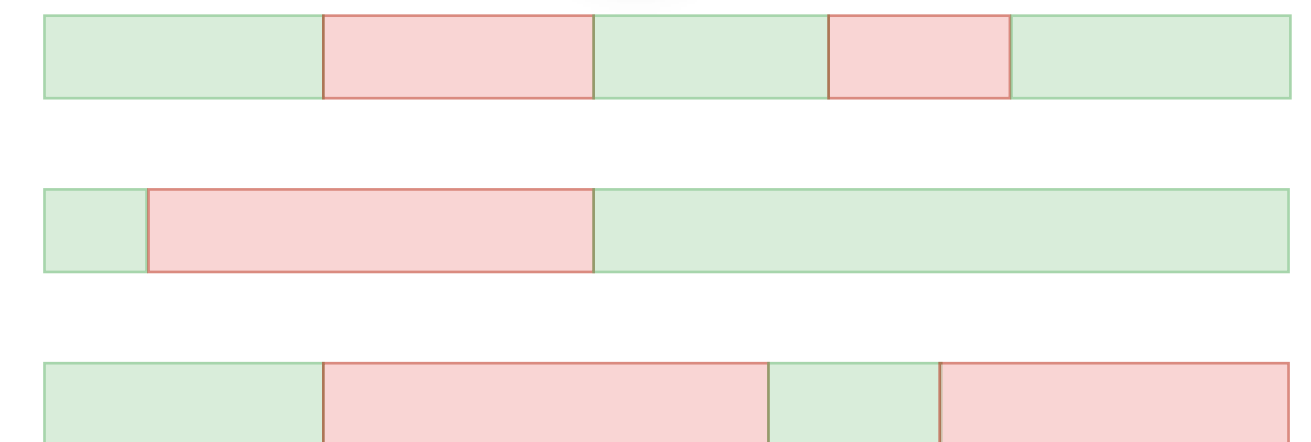
- Built in  Prolog
- **Z3** for symbolic execution and leak detection



Symbolic execution



Check for speculative leaks



Case study: compiler mitigations

Target:

- 15 variants of Spectre V1 by Paul Kocher*
- Compiled with Microsoft Visual C++, Intel ICC, and Clang with different mitigations and optimization levels
- 240 assembly programs of up to 200 instructions each

How:

- Use Spectector to prove security or detect leaks

* Paul Kocher - Spectre Mitigations in Microsoft C/C++ Compiler — <https://www.paulkocher.com/doc/MicrosoftCompilerSpectreMitigation.html>

Results

Ex.	VCC						ICC				CLANG					
	UNP		FEN 19.15		FEN 19.20		UNP		FEN		UNP		FEN		SLH	
	-00	-02	-00	-02	-00	-02	-00	-02	-00	-02	-00	-02	-00	-02	-00	-02
01	○	○	●	●	●	●	○	○	●	●	○	○	●	●	●	●
02	○	○	●	●	●	●	○	○	●	●	○	○	●	●	●	●
03	○	○	●	○	●	●	○	○	●	●	○	○	●	●	●	●
04	○	○	○	○	●	●	○	○	●	●	○	○	●	●	●	●
05	○	○	●	○	●	○	○	○	●	●	○	○	●	●	●	●
06	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	●
07	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	●
08	○	●	○	●	○	●	○	●	●	●	○	●	●	●	●	●
09	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	●
10	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	○
11	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	●
12	○	○	○	○	●	●	○	○	●	●	○	○	●	●	●	●
13	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	●
14	○	○	○	○	●	●	○	○	●	●	○	○	●	●	●	●
15	○	○	○	○	○	○	○	○	●	●	○	○	●	●	○	●

Results

Ex.	VCC						ICC				CLANG					
	UNP		FEN 19.15		FEN 19.20		UNP		FEN		UNP		FEN		SLH	
	-00	-02	-00	-02	-00	-02	-00	-02	-00	-02	-00	-02	-00	-02	-00	-02
01	○	○	●	●	●	●	○	○	●	●	○	○	●	●	●	●
02	○	○	●	●	●	●	○	○	●	●	○	○	●	●	●	●
03	○	○	●	○	●	●	○	○	●	●	○	○	●	●	●	●
04	○	○	○	○	●	●	○	○	●	●	○	○	●	●	●	●
05	○	○	●	○	●	○	○	○	●	●	○	○	●	●	●	●
06	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	●
07	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	●
08	○	●	○	●	○	●	○	●	●	●	○	●	●	●	●	●
09	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	●
10	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	○
11	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	●
12	○	○	○	○	●	●	○	○	●	●	○	○	●	●	●	●
13	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	●
14	○	○	○	○	●	●	○	○	●	●	○	○	●	●	●	●
15	○	○	○	○	○	○	○	○	●	●	○	○	●	●	○	●

Results

Ex.	VCC				ICC				CLANG							
	UNP		FEN 19.15		FEN 19.20		UNP		FEN		UNP		FEN		SLH	
	-00	-02	-00	-02	-00	-02	-00	-02	-00	-02	-00	-02	-00	-02	-00	-02
01	○	○	●	●	●	●	○	○	●	●	○	○	●	●	●	●
02	○	○	●	●	●	●	○	○	●	●	○	○	●	●	●	●
03	○	○	●	○	●	●	○	○	●	●	○	○	●	●	●	●
04	○	○	○	○	●	●	○	○	●	●	○	○	●	●	●	●
05	○	○	●	○	●	○	○	○	●	●	○	○	●	●	●	●
06	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	●
07	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	●
08	○	●	○	●	○	●	○	●	●	●	○	●	●	●	●	●
09	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	●
10	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	○
11	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	●
12	○	○	○	○	●	●	○	○	●	●	○	○	●	●	●	●
13	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	●
14	○	○	○	○	●	●	○	○	●	●	○	○	●	●	●	●
15	○	○	○	○	○	○	○	○	●	●	○	○	●	●	○	●

Results

Ex.	VCC				ICC				CLANG							
	UNP		FEN 19.15		FEN 19.20		UNP		FEN		UNP		FEN		SLH	
	-00	-02	-00	-02	-00	-02	-00	-02	-00	-02	-00	-02	-00	-02	-00	-02
01	○	○	●	●	●	●	○	○	●	●	○	○	●	●	●	●
02	○	○	●	●	●	●	○	○	●	●	○	○	●	●	●	●
03	○	○	●	○	●	●	○	○	●	●	○	○	●	●	●	●
04	○	○	○	○	●	●	○	○	●	●	○	○	●	●	●	●
05	○	○	●	○	●	○	○	○	●	●	○	○	●	●	●	●
06	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	●
07	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	●
08	○	●	○	●	○	●	○	●	●	●	○	●	●	●	●	●
09	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	●
10	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	○
11	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	●
12	○	○	○	○	●	●	○	○	●	●	○	○	●	●	●	●
13	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	●
14	○	○	○	○	●	●	○	○	●	●	○	○	●	●	●	●
15	○	○	○	○	○	○	○	○	●	●	○	○	●	●	○	●

Results

No countermeasures

Ex.	VCC				ICC				CLANG							
	UNP		FEN 19.15		FEN 19.20		UNP		FEN		UNP		FEN		SLH	
	-00	-02	-00	-02	-00	-02	-00	-02	-00	-02	-00	-02	-00	-02	-00	-02
01	○	○	●	●	●	●	○	○	●	●	○	○	●	●	●	●
02	○	○	●	●	●	●	○	○	●	●	○	○	●	●	●	●
03	○	○	●	○	●	●	○	○	●	●	○	○	●	●	●	●
04	○	○	○	○	●	●	○	○	●	●	○	○	●	●	●	●
05	○	○	●	○	●	○	○	○	●	●	○	○	●	●	●	●
06	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	●
07	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	●
08	○	●	○	●	○	●	○	●	●	●	○	●	●	●	●	●
09	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	●
10	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	○
11	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	●
12	○	○	○	○	●	●	○	○	●	●	○	○	●	●	●	●
13	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	●
14	○	○	○	○	●	●	○	○	●	●	○	○	●	●	●	●
15	○	○	○	○	○	○	○	○	●	●	○	○	●	●	○	●

Results

Automated insertion of fences

Ex.	VCC				ICC				CLANG							
	UNP		FEN 19.15		FEN 19.20		UNP		FEN		UNP		FEN		SLH	
	-00	-02	-00	-02	-00	-02	-00	-02	-00	-02	-00	-02	-00	-02	-00	-02
01	○	○	●	●	●	●	○	○	●	●	○	○	●	●	●	●
02	○	○	●	●	●	●	○	○	●	●	○	○	●	●	●	●
03	○	○	●	○	●	●	○	○	●	●	○	○	●	●	●	●
04	○	○	○	○	●	●	○	○	●	●	○	○	●	●	●	●
05	○	○	●	○	●	○	○	○	●	●	○	○	●	●	●	●
06	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	●
07	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	●
08	○	●	○	●	○	●	○	●	●	●	○	●	●	●	●	●
09	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	●
10	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	○
11	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	●
12	○	○	○	○	●	●	○	○	●	●	○	○	●	●	●	●
13	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	●
14	○	○	○	○	●	●	○	○	●	●	○	○	●	●	●	●
15	○	○	○	○	○	○	○	○	●	●	○	○	●	●	○	●

Results

Speculative load
hardening

Ex.	VCC				ICC				CLANG							
	UNP		FEN 19.15		FEN 19.20		UNP		FEN		UNP		FEN		SLH	
	-00	-02	-00	-02	-00	-02	-00	-02	-00	-02	-00	-02	-00	-02	-00	-02
01	○	○	●	●	●	●	○	○	●	●	○	○	●	●	●	●
02	○	○	●	●	●	●	○	○	●	●	○	○	●	●	●	●
03	○	○	●	○	●	●	○	○	●	●	○	○	●	●	●	●
04	○	○	○	○	●	●	○	○	●	●	○	○	●	●	●	●
05	○	○	●	○	●	○	○	○	●	●	○	○	●	●	●	●
06	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	●
07	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	●
08	○	●	○	●	○	●	○	●	●	●	○	●	●	●	●	●
09	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	●
10	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	○
11	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	●
12	○	○	○	○	●	●	○	○	●	●	○	○	●	●	●	●
13	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	●
14	○	○	○	○	●	●	○	○	●	●	○	○	●	●	●	●
15	○	○	○	○	○	○	○	○	●	●	○	○	●	●	○	●

Results

Ex.	VCC						ICC				CLANG					
	UNP		FEN 19.15		FEN 19.20		UNP		FEN		UNP		FEN		SLH	
	-00	-02	-00	-02	-00	-02	-00	-02	-00	-02	-00	-02	-00	-02	-00	-02
01	○	○	●	●	●	●	○	○	●	●	○	○	●	●	●	●
02	○	○	●	●	●	●	○	○	●	●	○	○	●	●	●	●
03	○	○	●	○	●	●	○	○	●	●	○	○	●	●	●	●
04	○	○	○	○	●	●	○	○	●	●	○	○	●	●	●	●
05	○	○	●	○	●	○	○	○	●	●	○	○	●	●	●	●
06	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	●
07	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	●
08	○	●	○	●	○	●	○	●	●	●	○	●	●	●	●	●
09	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	●
10	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	○
11	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	●
12	○	○	○	○	●	●	○	○	●	●	○	○	●	●	●	●
13	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	●
14	○	○	○	○	●	●	○	○	●	●	○	○	●	●	●	●
15	○	○	○	○	○	○	○	○	●	●	○	○	●	●	○	●

Results

Ex.	VCC						ICC				CLANG							
	UNP		FEN 19.15		FEN 19.20		UNP		FEN		UNP		FEN		SLH			
	-00	-02	-00	-02	-00	-02	-00	-02	-00	-02	-00	-02	-00	-02	-00	-02		
01	○	○	●	●	●	●	○	○	●	●	○	○	●	●	●	●	●	●
02	○	○	●	●	●	●	○	○	●	●	○	○	●	●	●	●	●	●
03	○	○	●	○	●	●	○	○	●	●	○	○	●	●	●	●	●	●
04	○	○	○	○	●	●	○	○	●	●	○	○	●	●	●	●	●	●
05	○	○	●	○	●	○	○	○	●	●	○	○	●	●	●	●	●	●
06	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	●	●	●
07	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	●	●	●
08	○	●	○	●	○	●	○	●	●	●	○	●	●	●	●	●	●	●
09	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	●	●	●
10	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	●	●	○
11	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	●	●	●
12	○	○	○	○	●	●	○	○	●	●	○	○	●	●	●	●	●	●
13	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	●	●	●
14	○	○	○	○	●	●	○	○	●	●	○	○	●	●	●	●	●	●
15	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	●	○	●

Results

Ex.	VCC				ICC				CLANG					
	UNP		FEN 19.15	FEN 19.20	UNP		FEN	UNP		FEN	SLH			
	-00	-02								-02	-00	-02		
01	○	○								●	●	●		
02	○	○								●	●	●		
03	○	○								●	●	●		
04	○	○								●	●	●		
05	○	○								●	●	●		
06	○	○								●	●	●		
07	○	○								●	●	●		
08	○	●								●	●	●		
09	○	○								●	●	●		
10	○	○								●	●	○		
11	○	○								●	●	●		
12	○	○								●	●	●		
13	○	○								●	●	●		
14	○	○	○	○	●	●	○	○	●	●	○	○	●	●
15	○	○	○	○	○	○	○	○	○	○	○	○	○	○

Summary

- Leaks in all unprotected programs (except example #08 with optimizations)
- Confirm all vulnerabilities in VCC pointed out by Paul Kocher
- Programs with fences (ICC and Clang) are secure
 - Unnecessary fences
- Programs with SLH are secure except #10 and #15

Case study: scalability

Target: Xen hypervisors

Main challenges for scalability:

- Policy definition
- ISA coverage
- Path explosion

How:

- Analyze scalability of checking SNI **relative to** symbolic execution
- 24'000 symbolic paths of < 10'000 instructions (from ~ 4'000 functions)

Case study: scalability

Target: Xen hypervisors

Main challenges for scalability:

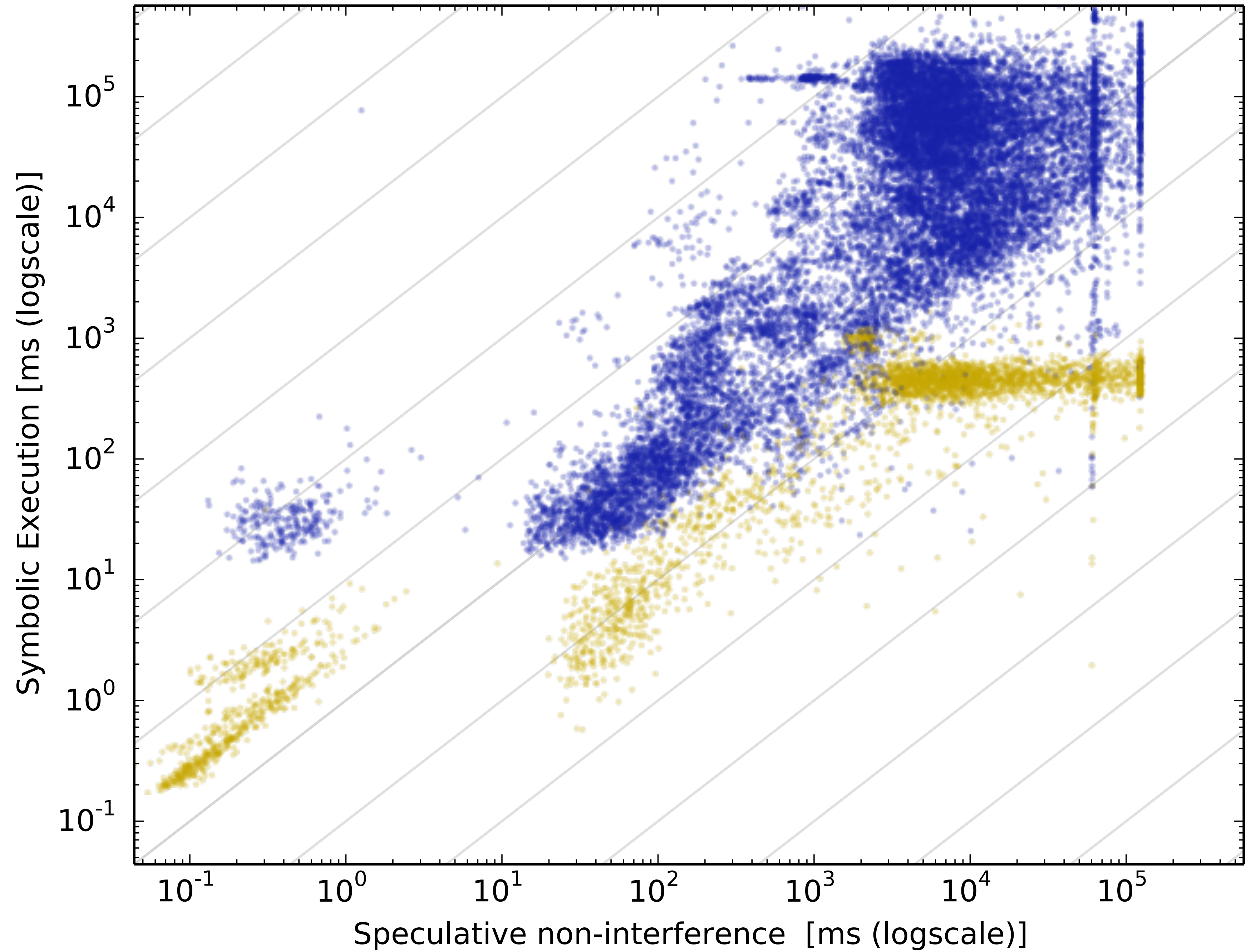
- Policy definition
- ISA coverage
- Path explosion

} Trade-offs affect analysis soundness and completeness

How:

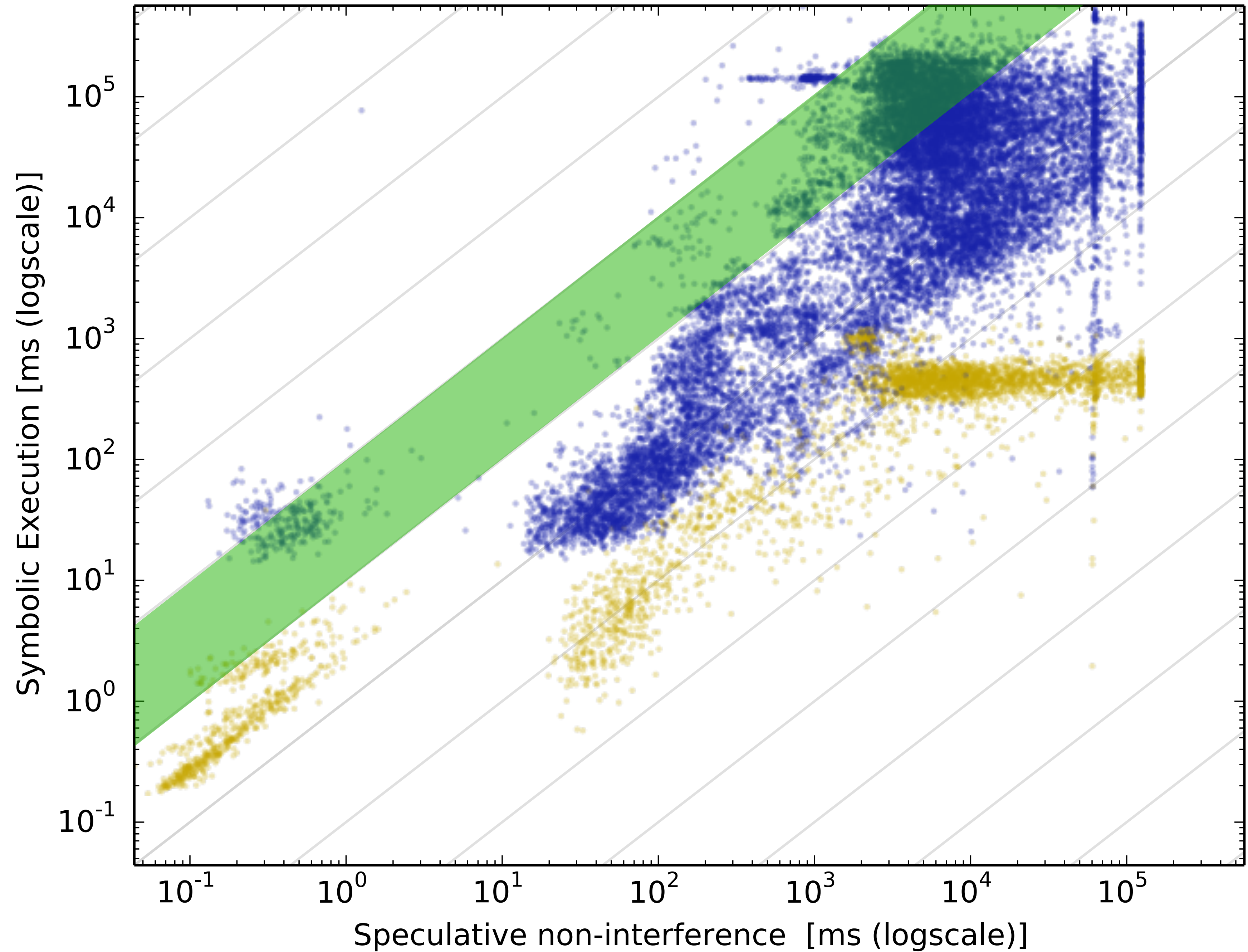
- Analyze scalability of checking SNI **relative to** symbolic execution
- 24'000 symbolic paths of < 10'000 instructions (from ~ 4'000 functions)

Results



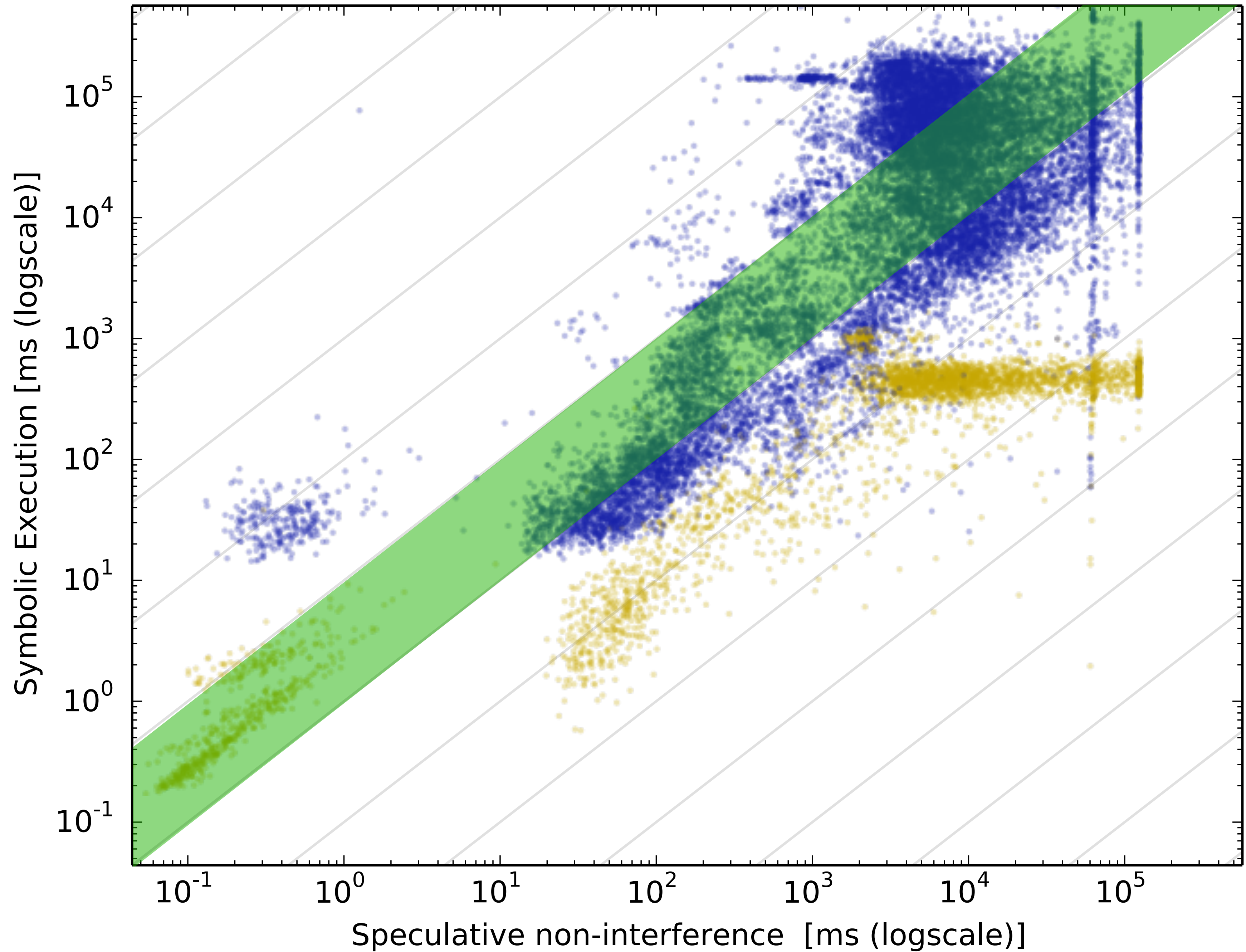
Results

- SNI 10x-100x faster
- 20.2% traces



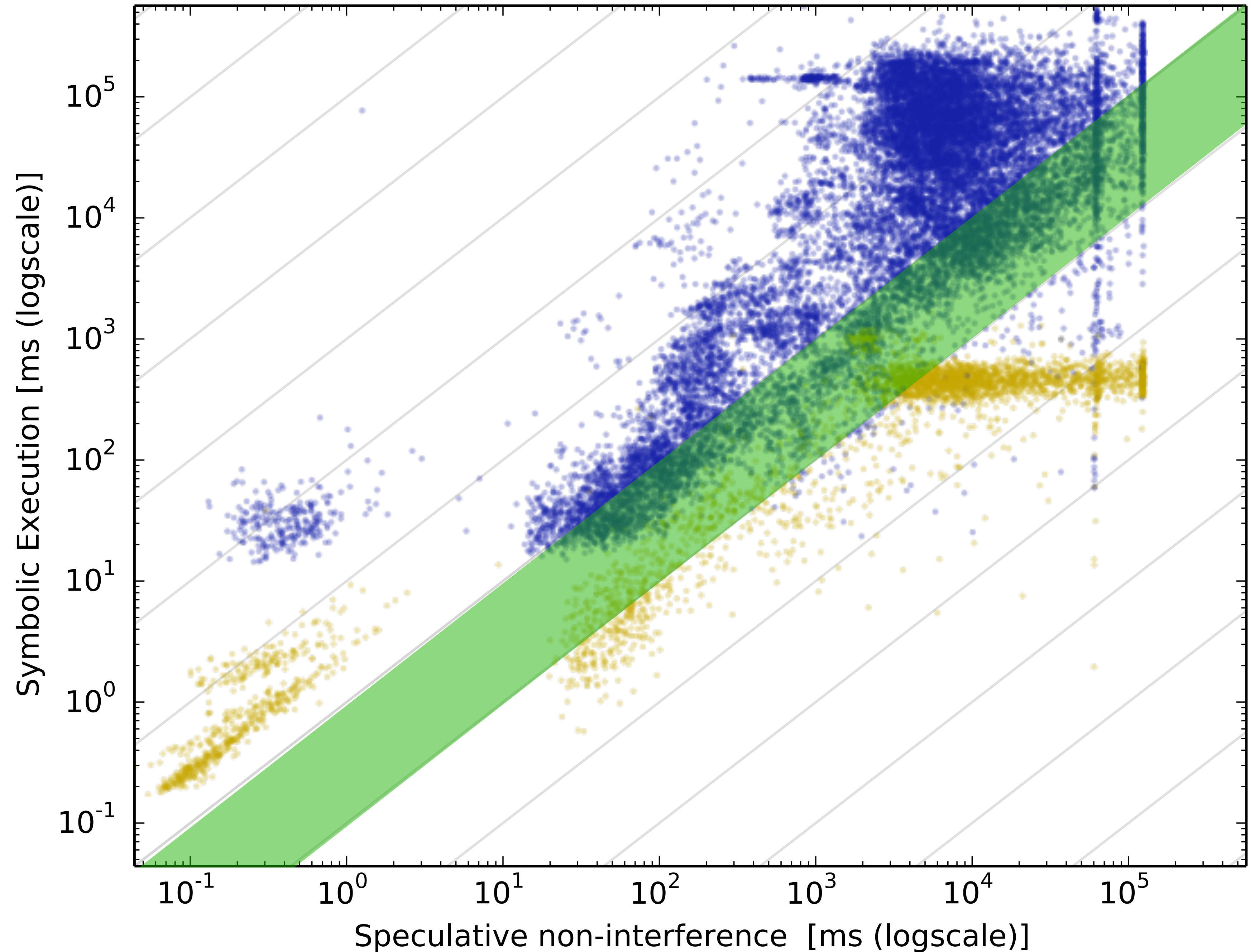
Results

- SNI 10x-100x faster
 - 20.2% traces
- SNI $\leq 10x$ faster
 - 41.9% traces



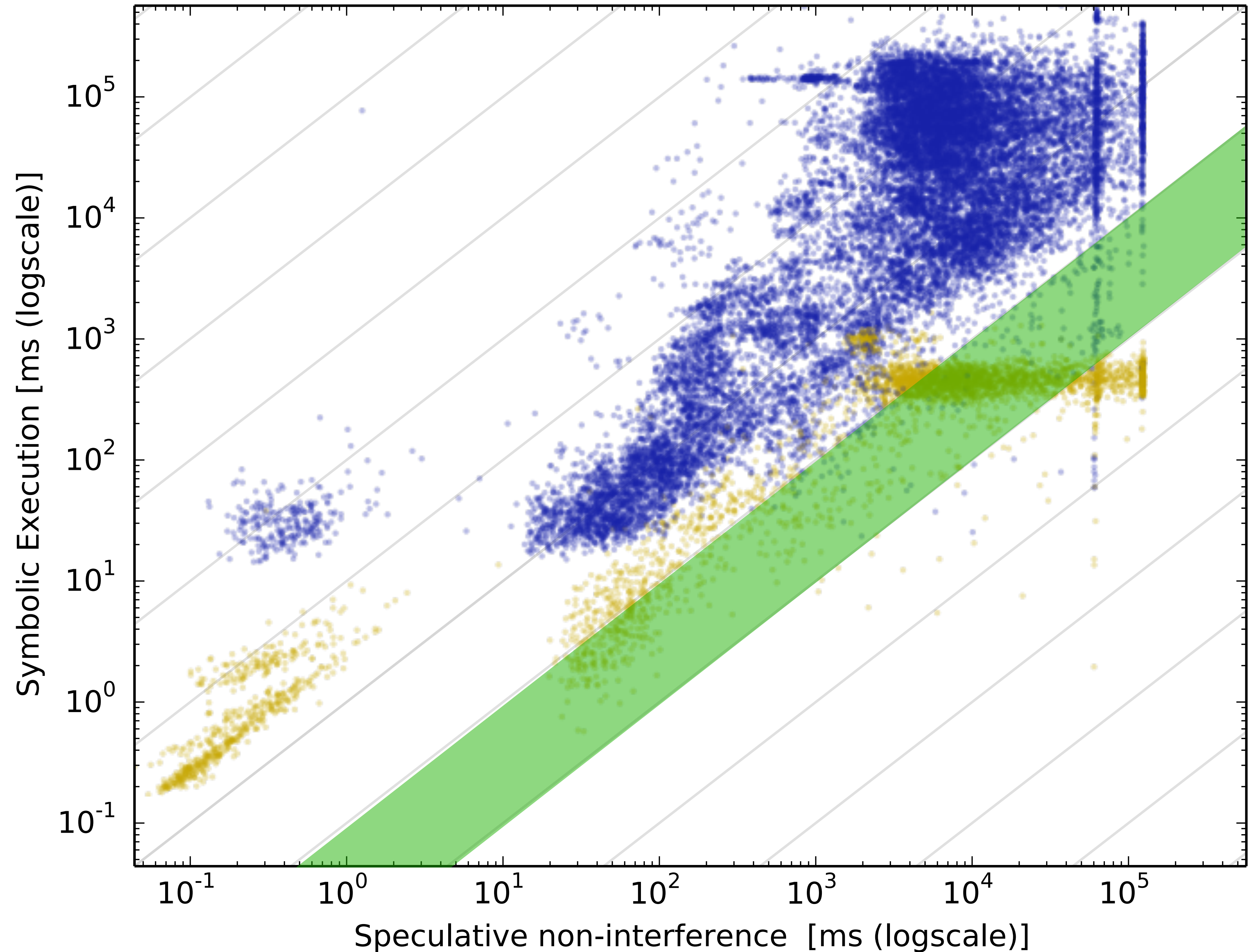
Results

- SNI 10x-100x faster
 - 20.2% traces
- SNI $\leq 10x$ faster
 - 41.9% traces
- SNI $\leq 10x$ slower
 - 26.9% traces



Results

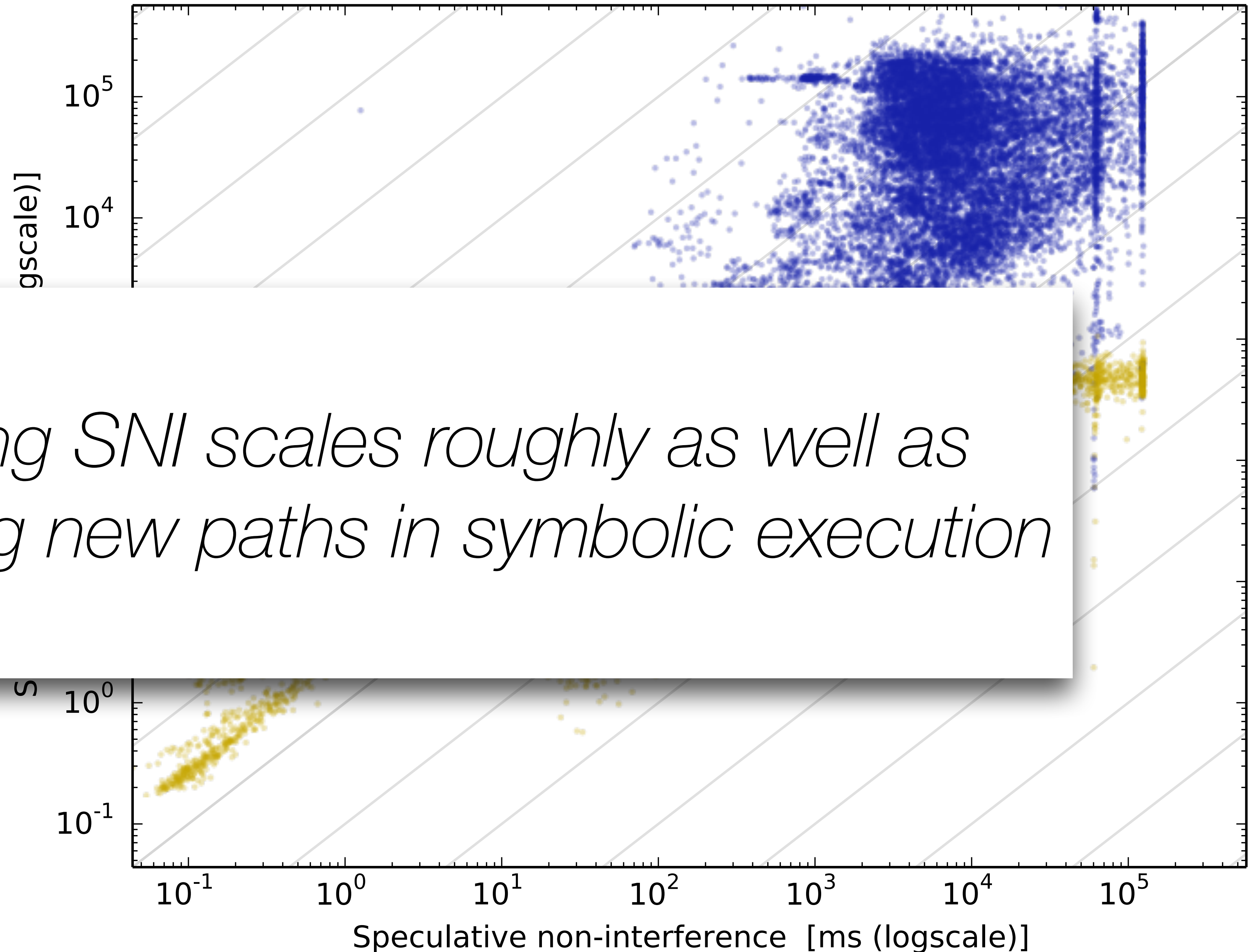
- SNI 10x-100x faster
 - 20.2% traces
- SNI $\leq 10x$ faster
 - 41.9% traces
- SNI $\leq 10x$ slower
 - 26.9% traces
- SNI 10x-100x slower
 - 7.9% traces



Results

- SNI 10x-100x faster
 - 20.9% traces
- SNI 10x-100x slower
 - 7.9% traces

Checking SNI scales roughly as well as discovering new paths in symbolic execution



Conclusion

Speculative non-interference

Formally!

Program **P** is **speculatively non-interferent** for prediction oracle **O** if

For all program states **s** and **s'**:

$$P_{\text{non-spec}}(s) = P_{\text{non-spec}}(s')$$

$$\Rightarrow P_{\text{spec}}(s, O) = P_{\text{spec}}(s', O)$$

See paper for: reasoning about **arbitrary prediction oracles**

Results

Ex.	Vcc						Icc				CLANG				SLH	
	UNP		FEN 19.15		FEN 19.20		UNP		FEN		UNP		FEN		-00	-02
	-00	-02	-00	-02	-00	-02	-00	-02	-00	-02	-00	-02	-00	-02	-00	-02
01	o	o	•	•	•	•	o	o	•	•	o	o	•	•	•	•
02	o	o	•	•	•	•	o	o	•	•	o	o	•	•	•	•
03	o	o	•	o	•	•	o	o	•	•	o	o	•	•	•	•
04	o	o	o	o	•	•	o	o	•	•	o	o	•	•	•	•
05	o	o	•	o	•	o	o	o	•	•	o	o	•	•	•	•
06	o	o	o	o	o	o	o	o	•	•	o	o	•	•	•	•
07	o	o	o	o	o	o	o	o	•	•	o	o	•	•	•	•
08	o	•	o	•	o	•	o	•	•	•	o	•	•	•	•	•
09	o	o	o	o	o	o	o	o	•	•	o	o	•	•	•	•
10	o	o	o	o	o	o	o	o	•	•	o	o	•	•	•	o
11	o	o	o	o	o	o	o	o	•	•	o	o	•	•	•	•
12	o	o	o	o	•	•	o	o	•	•	o	o	•	•	•	•
13	o	o	o	o	o	o	o	o	•	•	o	o	•	•	•	•
14	o	o	o	o	•	•	o	o	•	•	o	o	•	•	•	•
15	o	o	o	o	o	o	o	o	•	•	o	o	•	•	o	•

Spectector



```

mov    rax, A_size
mov    rcx, x
cmp    rcx, rax
jae    END
L1:    mov    rax, A[rcx]
mov    rax, B[rax]
    
```

x64 to μASM

```

rax <- A_size
rcx <- x
jmp rcx >= rax, END
L1:  load rax, A + rcx
load rax, B + rax
END:
    
```

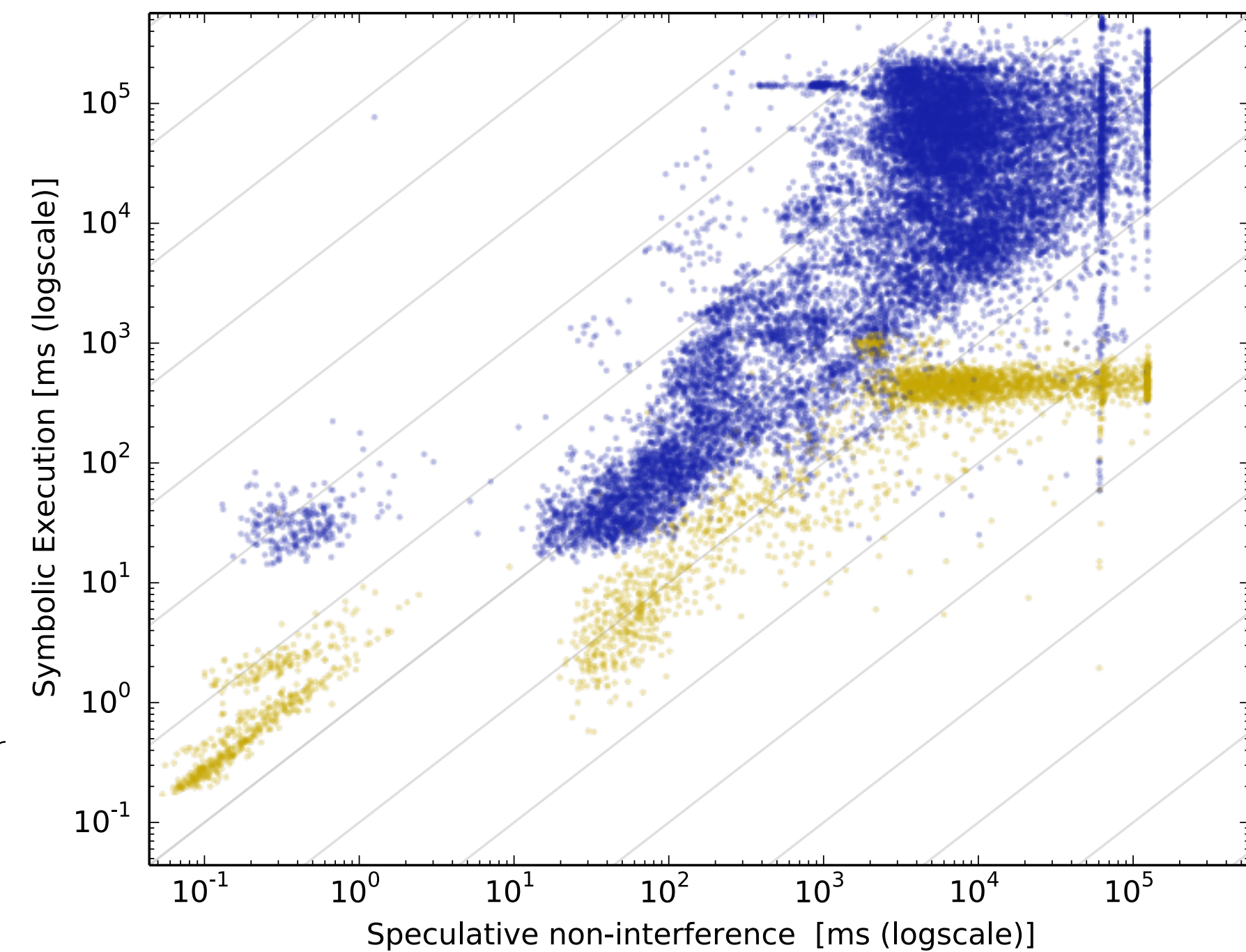
Symbolic execution



Check for speculative leaks

Results

- SNI 10x-100x faster
 - 20.2% traces
- SNI ≤10x faster
 - 41.9% traces
- SNI ≤10x slower
 - 26.9% traces
- SNI 10x-100x slower
 - 7.9% traces



Speculative non-interference

Spectector



Formally!

Program **P** is **speculatively non-interferent** for prediction oracle **O** if

```

mov    rax, A_size
mov    rcx, x
cmp    rcx, rax
jae    END
L1:    mov    rax, A[rcx]
    
```

x64 to μASM

```

rax <- A_size
rcx <- x
jmp rcx >= rax, END
L1:  load rax, A + rcx
    load rax, B + rax
    END
    
```

For all
P_{nc}

Spectector



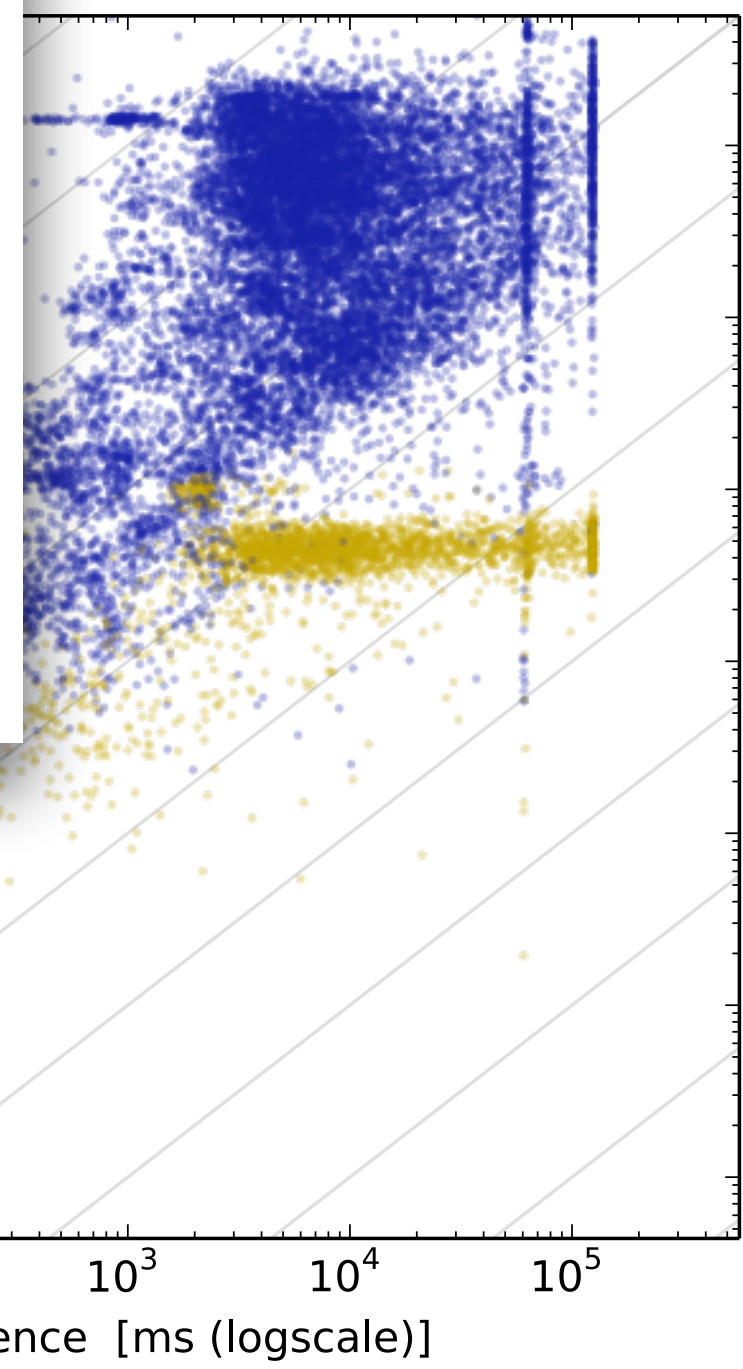
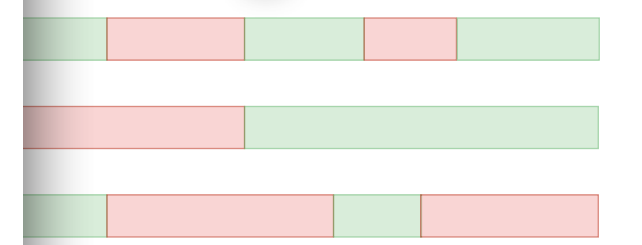
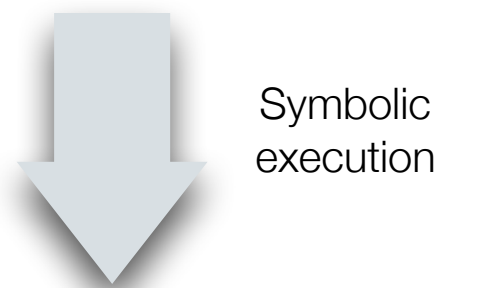
<https://spectector.github.io>



marco.guarnieri@imdea.org



@MarcoGuarnier1



See paper for:

Results



Ex.	Vcc			
	UNP		FEN 19.15	
	-00	-02	-00	-02
01	○	○	●	●
02	○	○	●	●
03	○	○	●	○
04	○	○	○	○
05	○	○	●	○
06	○	○	○	○
07	○	○	○	○
08	○	●	○	●
09	○	○	○	○
10	○	○	○	○
11	○	○	○	○
12	○	○	○	○
13	○	○	○	○
14	○	○	○	○
15	○	○	○	○

- SNI ≤ 10x slower
 - 26.9% traces
- SNI 10x-100x slower
 - 7.9% traces

Backup

Speculative non-interference

```
rax <- A_size
```

```
rcx <- x
```

```
jmp rcx ≥ rax, END
```

```
L1: load rax, A + rcx
```

```
load rax, B + rax
```

```
END:
```


Speculative non-interference

```
rax <- A_size
```

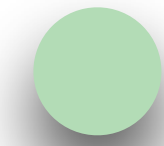
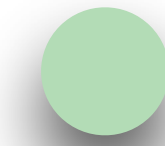
```
rcx <- x
```

```
jmp rcx ≥ rax, END
```

```
L1: load rax, A + rcx
```

```
load rax, B + rax
```

```
END:
```



Speculative non-interference

```
rax <- A_size
```

```
rcx <- x
```

```
jmp rcx ≥ rax, END
```

```
L1: load rax, A + rcx
```

```
load rax, B + rax
```

```
END:
```

x=128
A_size=16
A[128]=0

x=128
A_size=16
A[128]=1

Speculative non-interference

```
rax <- A_size
```

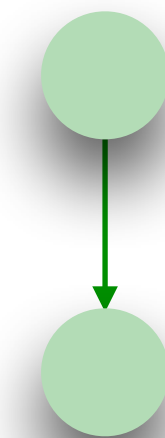
```
rcx <- x
```

```
jmp rcx ≥ rax, END
```

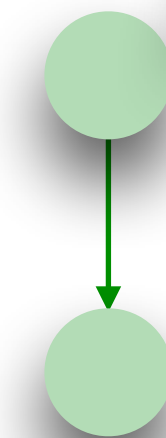
```
L1: load rax, A + rcx
```

```
load rax, B + rax
```

```
END:
```



x=128
A_size=16
A[128]=0



x=128
A_size=16
A[128]=1

Speculative non-interference

```
rax <- A_size
```

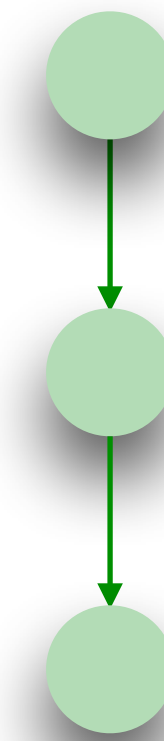
```
rcx <- x
```

```
jmp rcx ≥ rax, END
```

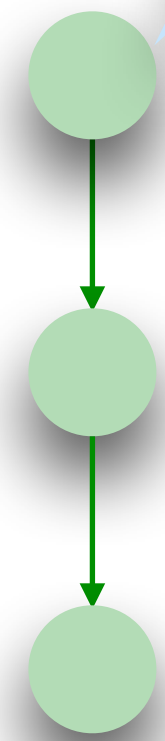
```
L1: load rax, A + rcx
```

```
load rax, B + rax
```

```
END:
```



x=128
A_size=16
A[128]=0



x=128
A_size=16
A[128]=1

Speculative non-interference

```
rax <- A_size
```

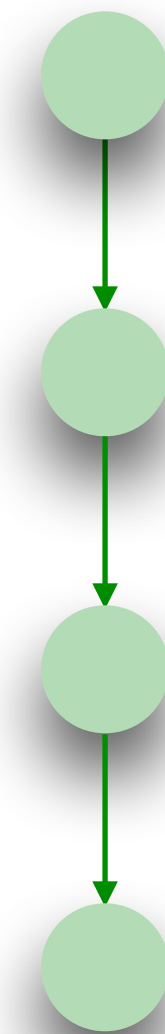
```
rcx <- x
```

```
jmp rcx ≥ rax, END
```

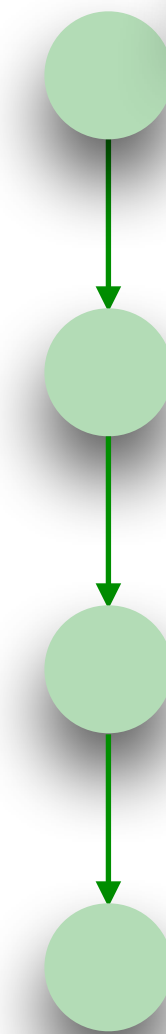
```
L1: load rax, A + rcx
```

```
load rax, B + rax
```

```
END:
```



x=128
A_size=16
A[128]=0



x=128
A_size=16
A[128]=1

Speculative non-interference

```
rax <- A_size
```

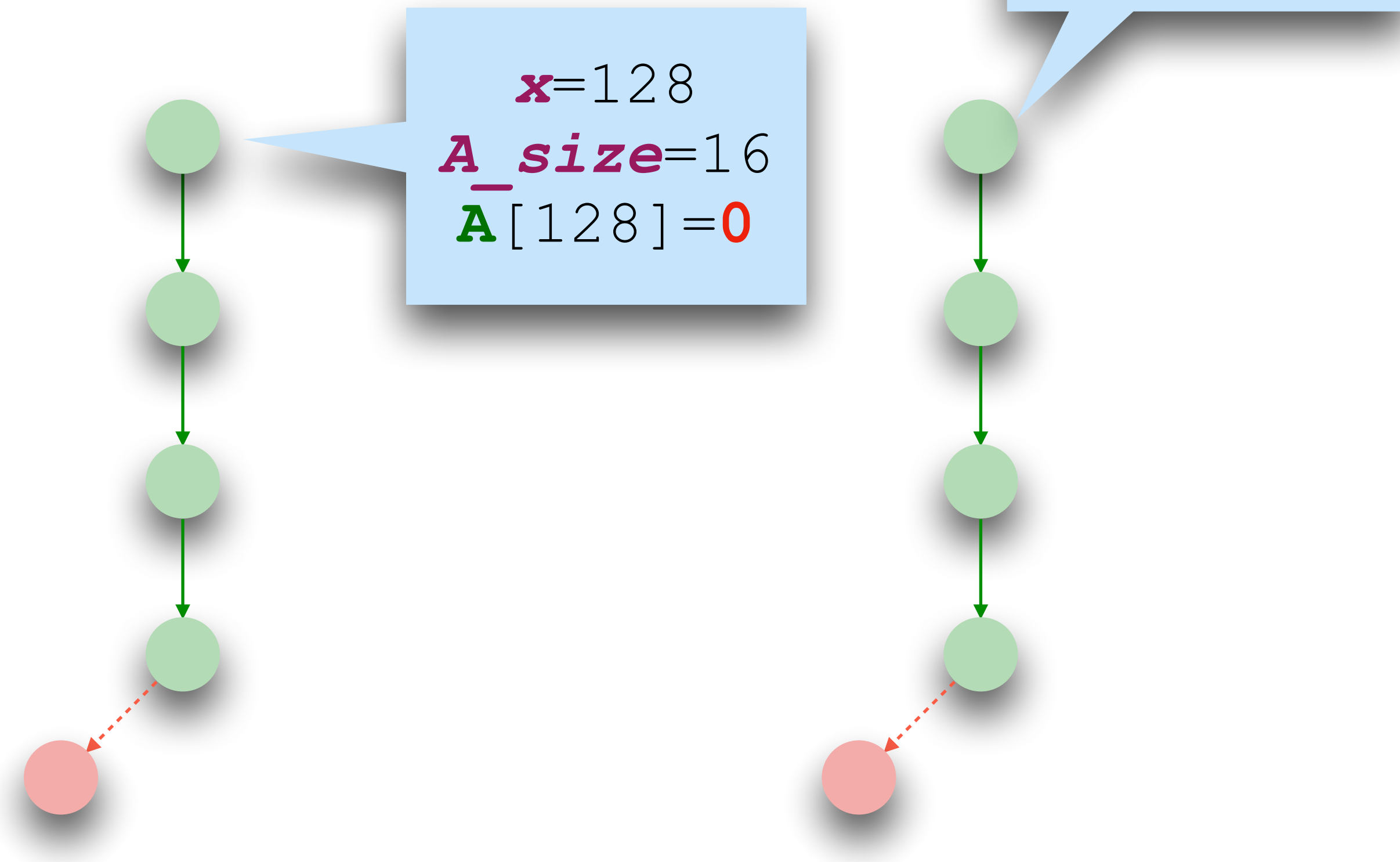
```
rcx <- x
```

```
jmp rcx ≥ rax, END
```

```
L1: load rax, A + rcx
```

```
load rax, B + rax
```

```
END:
```



Speculative non-interference

```
rax <- A_size
```

```
rcx <- x
```

```
jmp rcx ≥ rax, END
```

```
L1: load rax, A + rcx
```

```
load rax, B + rax
```

```
END:
```

load **A**+128

load **A**+128

x=128
A_size=16
A[128]=0

x=128
A_size=16
A[128]=1

Speculative non-interference

```
rax <- A_size
```

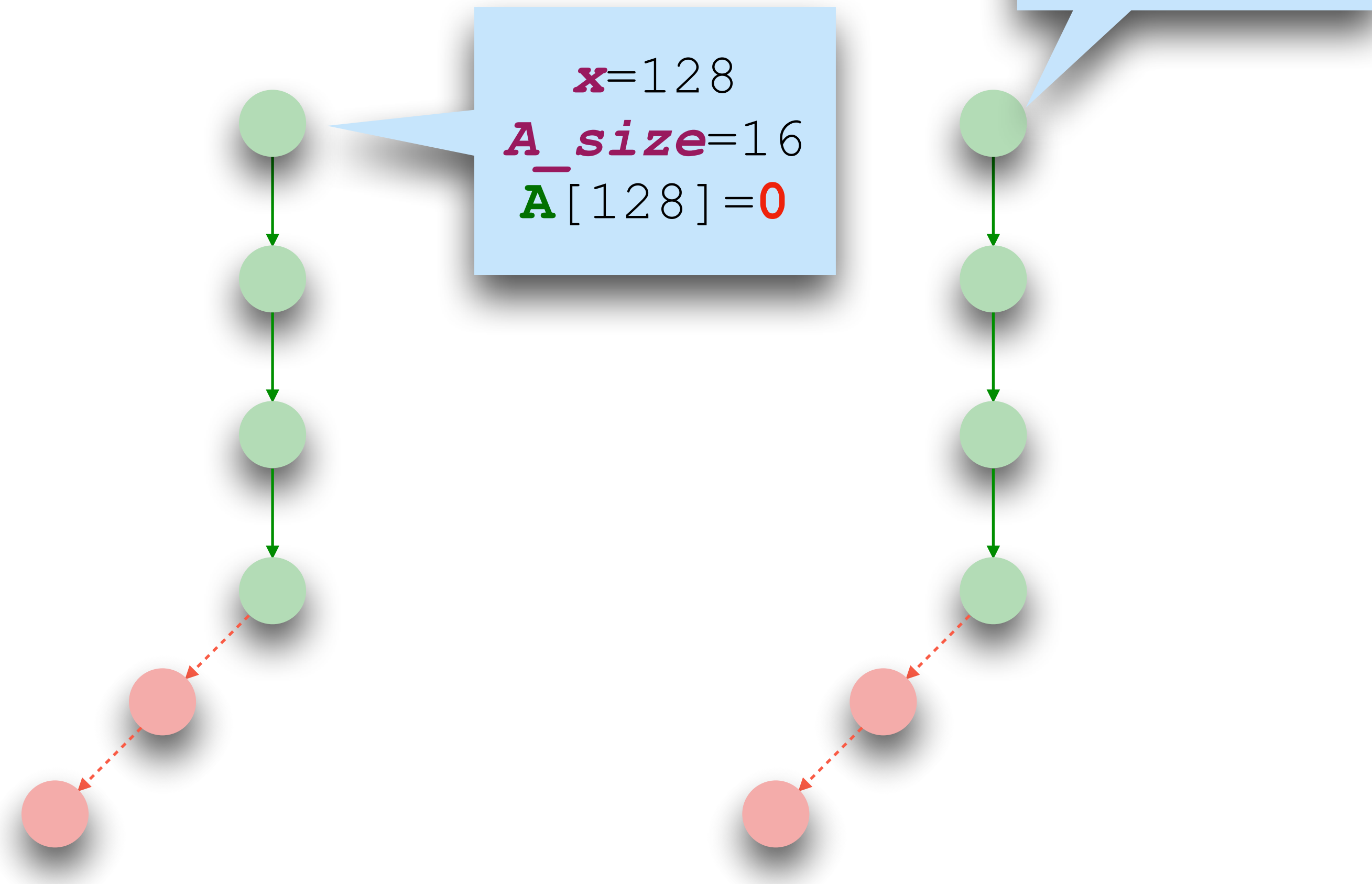
```
rcx <- x
```

```
jmp rcx ≥ rax, END
```

```
L1: load rax, A + rcx
```

```
load rax, B + rax
```

```
END:
```



Speculative non-interference

```
rax <- A_size
```

```
rcx <- x
```

```
jmp rcx ≥ rax, END
```

```
L1: load rax, A + rcx
```

```
load rax, B + rax
```

```
END:
```

load **B+0**

load **B+1**

x=128
A_size=16
A[128]=0

x=128
A_size=16
A[128]=1

Speculative non-interference

```
rax <- A_size
```

```
rcx <- x
```

```
jmp rcx ≥ rax, END
```

```
L1: load rax, A + rcx
```

```
load rax, B + rax
```

```
END:
```

```
load B+0
```

```
load B+1
```

```
x=128  
A_size=16  
A[128]=0
```

```
x=128  
A_size=16  
A[128]=1
```

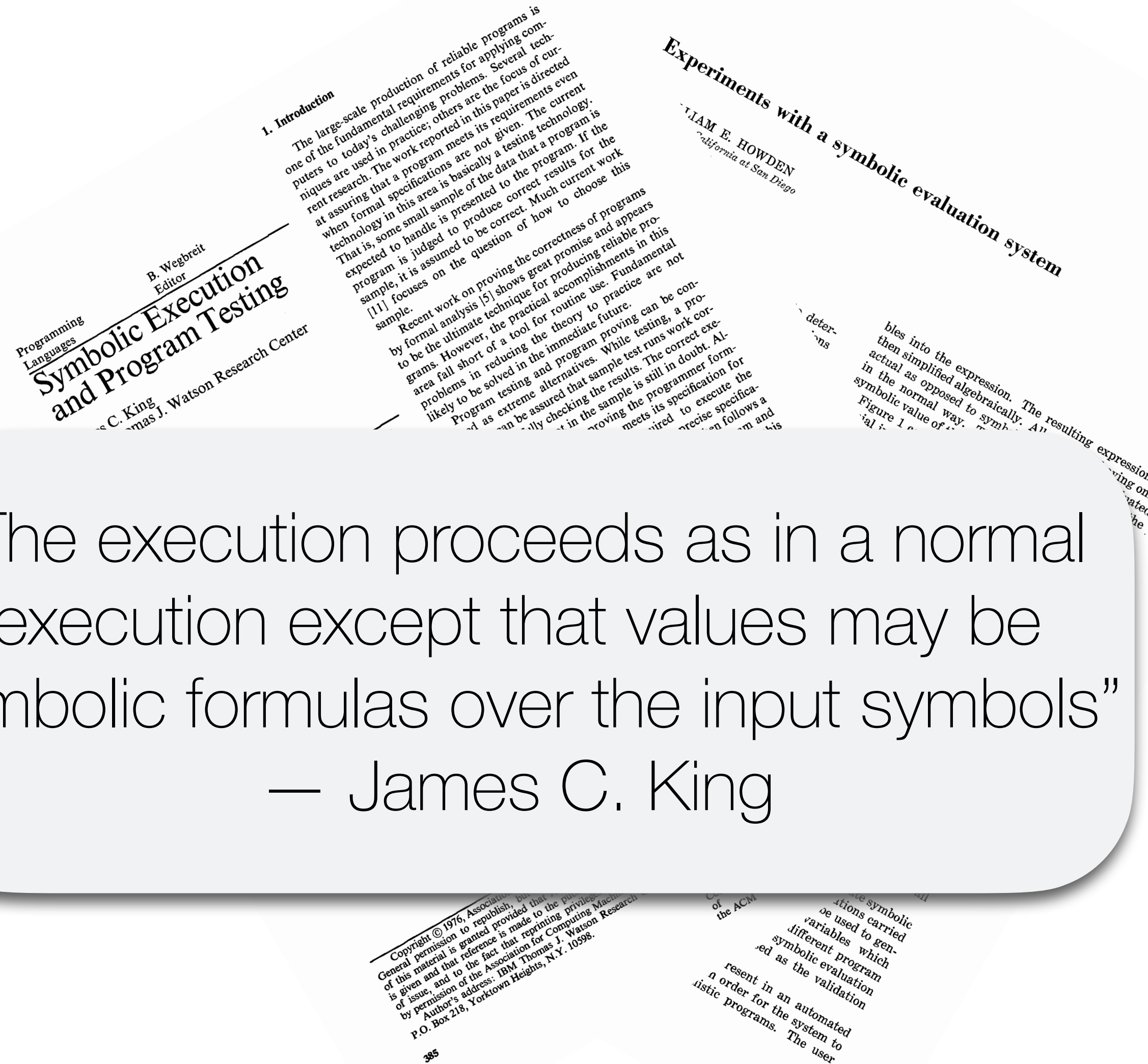


Symbolic execution

Symbolic execution

- Program analysis technique
- Execute programs over symbolic values

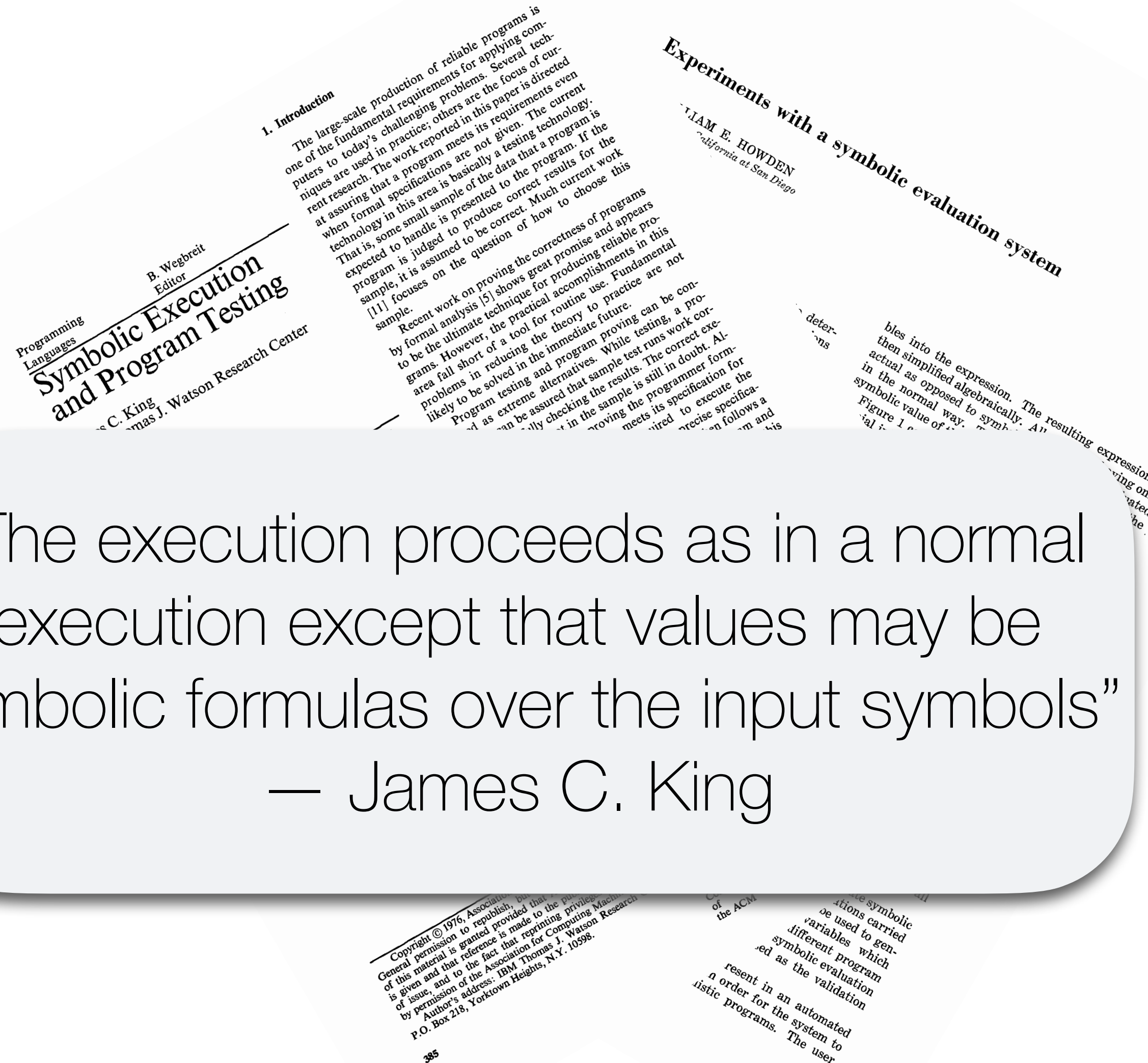
“The execution proceeds as in a normal execution except that values may be symbolic formulas over the input symbols”
— James C. King



Symbolic execution

- Program analysis technique
- Execute programs over symbolic values
 - Explore all paths, each with its own path constraint

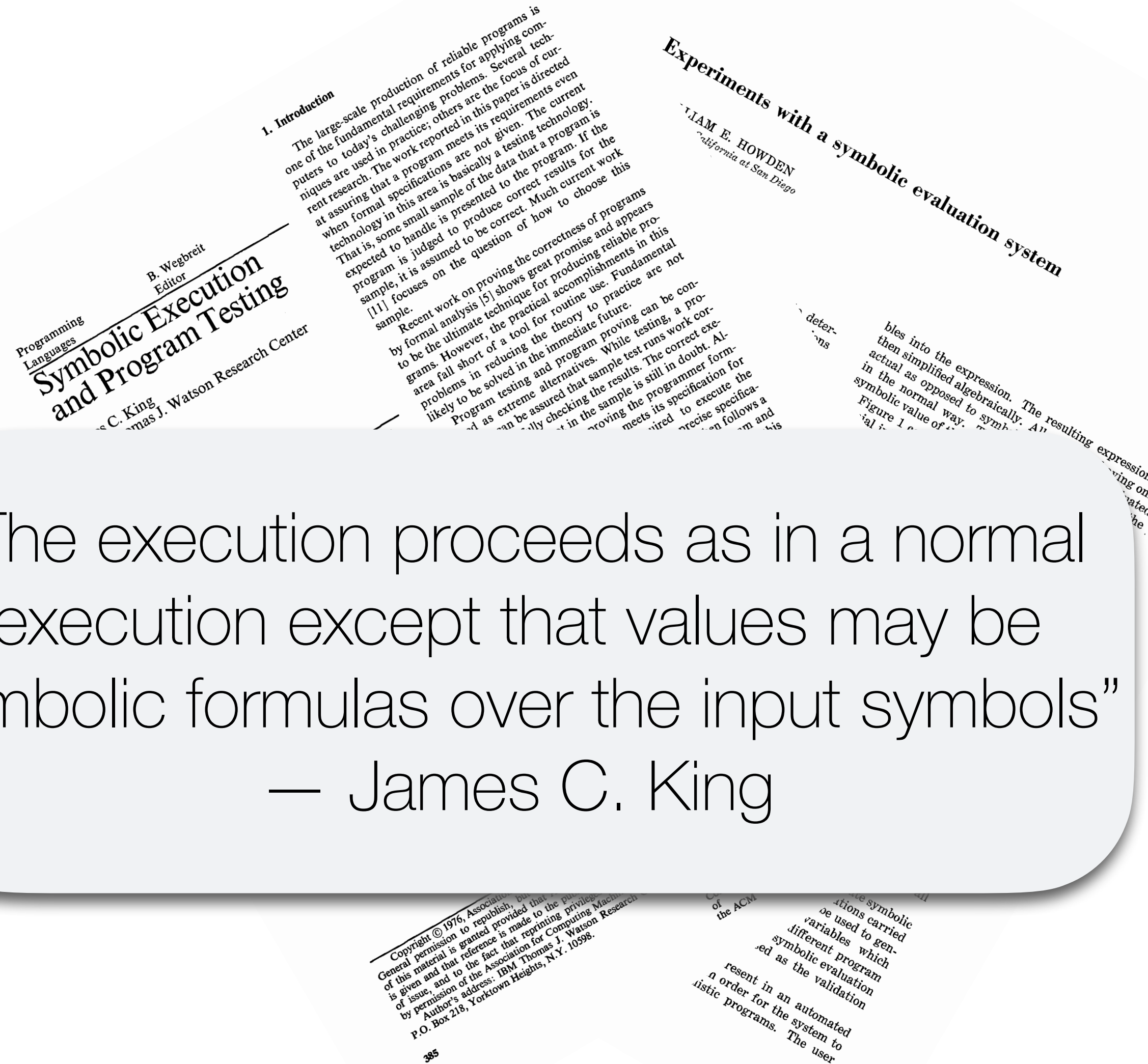
“The execution proceeds as in a normal execution except that values may be symbolic formulas over the input symbols”
— James C. King



Symbolic execution

- Program analysis technique
- Execute programs over symbolic values
 - Explore all paths, each with its own path constraint
 - Each path represents all concrete executions satisfying the constraint

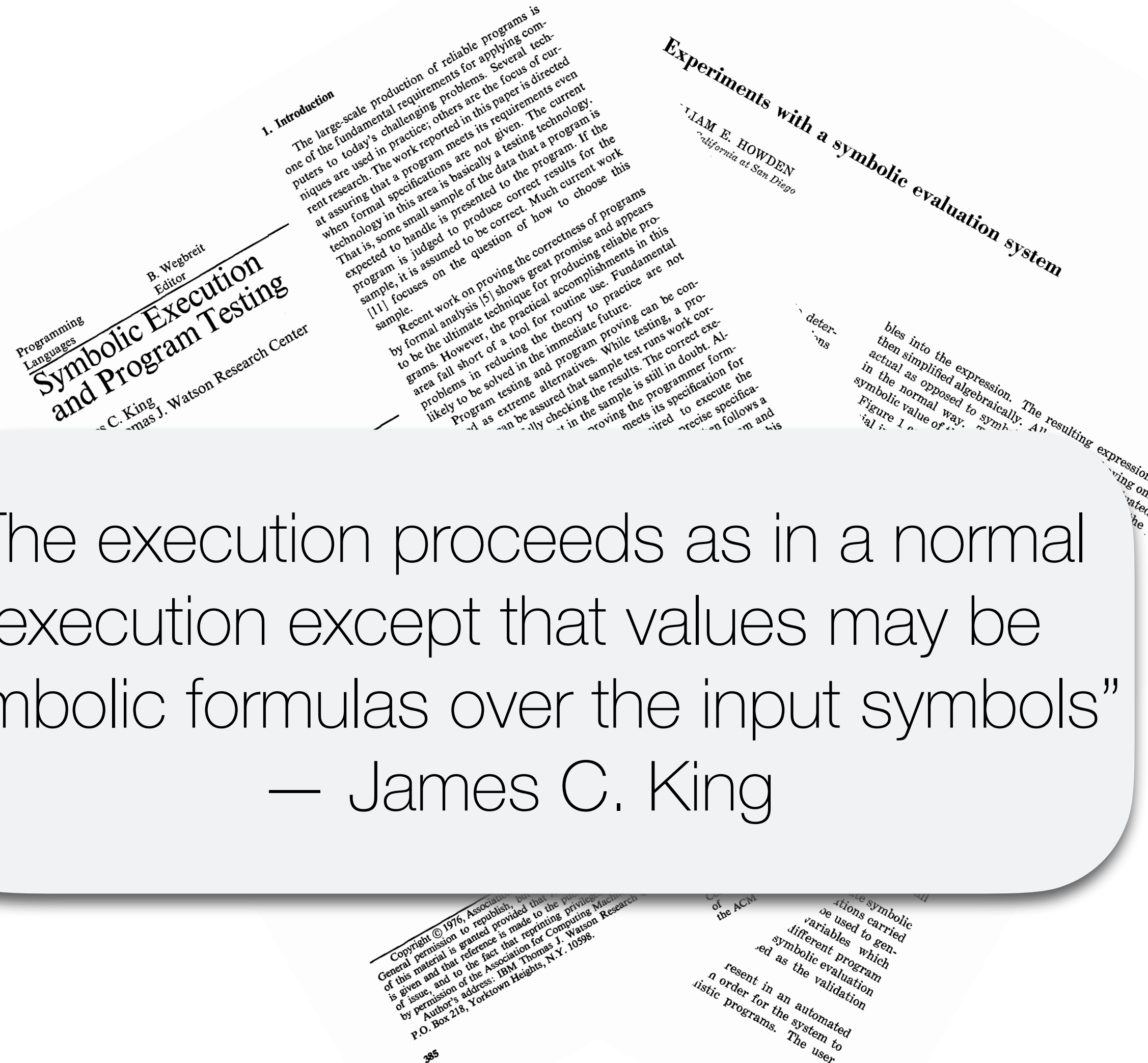
“The execution proceeds as in a normal execution except that values may be symbolic formulas over the input symbols”
— James C. King



Symbolic execution

- Program analysis technique
- Execute programs over symbolic values
 - Explore all paths, each with its own path constraint
 - Each path represents all concrete executions satisfying the constraint
 - Branch and jump instructions: fork paths and update path constraint

“The execution proceeds as in a normal execution except that values may be symbolic formulas over the input symbols”
— James C. King



Symbolic execution

```
1. if (x < A_size)
2.     y = A[x]
3.     z = B[y]
4. end
```

Symbolic execution

```
1. if (x < A_size)
2.     y = A[x]
3.     z = B[y]
4. end
```

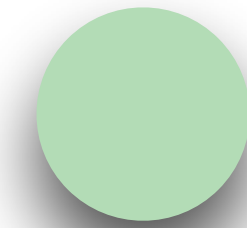


Always mispredict
branch instructions

Symbolic execution

```
1. if (x < A_size)
2.     y = A[x]
3.     z = B[y]
4. end
```

true

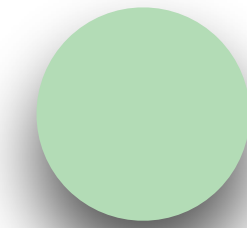


Always mispredict
branch instructions

Symbolic execution

```
1. if (x < A_size)
2.     y = A[x]
3.     z = B[y]
4. end
```

true

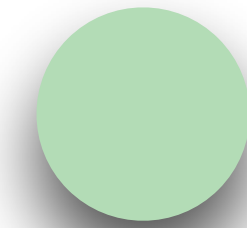


Always mispredict
branch instructions

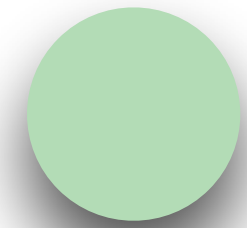
Symbolic execution

```
1. if (x < A_size)
2.     y = A[x]
3.     z = B[y]
4. end
```

$x \geq A_size$



$x < A_size$



Always mispredict
branch instructions

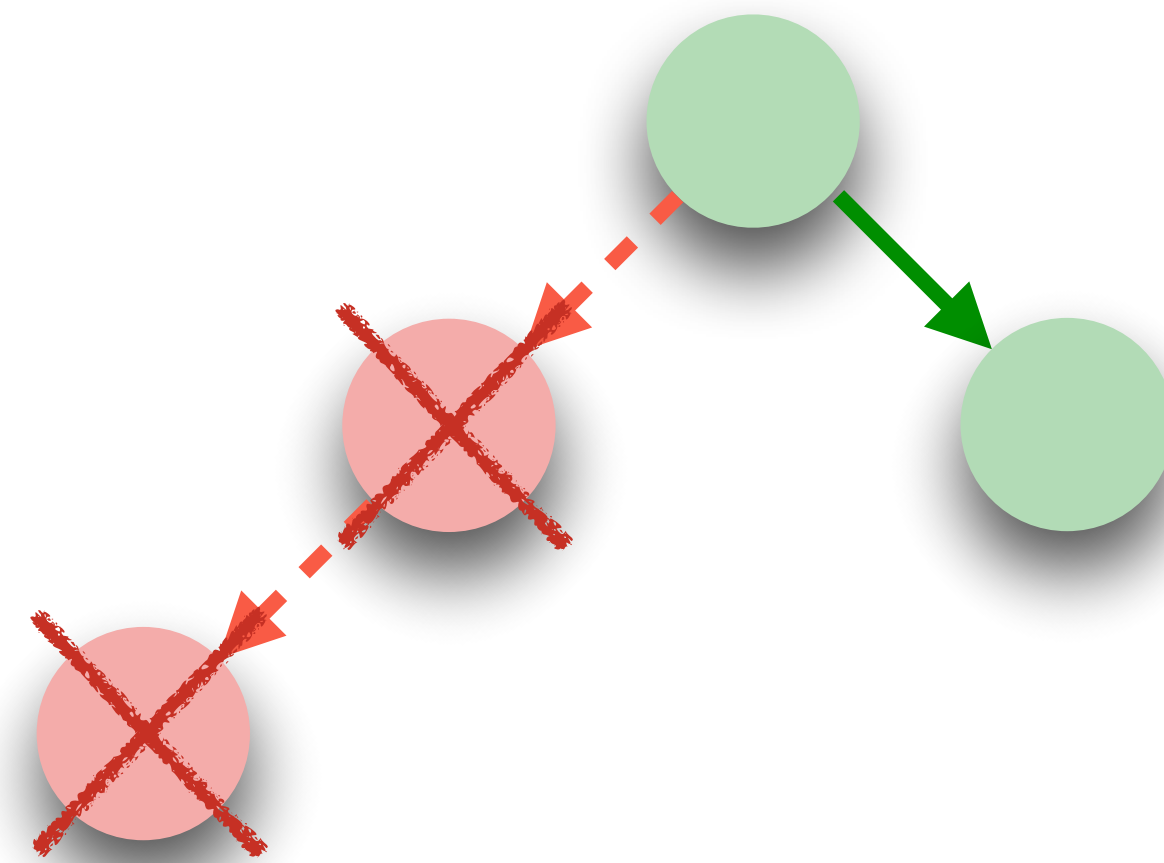
Symbolic execution

```
1. if (x < A_size)
2.     y = A[x]
3.     z = B[y]
4. end
```

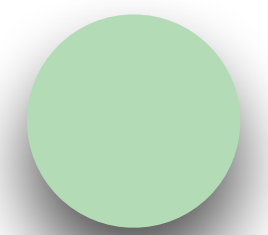


Always mispredict
branch instructions

$x \geq A_size$



$x < A_size$



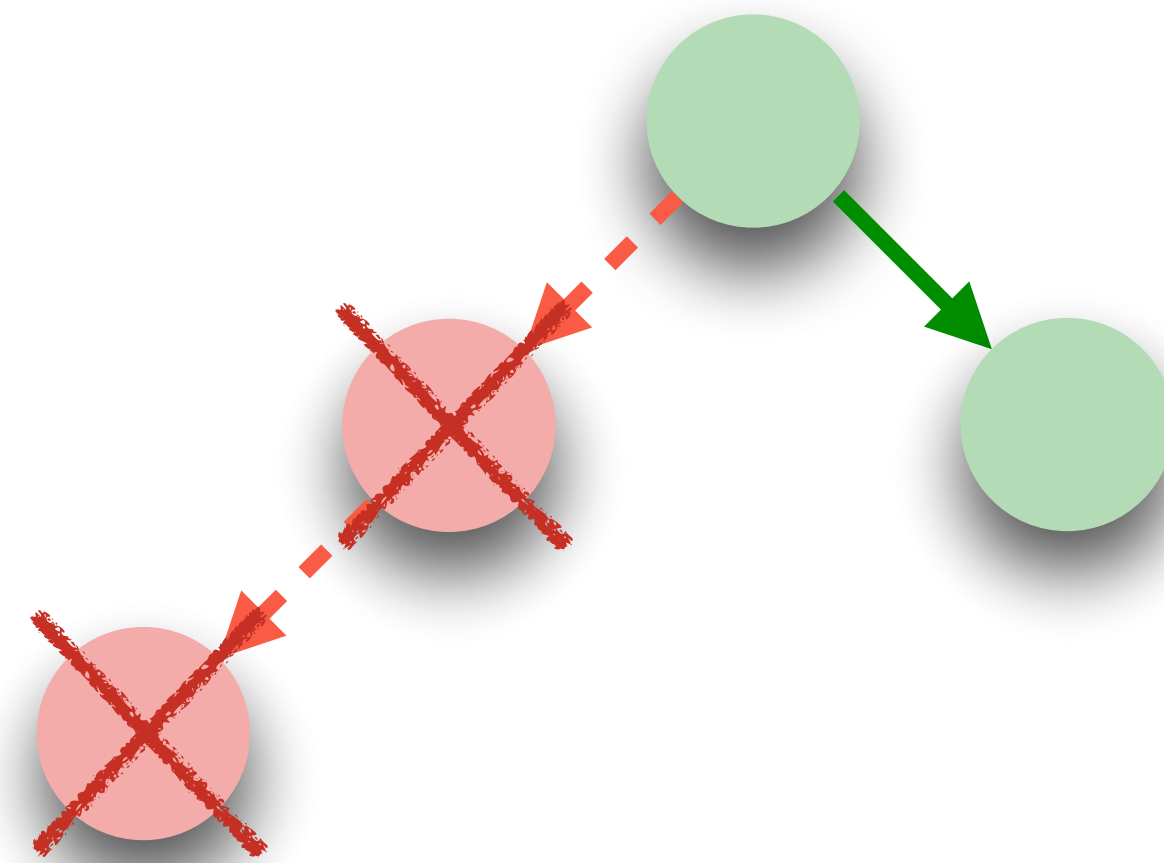
Symbolic execution

```
1. if (x < A_size)
2.     y = A[x]
3.     z = B[y]
4. end
```

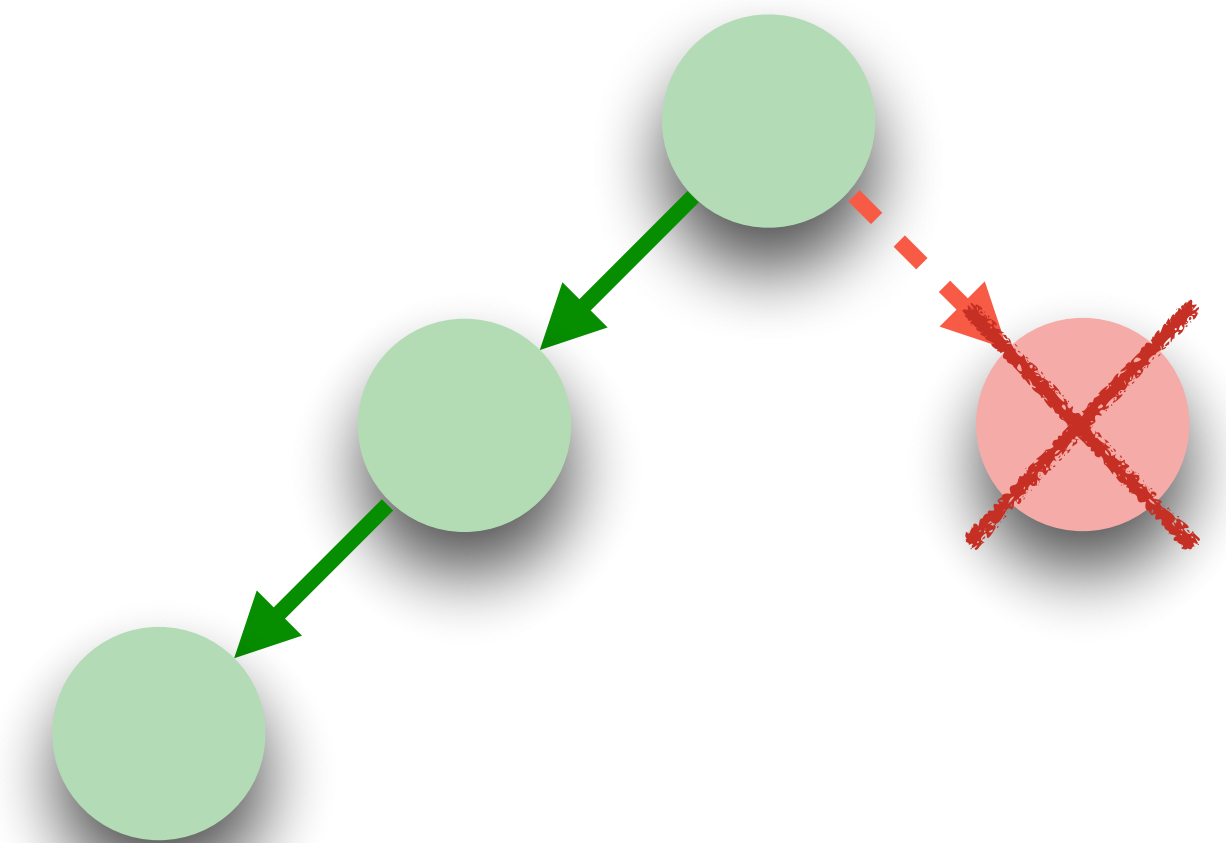


Always mispredict
branch instructions

$x \geq A_size$



$x < A_size$

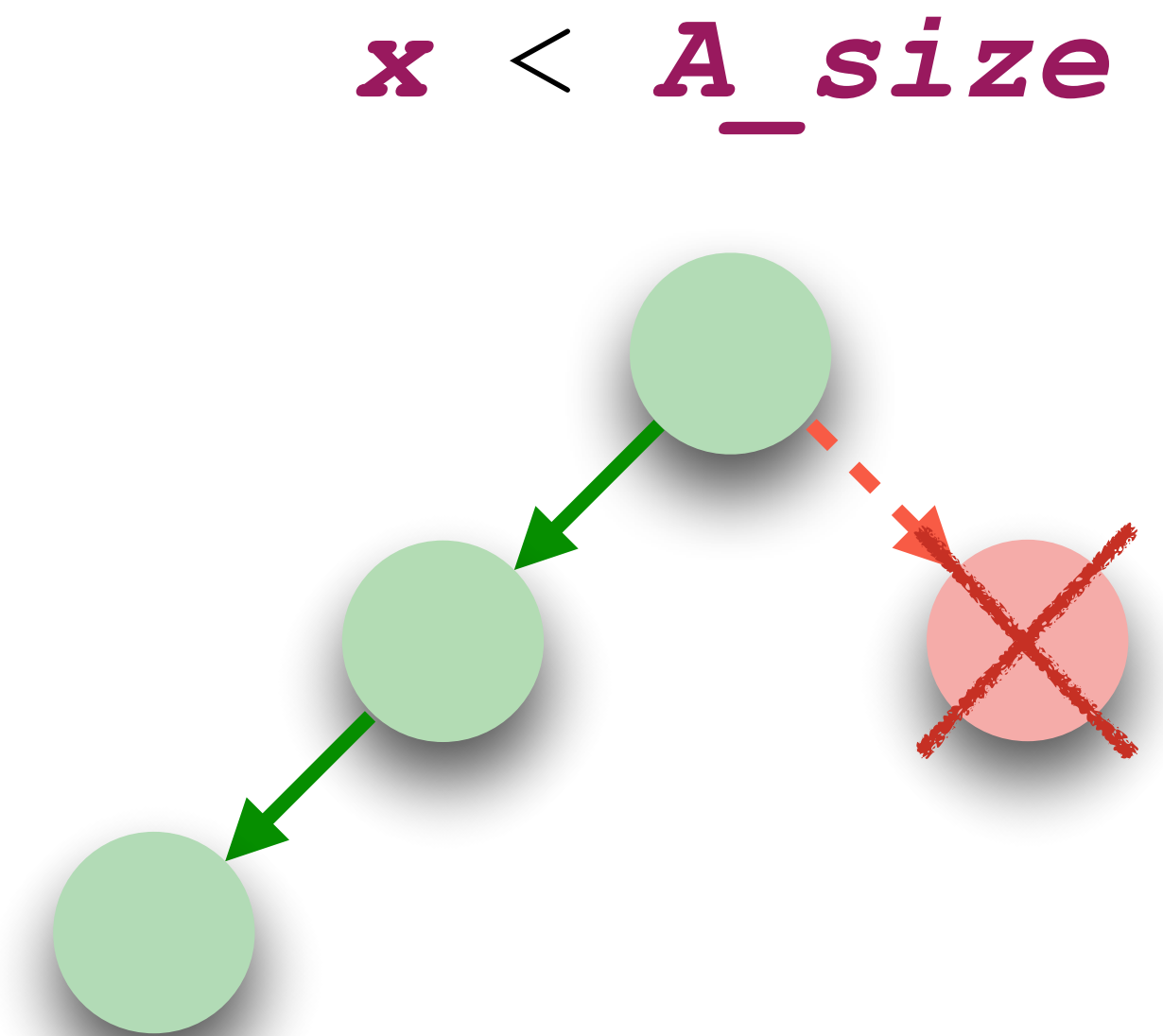
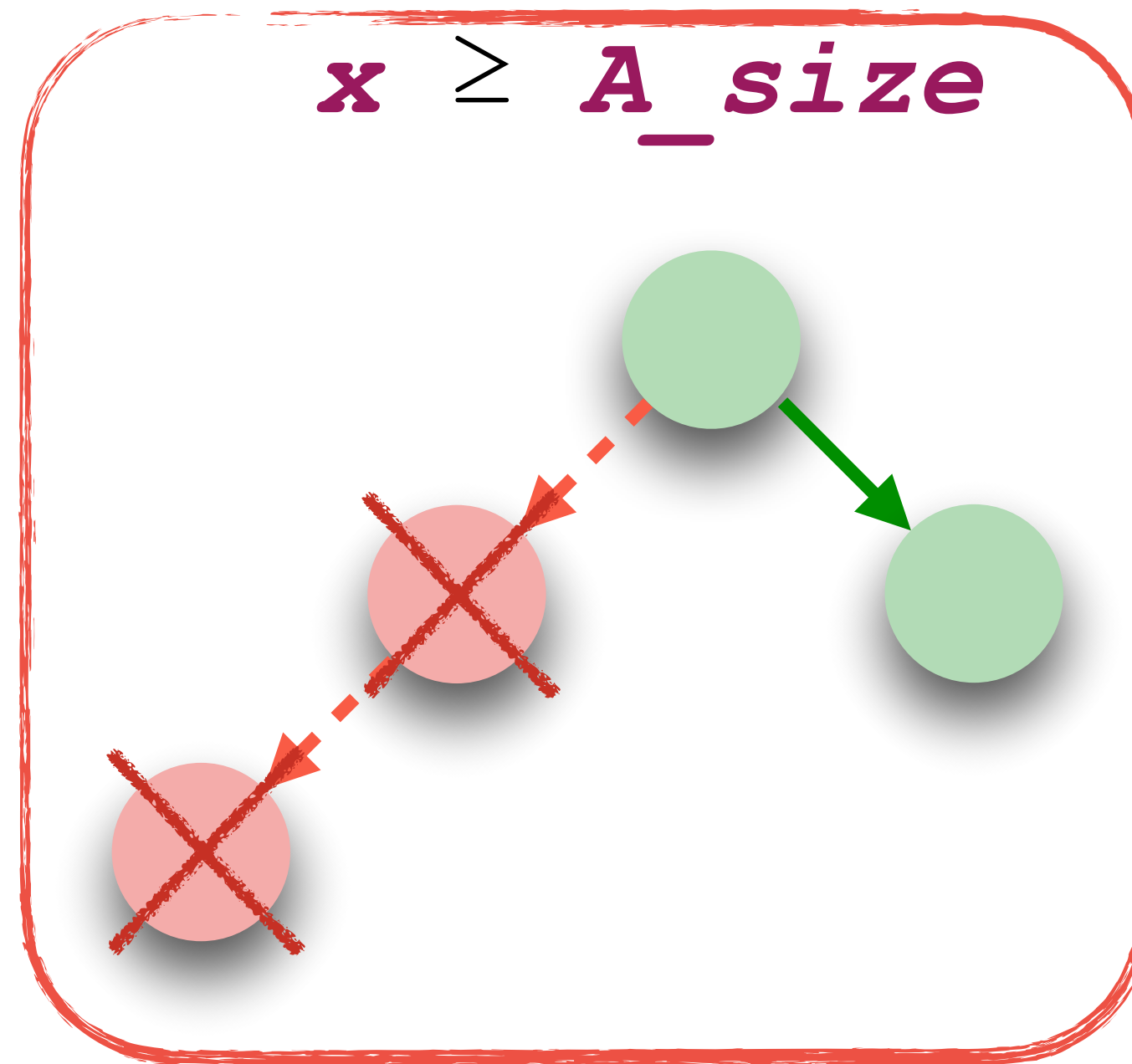


Symbolic execution

```
1. if (x < A_size)
2.     y = A[x]
3.     z = B[y]
4. end
```



Always mispredict
branch instructions

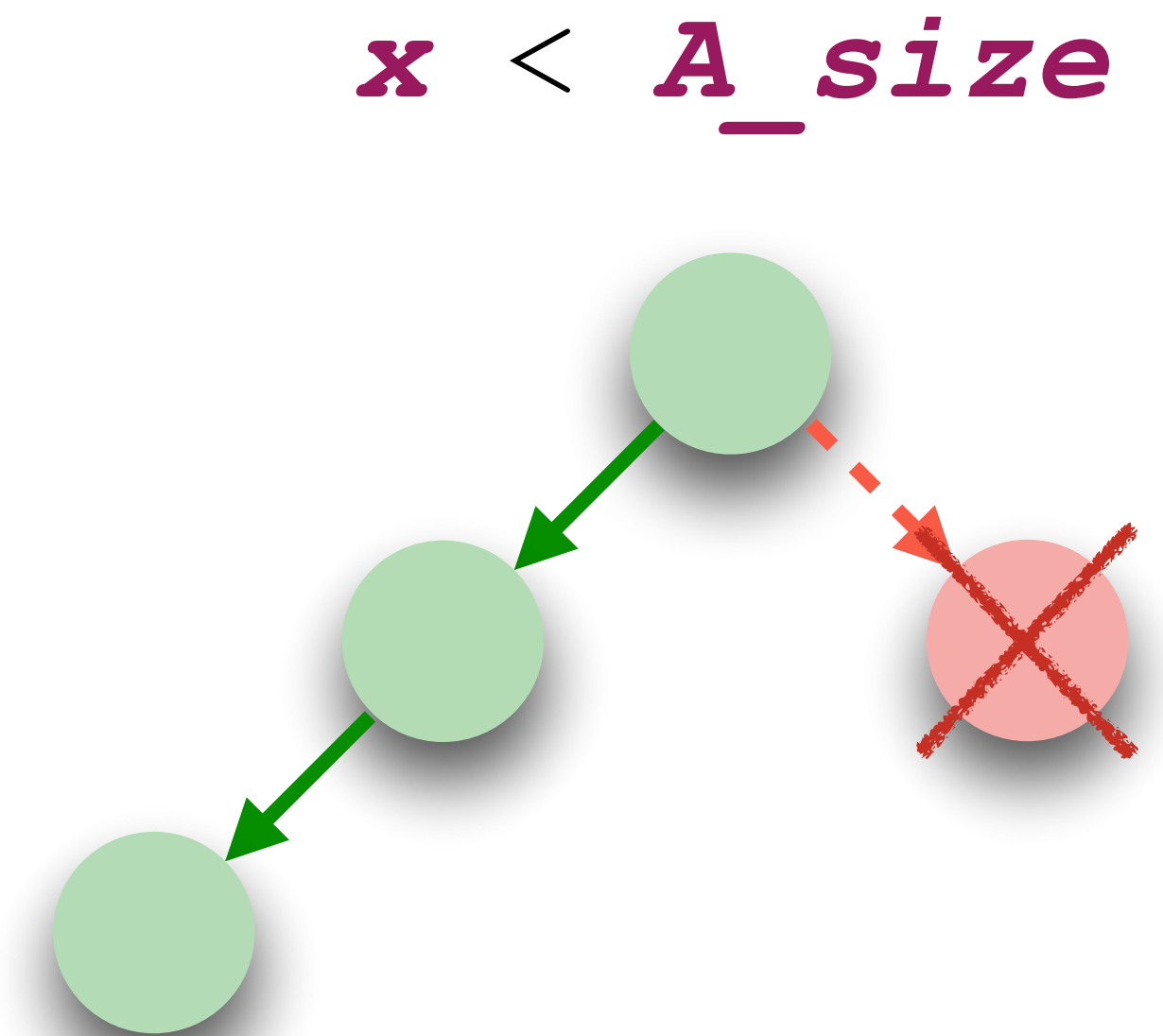
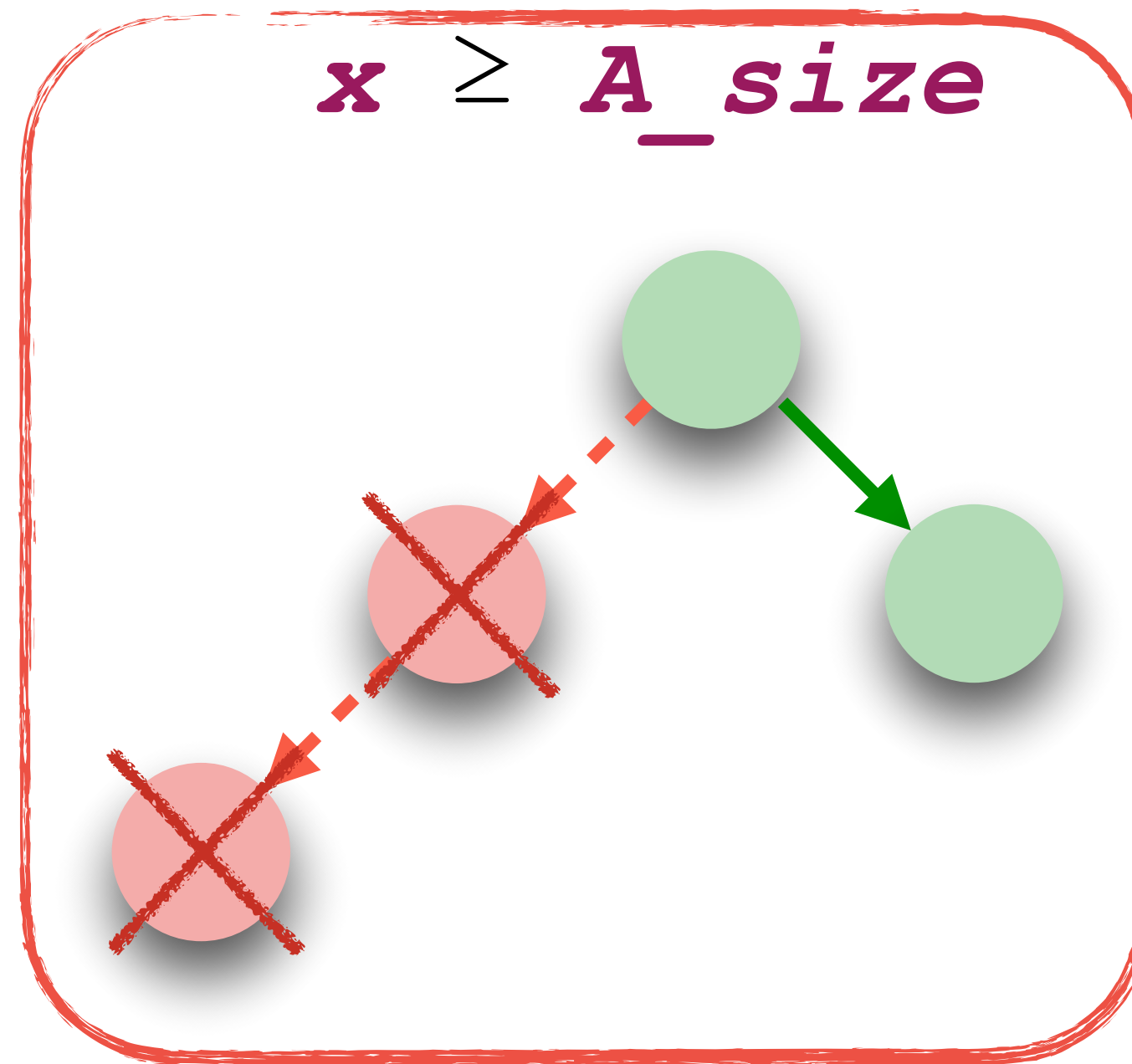


Symbolic execution

```
1. if (x < A_size)
2.     y = A[x]
3.     z = B[y]
4. end
```



Always mispredict
branch instructions



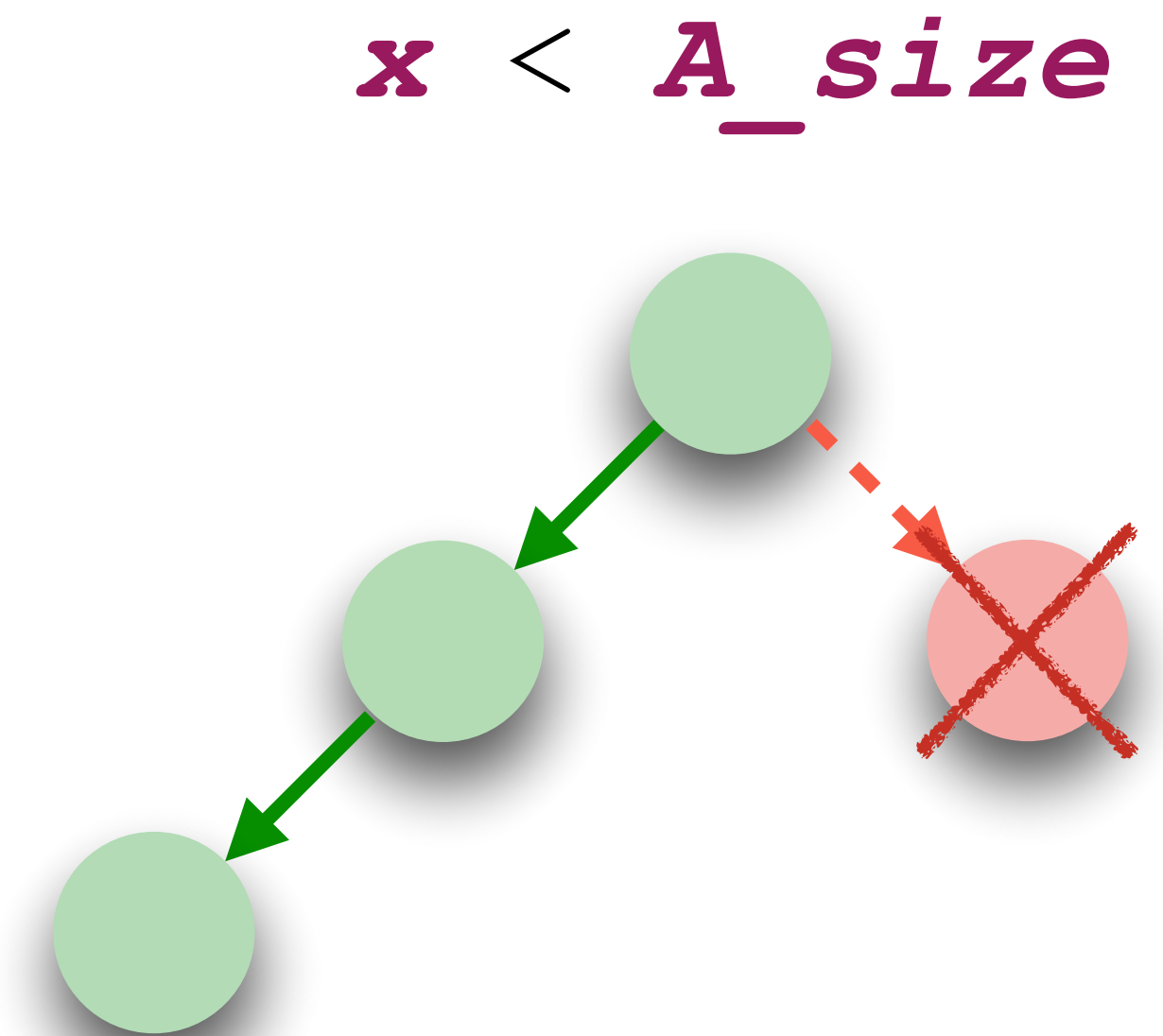
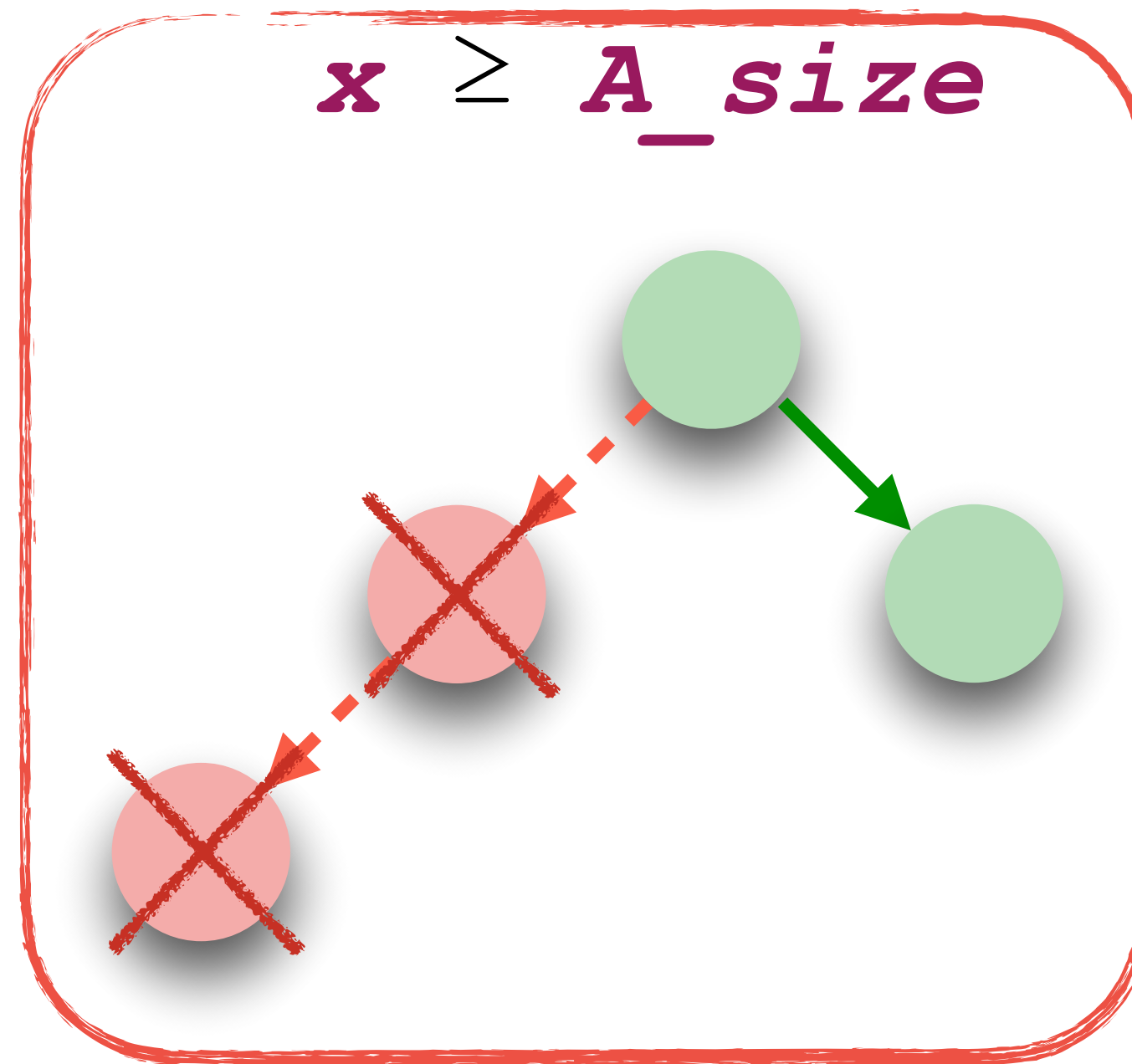
start pc 2 load $A+x$ load $B+A[x]$ rollback pc 4

Symbolic execution

```
1. if (x < A_size)
2.     y = A[x]
3.     z = B[y]
4. end
```



Always mispredict
branch instructions



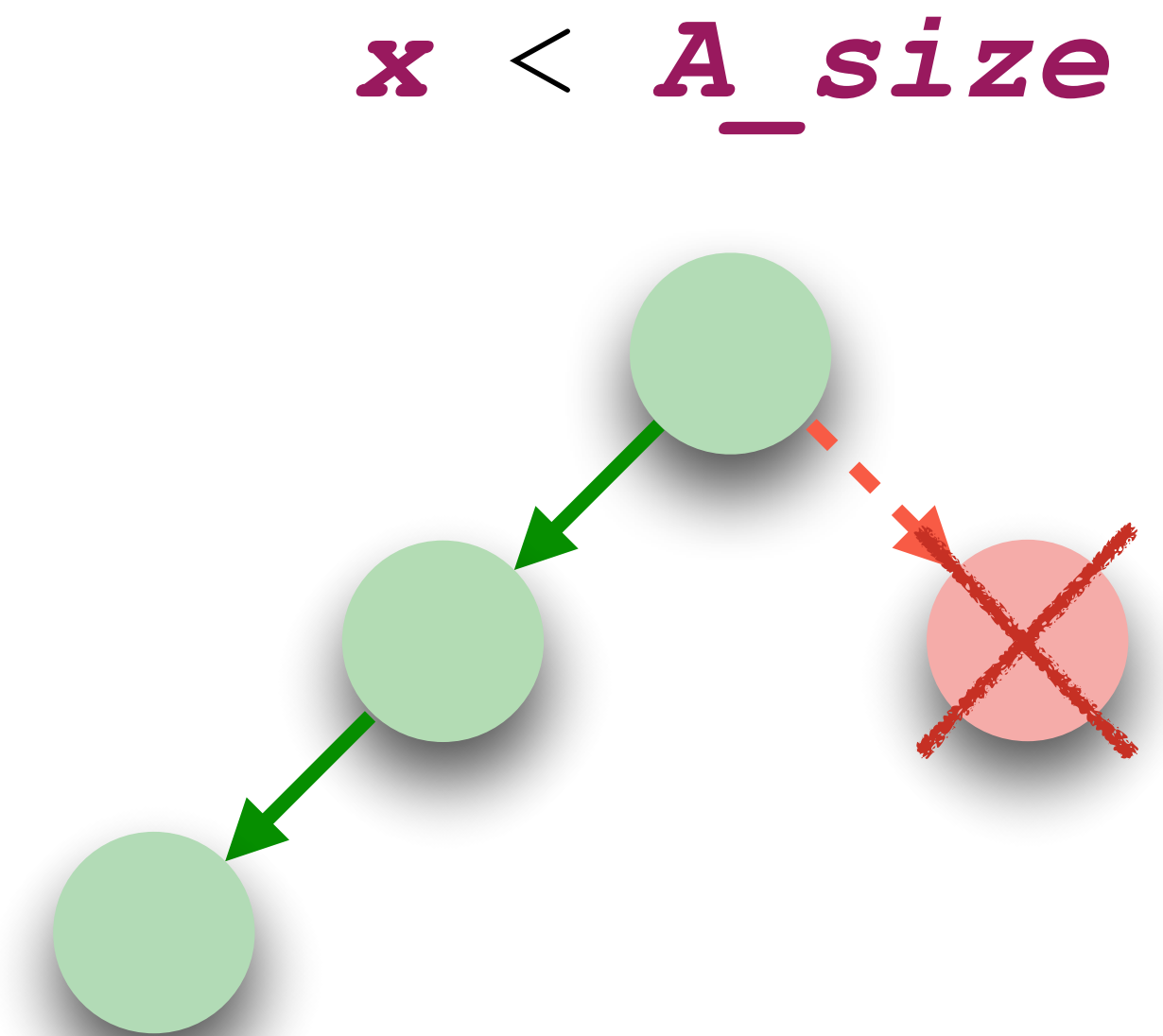
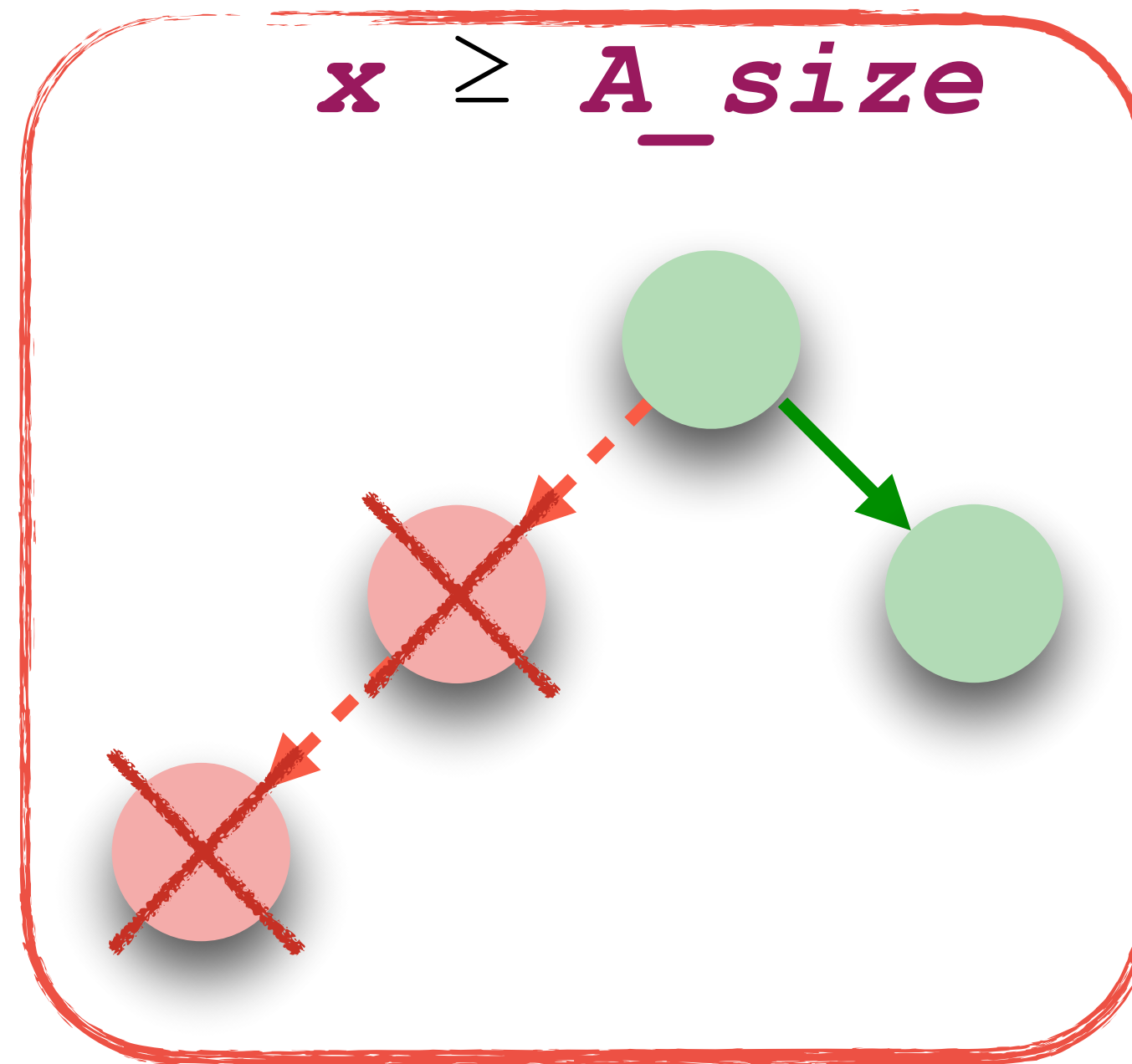
start pc 2 load $A+x$ load $B+A[x]$ rollback pc 4

Symbolic execution

```
1. if (x < A_size)
2.     y = A[x]
3.     z = B[y]
4. end
```



Always mispredict
branch instructions

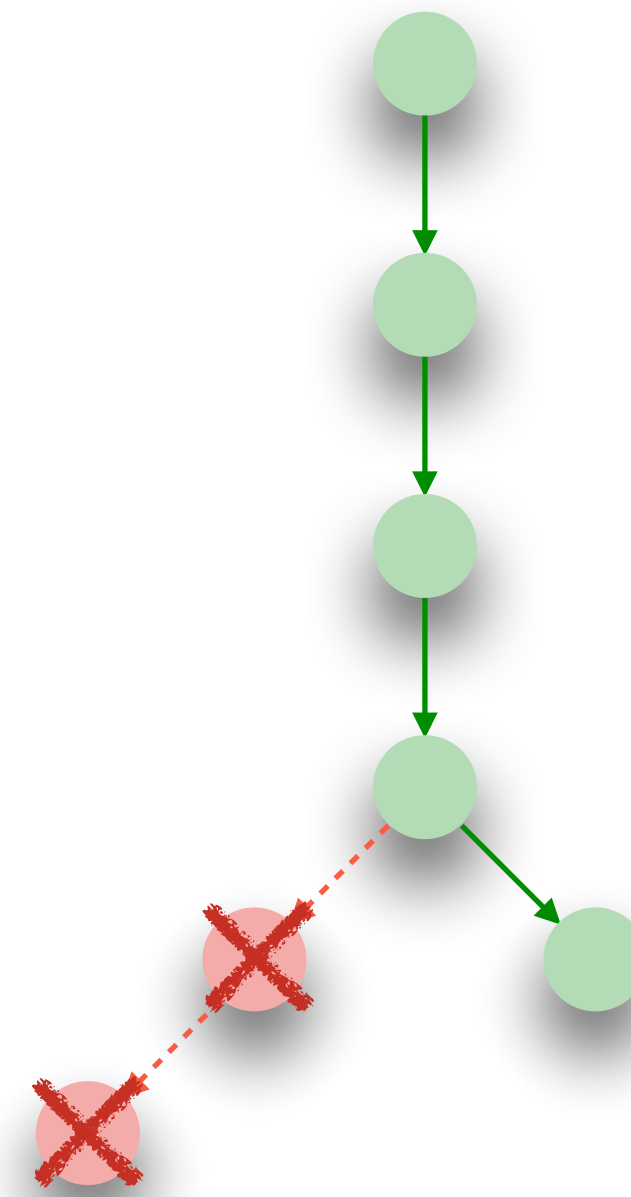


```
start pc 2 load A+x load B+A[x] rollback pc 4
```

Memory leaks



```
rax <- A_size
rcx <- x
jmp rcx ≥ rax, END
L1: load rax, A + rcx
      load rax, B + rax
END:
```



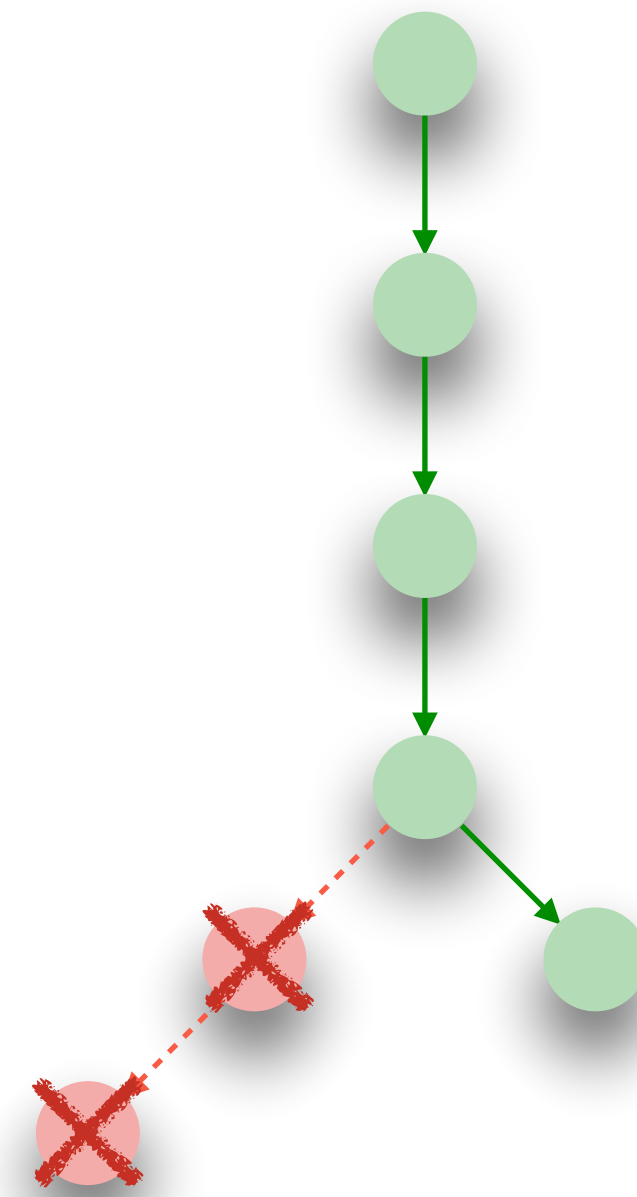
Policy
x, **A_size**, **A**, **B**
are public

```
start pc L1 load A+x load B+A[x] rollback pc END
```

Memory leaks



```
rax <- A_size
rcx <- x
jmp rcx ≥ rax, END
L1: load rax, A + rcx
      load rax, B + rax
END:
```



Policy
x, **A_size**, **A**, **B**
are public

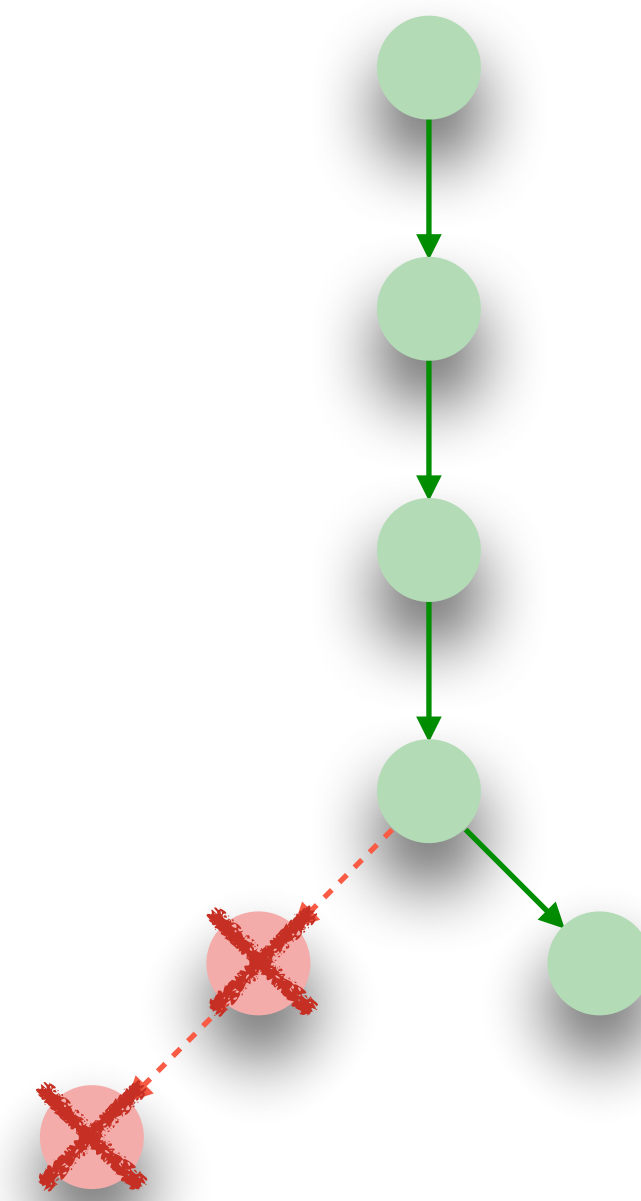
```
start pc L1 load A+x load B+A[x] rollback pc END
```

$$pathCnd(\tau) \wedge obsEqv(\tau|_{non-spec}) \wedge \neg obsEqv(\tau|_{spec})$$

Memory leaks



```
rax <- A_size
rcx <- x
jmp rcx ≥ rax, END
L1: load rax, A + rcx
      load rax, B + rax
END:
```



Policy
x, **A_size**, **A**, **B**
are public

```
start pc L1 load A+x load B+A[x] rollback pc END
```

$$pathCnd(\tau) \wedge obsEqv(\tau|_{non-spec}) \wedge \neg obsEqv(\tau|_{spec})$$

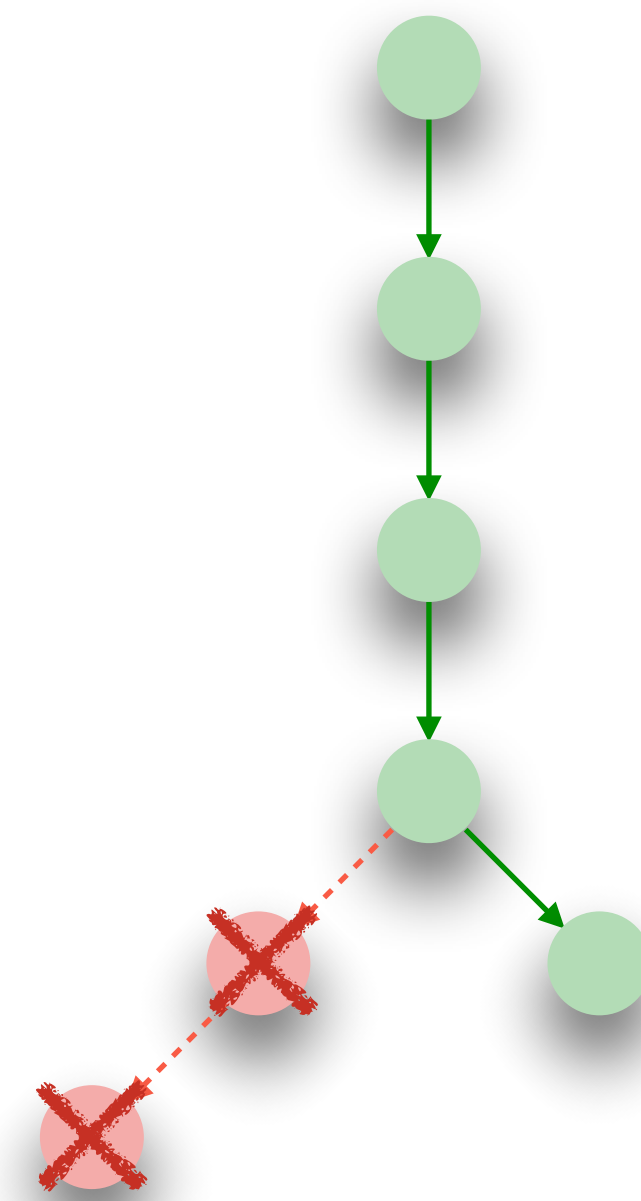
s_1

s_2

Memory leaks



```
rax <- A_size
rcx <- x
jmp rcx ≥ rax, END
L1: load rax, A + rcx
      load rax, B + rax
END:
```



Policy
x, **A_size**, **A**, **B**
are public

```
start pc L1 load A+x load B+A[x] rollback pc END
```

$$pathCnd(\tau) \wedge obsEqv(\tau|_{non-spec}) \wedge \neg obsEqv(\tau|_{spec})$$

S_1

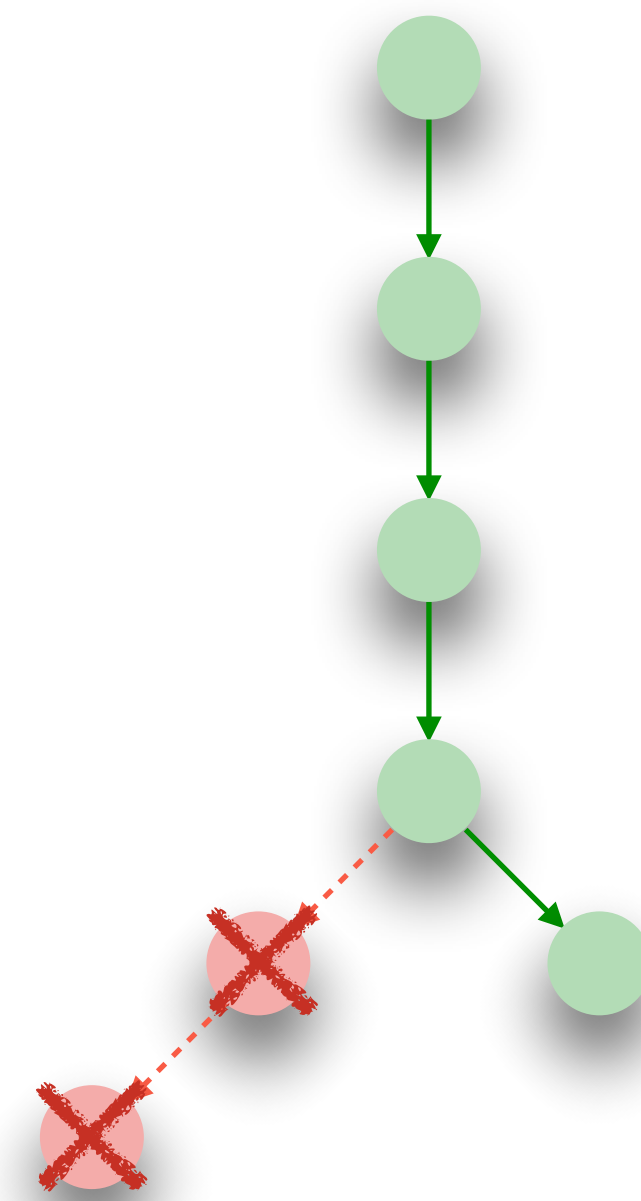
S_2

$$\mathbf{x}_1 = \mathbf{x}_2 \wedge \mathbf{A_size}_1 = \mathbf{A_size}_2 \wedge \mathbf{A}_1 = \mathbf{A}_2 \wedge \mathbf{B}_1 = \mathbf{B}_2$$

Memory leaks



```
rax <- A_size
rcx <- x
jmp rcx ≥ rax, END
L1: load rax, A + rcx
      load rax, B + rax
END:
```



Policy
x, **A_size**, **A**, **B**
are public

```
start pc L1 load A+x load B+A[x] rollback pc END
```

$pathCnd(\tau) \wedge obsEqv(\tau|_{non-spec}) \wedge \neg obsEqv(\tau|_{spec})$

$S_1 \models x_1 \geq A_size_1$

$S_2 \models x_2 \geq A_size_2$

$x_1 = x_2 \wedge A_size_1 = A_size_2 \wedge A_1 = A_2 \wedge B_1 = B_2$

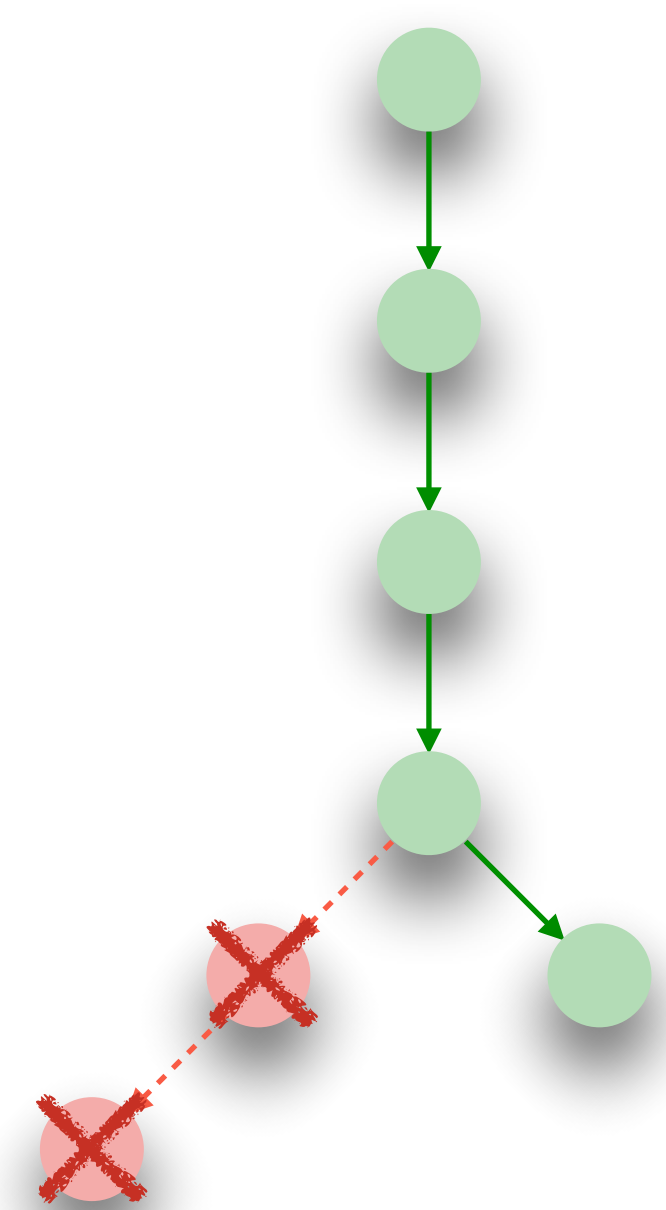


Memory leaks

```

rax <- A_size
rcx <- x
jmp rcx ≥ rax, END
L1: load rax, A + rcx
      load rax, B + rax
END:

```



Policy
x, **A_size**, **A**, **B**
 are public

```

start pc L1 load A+x load B+A[x] rollback pc END

```

$$pathCnd(\tau) \wedge \boxed{obsEqv(\tau |_{non-spec})} \wedge \neg obsEqv(\tau |_{spec})$$

$$S_1 \models x_1 \geq A_size_1$$

$$S_2 \models x_2 \geq A_size_2$$

$$x_1 = x_2 \wedge A_size_1 = A_size_2 \wedge A_1 = A_2 \wedge B_1 = B_2$$

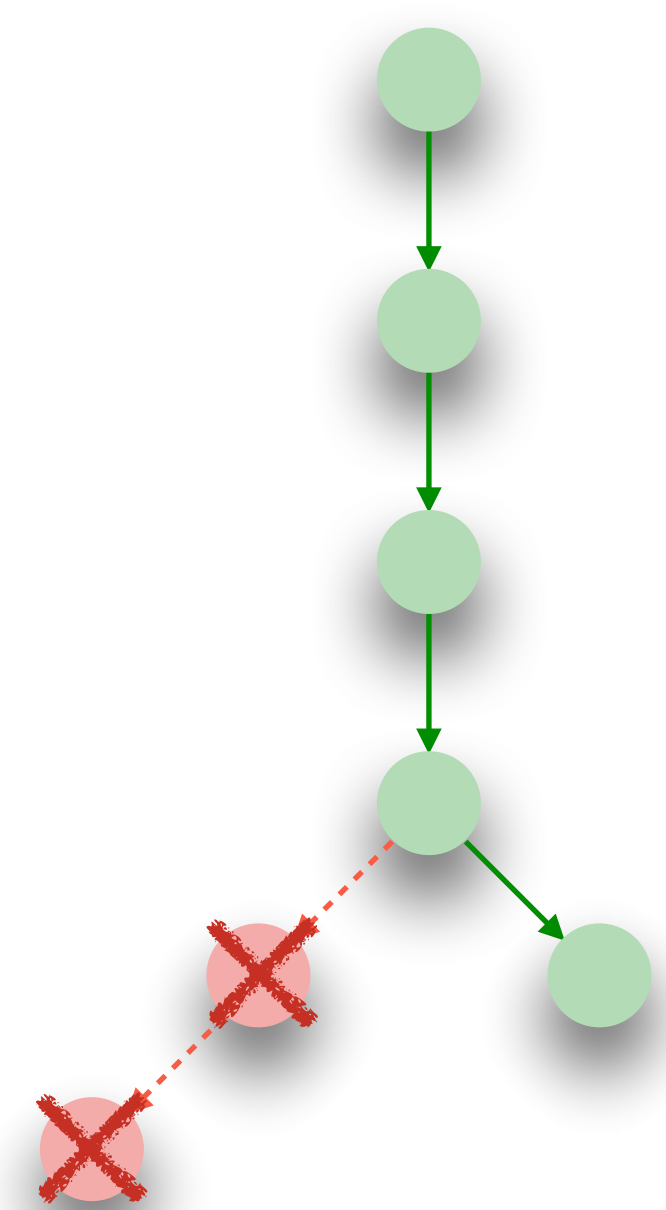
Always true!

Memory leaks



```

rax <- A_size
rcx <- x
jmp rcx ≥ rax, END
L1: load rax, A + rcx
      load rax, B + rax
END:
    
```



Policy
x, **A_size**, **A**, **B**
 are public

start pc **L1** load **A+x** load **B+A[x]** rollback pc **END**

$$pathCnd(\tau) \wedge obsEqv(\tau |_{non-spec}) \wedge \neg obsEqv(\tau |_{spec})$$

$S_1 \models x_1 \geq A_size_1$

$S_2 \models x_2 \geq A_size_2$

$$x_1 = x_2 \wedge A_size_1 = A_size_2 \wedge A_1 = A_2 \wedge B_1 = B_2$$

Always true!

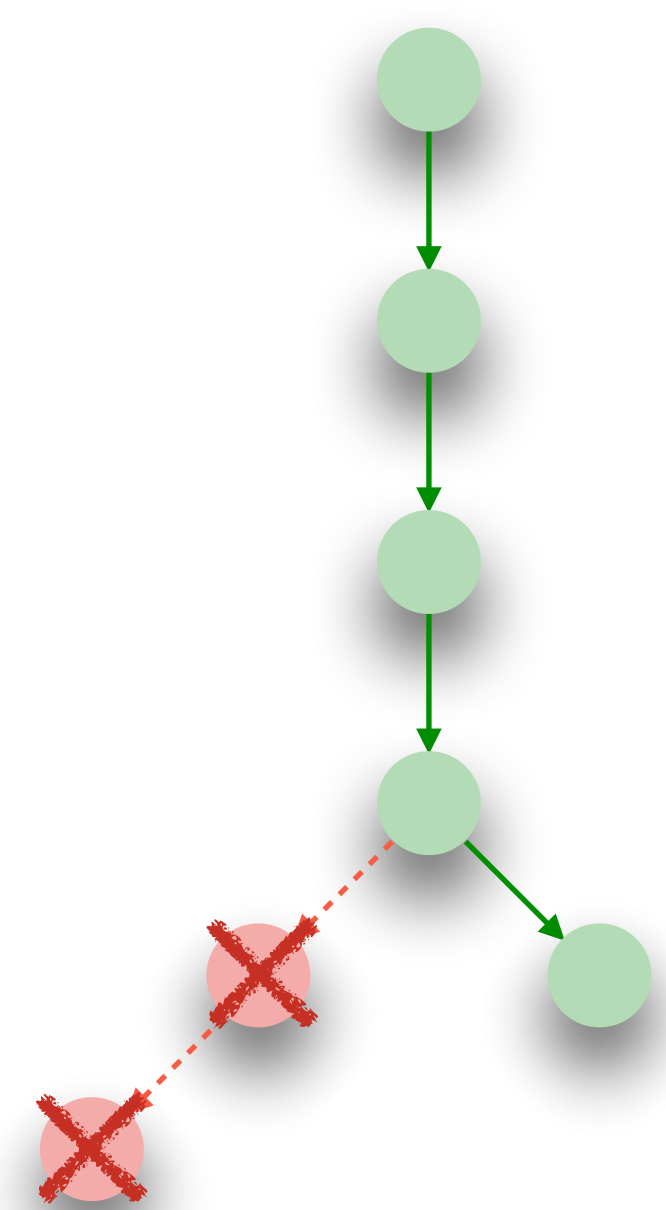


Memory leaks

```

rax <- A_size
rcx <- x
jmp rcx >= rax, END
L1: load rax, A + rcx
      load rax, B + rax
END:

```



Policy
x, **A_size**, **A**, **B**
 are public

```

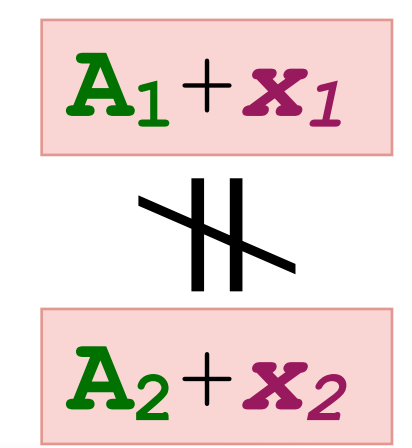
start pc L1 load A+x load B+A[x] rollback pc END

```

$$pathCnd(\tau) \wedge obsEqv(\tau |_{non-spec}) \wedge \neg obsEqv(\tau |_{spec})$$

$S_1 \models x_1 \geq A_size_1$

$S_2 \models x_2 \geq A_size_2$



$$x_1 = x_2 \wedge A_size_1 = A_size_2 \wedge A_1 = A_2 \wedge B_1 = B_2$$

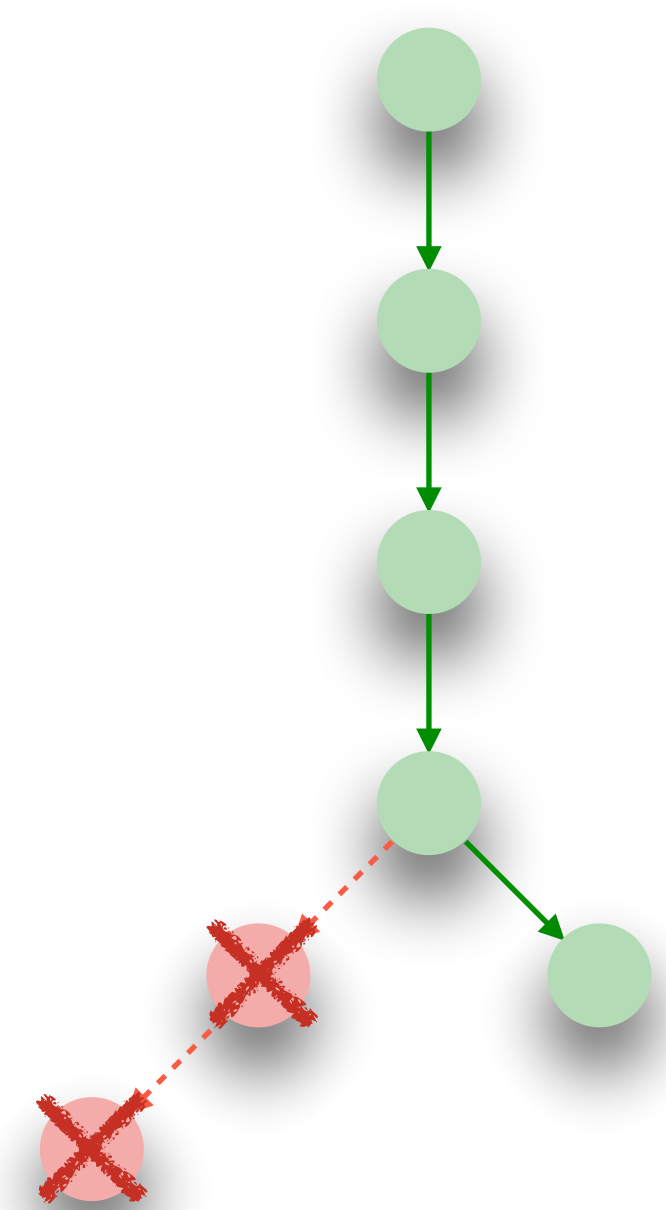
Always true!

Memory leaks



```

rax <- A_size
rcx <- x
jmp rcx ≥ rax, END
L1: load rax, A + rcx
      load rax, B + rax
END:
    
```



Policy
x, **A_size**, **A**, **B**
 are public

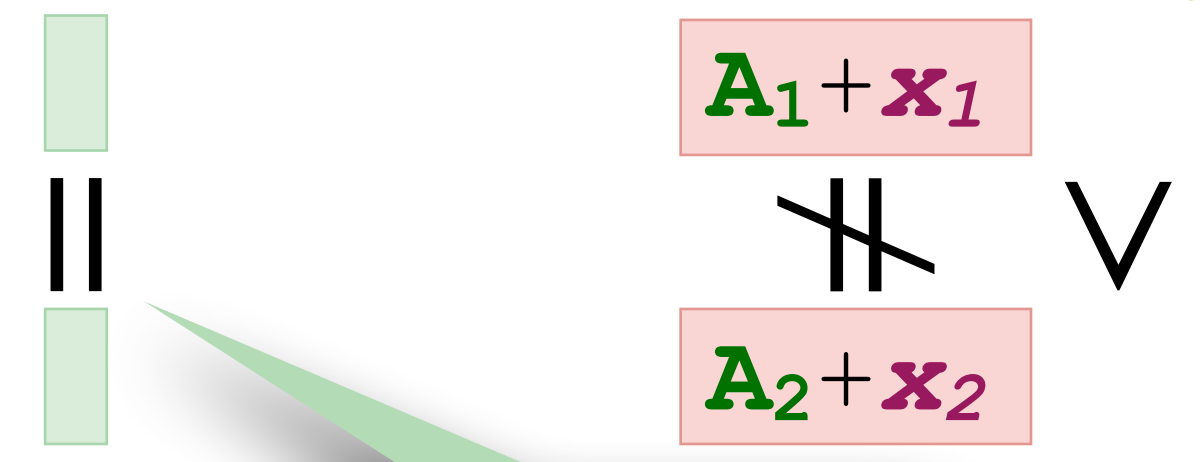
```

start pc L1 load A+x load B+A[x] rollback pc END
    
```

$$pathCnd(\tau) \wedge obsEqv(\tau |_{non-spec}) \wedge \neg obsEqv(\tau |_{spec})$$

$S_1 \models x_1 \geq A_size_1$

$S_2 \models x_2 \geq A_size_2$



$$x_1 = x_2 \wedge A_size_1 = A_size_2 \wedge A_1 = A_2 \wedge B_1 = B_2$$

Always true!

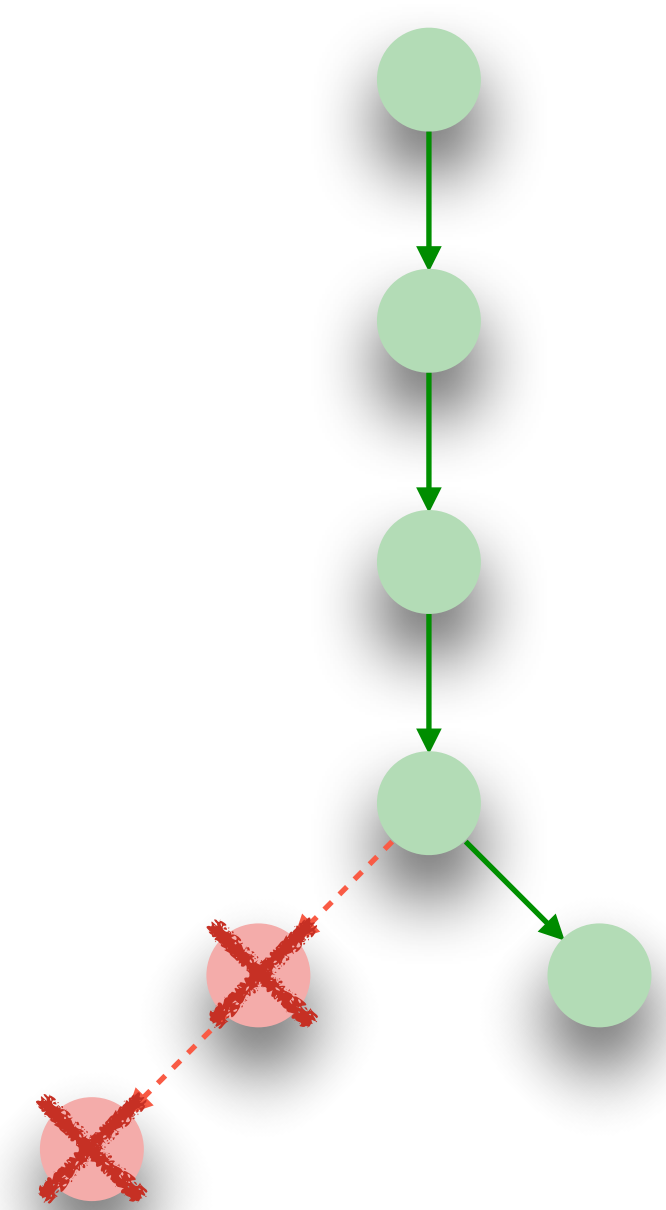
Memory leaks



```

rax <- A_size
rcx <- x
jmp rcx >= rax, END
L1: load rax, A + rcx
    load rax, B + rax
END:

```



Policy
x, *A_size*, *A*, *B*
 are public

```

start pc L1 load A+x load B+A[x] rollback pc END

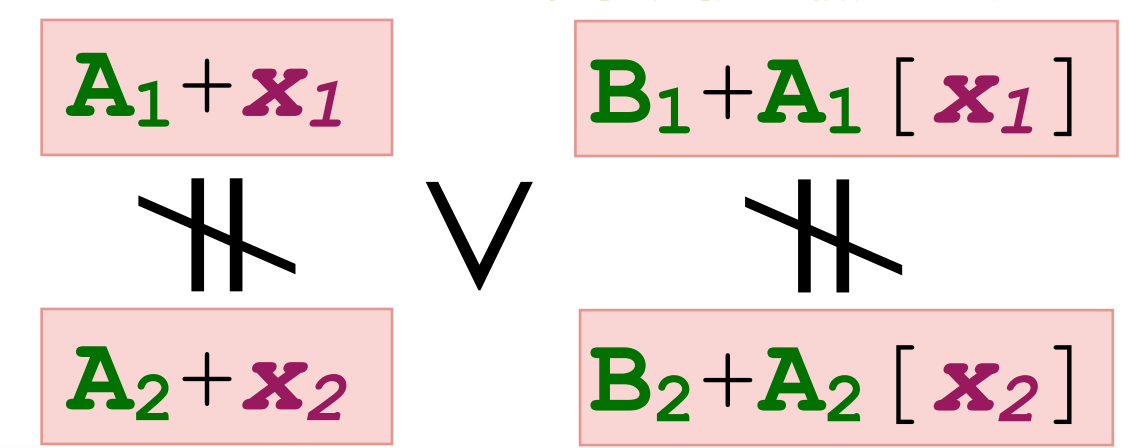
```

$$pathCnd(\tau) \wedge obsEqv(\tau |_{non-spec}) \wedge \neg obsEqv(\tau |_{spec})$$

$S_1 \models x_1 \geq A_size_1$

$S_2 \models x_2 \geq A_size_2$

$$x_1 = x_2 \wedge A_size_1 = A_size_2 \wedge A_1 = A_2 \wedge B_1 = B_2$$



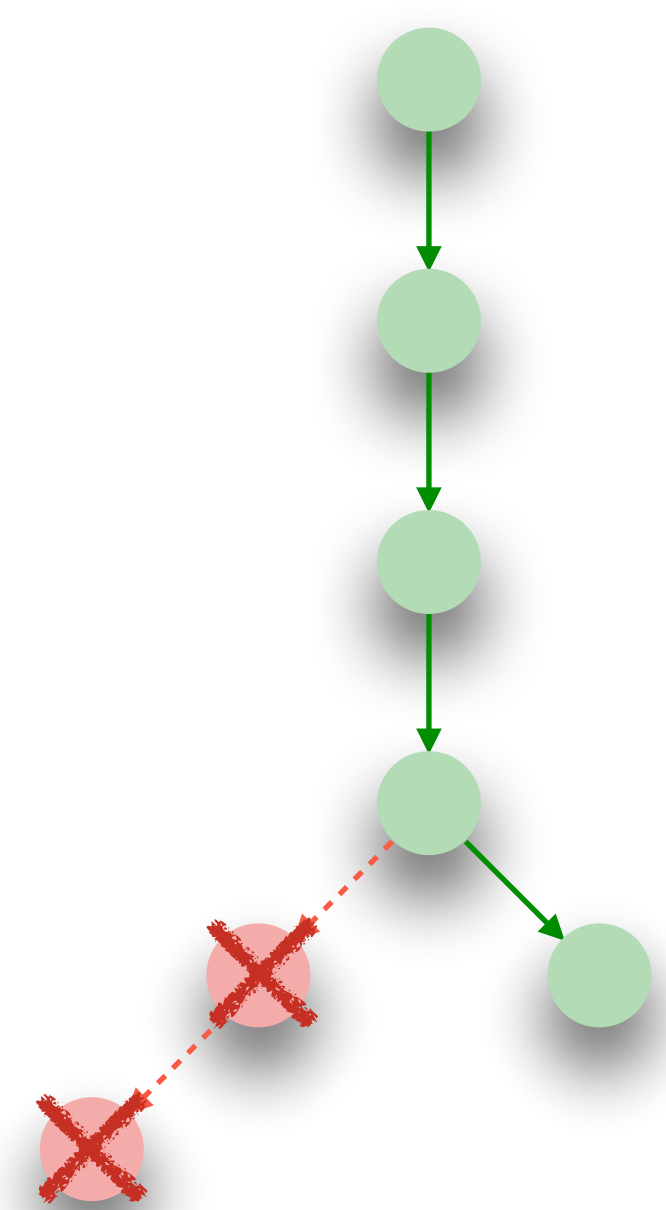
Always true!

Memory leaks



```

rax <- A_size
rcx <- x
jmp rcx ≥ rax, END
L1: load rax, A + rcx
      load rax, B + rax
END:
  
```



Policy
x, **A_size**, **A**, **B**
 are public

```

start pc L1 load A+x load B+A[x] rollback pc END
  
```

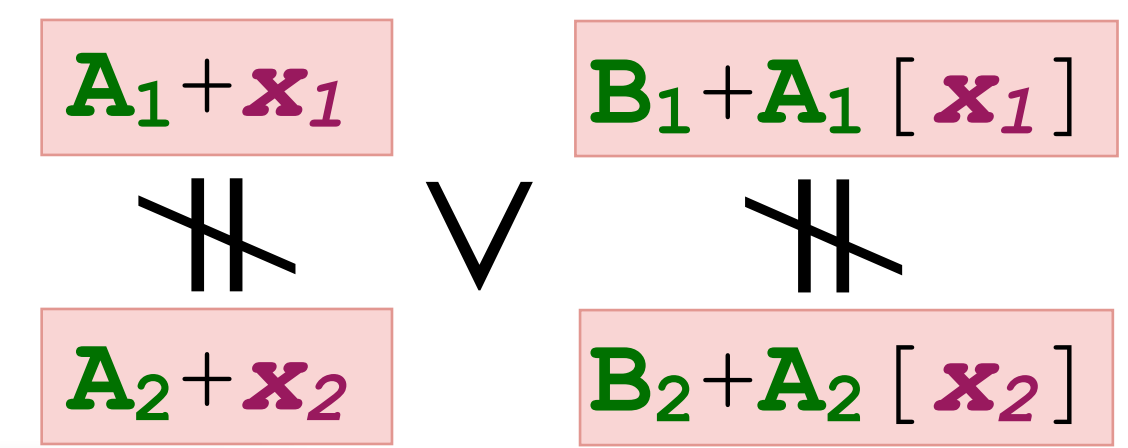
$$pathCnd(\tau) \wedge obsEqv(\tau|_{non-spec}) \wedge \neg obsEqv(\tau|_{spec})$$

$S_1 \models x_1 \geq A_size_1$

$S_2 \models x_2 \geq A_size_2$

$$x_1 = x_2 \wedge A_size_1 = A_size_2 \wedge A_1 = A_2 \wedge B_1 = B_2$$

Always true!



Reasoning about arbitrary oracles

Reasoning about arbitrary oracles

Always-mispredict
speculative semantics

Mispredict *all* branch
instructions

Fixed speculative window

Rollback of every transaction

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Always-mispredict is *worst-case*

$$P_{\text{am}}(s) = P_{\text{am}}(s') \iff$$

$$\forall O. P_{\text{spec}}(s, O) = P_{\text{spec}}(s', O)$$

Reasoning about arbitrary oracles

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Always-mispredict is *worst-case*

$$\mathbf{P}_{\text{am}}(\mathbf{s}) = \mathbf{P}_{\text{am}}(\mathbf{s}') \iff$$

$$\forall \mathbf{O}. \mathbf{P}_{\text{spec}}(\mathbf{s}, \mathbf{O}) = \mathbf{P}_{\text{spec}}(\mathbf{s}', \mathbf{O})$$

If program \mathbf{P} satisfies

$$\forall \mathbf{s}, \mathbf{s}'. \mathbf{P}_{\text{non-spec}}(\mathbf{s}) = \mathbf{P}_{\text{non-spec}}(\mathbf{s}')$$

$$\implies \mathbf{P}_{\text{am}}(\mathbf{s}) = \mathbf{P}_{\text{am}}(\mathbf{s}')$$

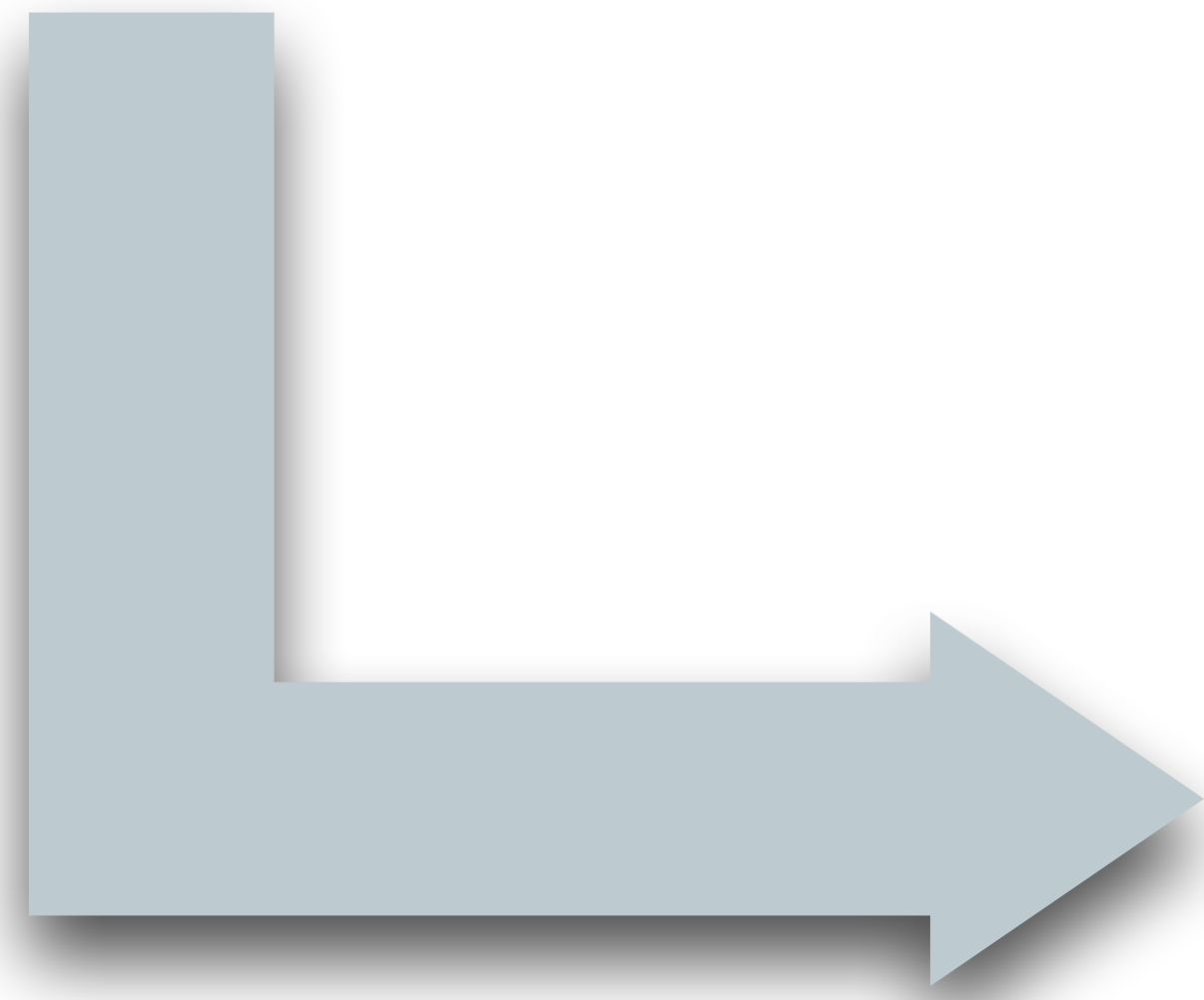
then \mathbf{P} satisfies *SNI* w.r.t. all \mathbf{O}

Example #01 - SLH

```
if (x < A_size)  
    y = B[A[x]*512]
```

Example #01 - SLH

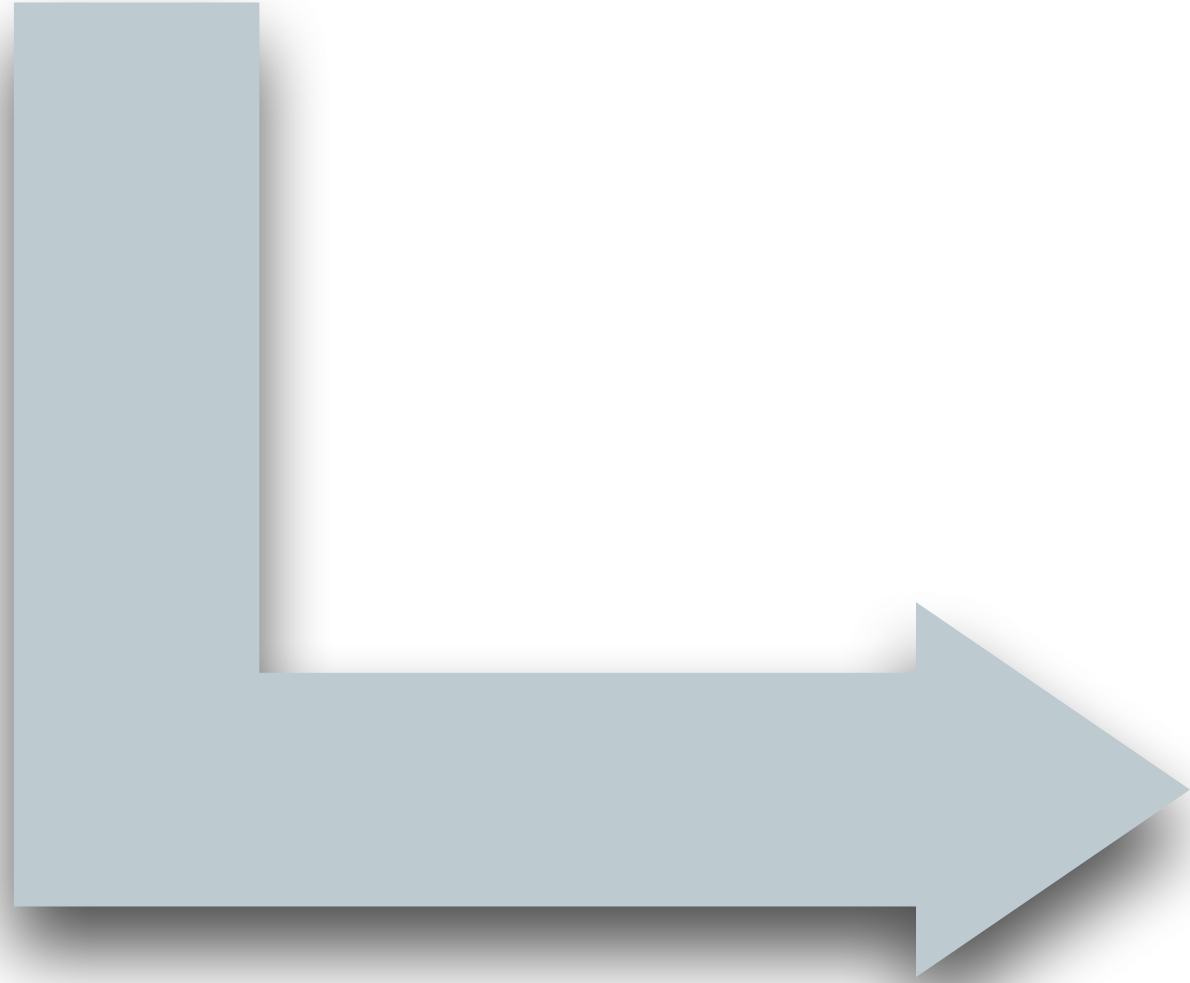
```
if (x < A_size)
    y = B[A[x]*512]
```



```
mov     rax, A_size
mov     rcx, x
mov     rdx, 0
cmp     rcx, rax
jae     END
cmovae  -1, rdx
mov     rax, A[rcx]
shl    rax, 9
or     rax, rdx
mov     rax, B[rax]
```

Example #01 - SLH

```
if (x < A_size)
  y = B[A[x]*512]
```



```
mov    rax, A_size
mov    rcx, x
mov    rdx, 0
cmp    rcx, rax
jae    END
cmovae -1, rdx
mov    rax, A[rcx]
shl   rax, 9
or    rax, rdx
mov    rax, B[rax]
```

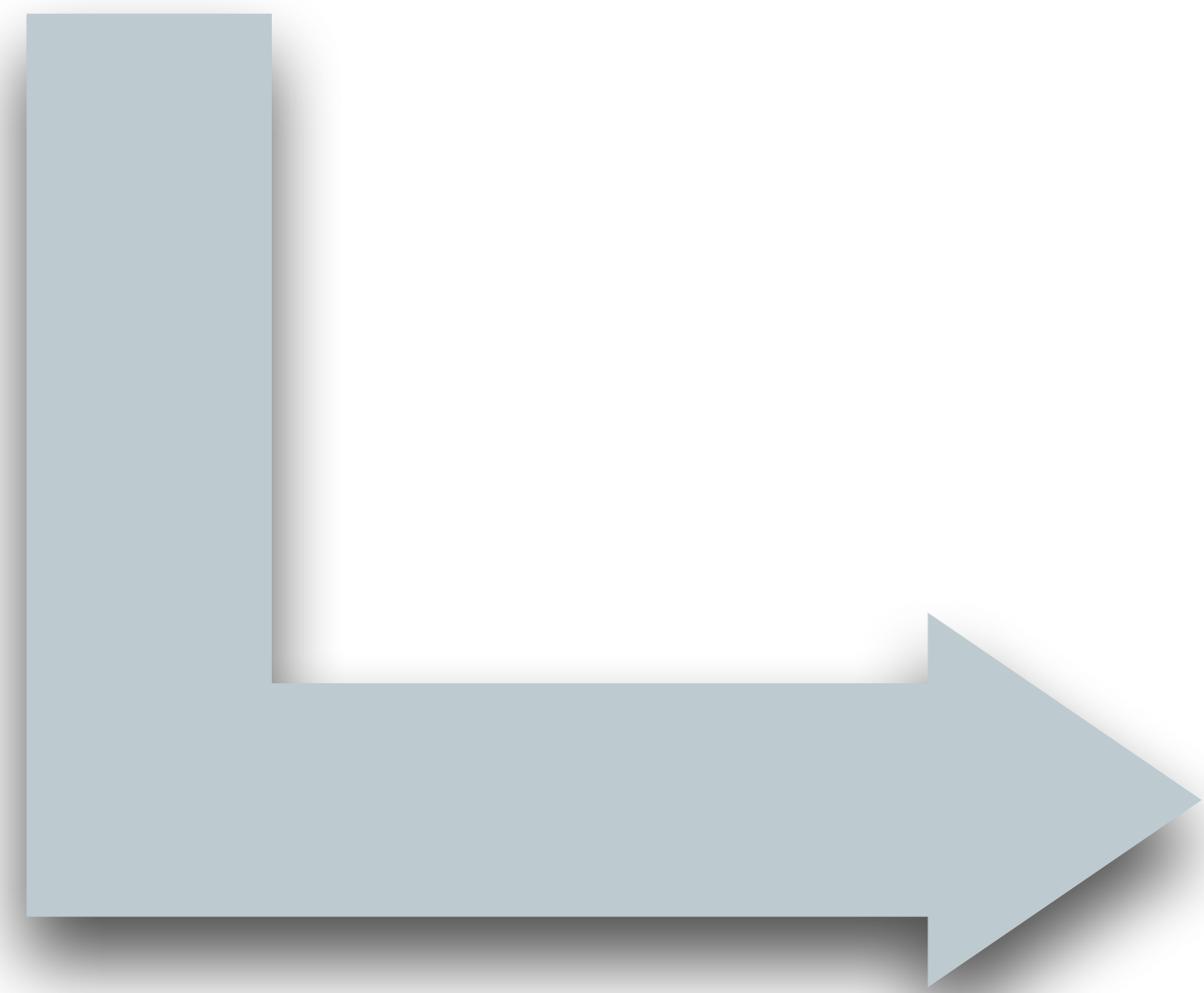
rax is -1 whenever $x \geq A_size$
We can prove security

Example #10 - SLH

```
if (x < A_size)  
    if (A[x] == k)  
        y = B[0]
```

Example #10 - SLH

```
if (x < A_size)
    if (A[x] == k)
        y = B[0]
```

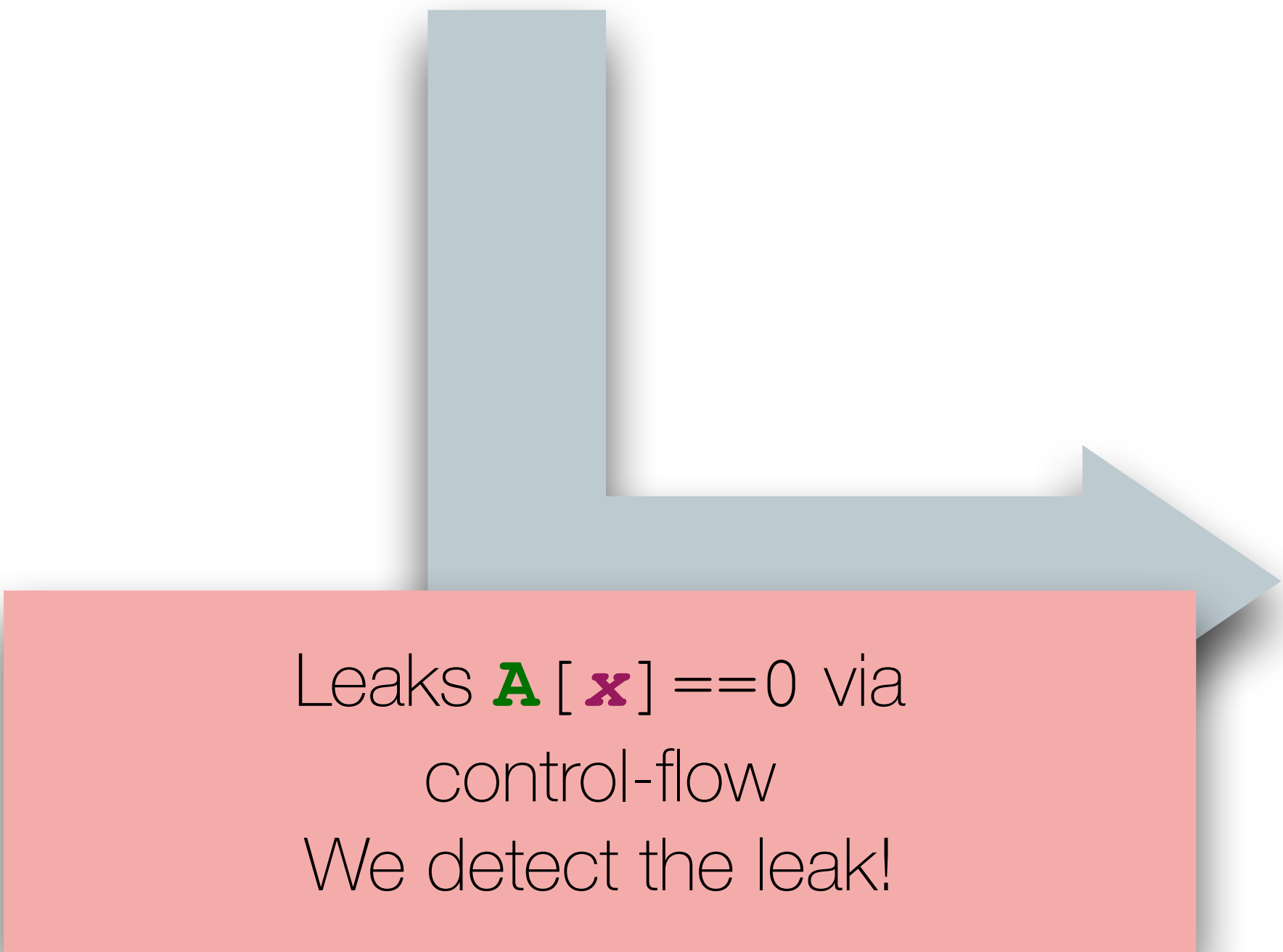


```
mov     rax, A_size
mov     rcx, x
mov     rdx, 0
cmp     rcx, rax
jae     END
cmovae  -1, rdx
mov     rax, A[rcx]
jne     rax, END
cmovne  -1, rdx
mov     rax, [B]
```

Example #10 - SLH

```
if (x < A_size)
  if (A[x] == k)
    y = B[0]
```

```
mov    rax, A_size
mov    rcx, x
mov    rdx, 0
cmp    rcx, rax
jae    END
cmovae -1, rdx
mov    rax, A[rcx]
jne    rax, END
cmovne -1, rdx
mov    rax, [B]
```



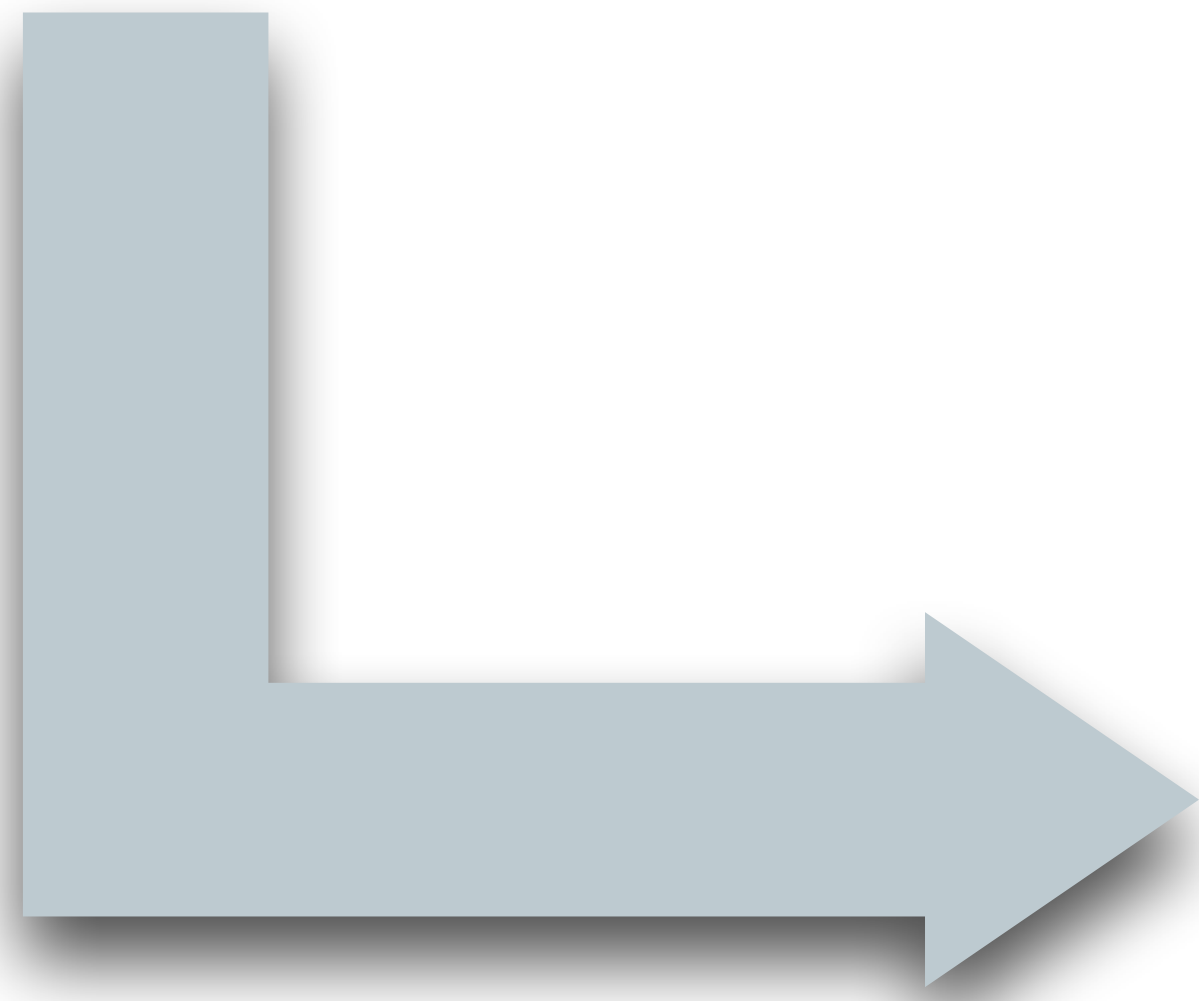
Leaks `A[x] == 0` via
control-flow
We detect the leak!

Example #08 - FEN

```
y = B[A[x < A_size? (x+1) : 0] * 512]
```

Example #08 - FEN

$y = B[A[x < A_size ? (x+1) : 0] * 512]$



```
mov    rax, A_size
mov    rcx, x
lea    rcx, [rcx+1]
xor    rdx, rdx
cmp    rcx, rax
cmovae rdx, rcx
mov    rax, A[rdx]
shl   rax, 9
lfence
mov    rax, B[rax]
```

Example #08 - FEN

$y = B[A[x < A_size ? (x+1) : 0] * 512]$



lfence is unnecessary

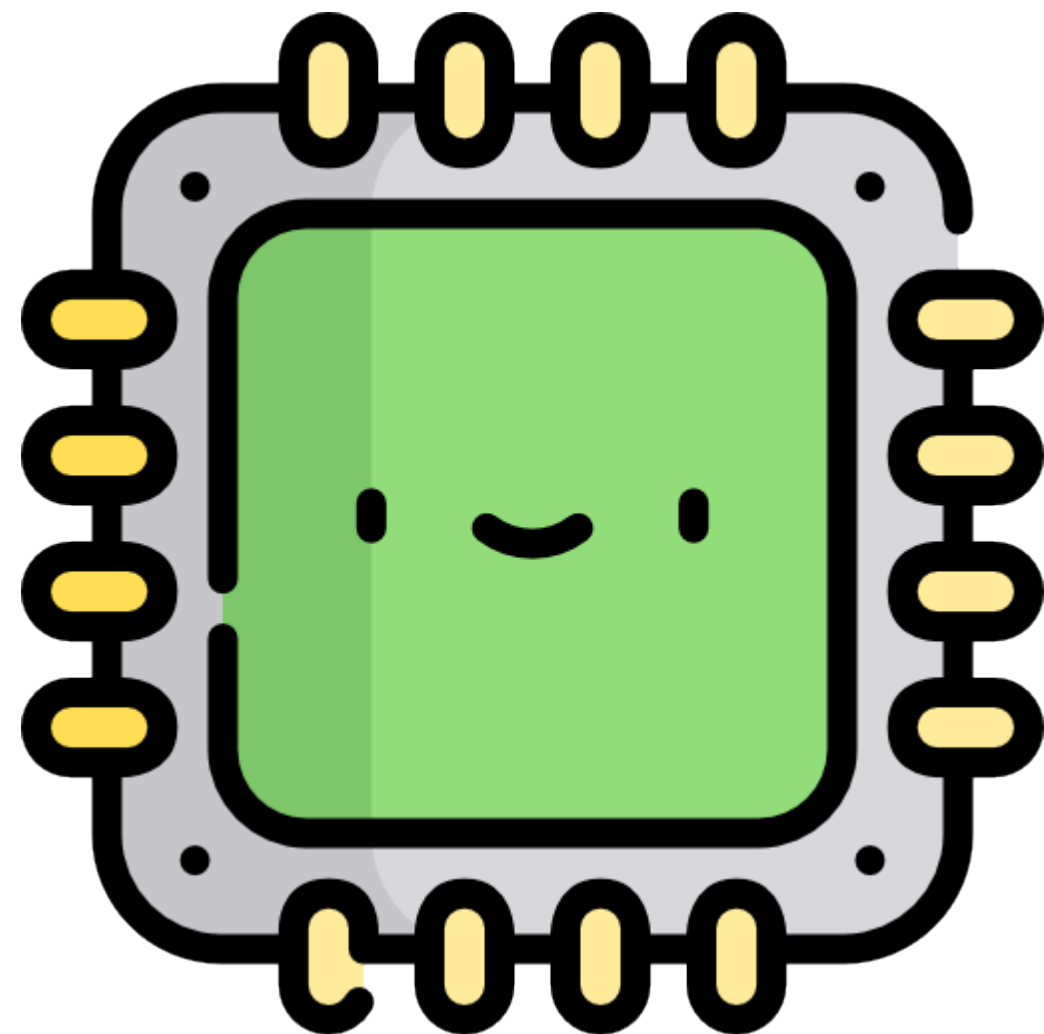
```
mov    rax, A_size
mov    rcx, x
lea    rcx, [rcx+1]
xor    rdx, rdx
cmp    rcx, rax
cmovae rdx, rcx
mov    rax, A[rdx]
shl   rax, 9
lfence
mov    rax, B[rax]
```

Spectre V1

```
void f(int x)  
    if (x < A_size)  
        y = B[A[x]]
```

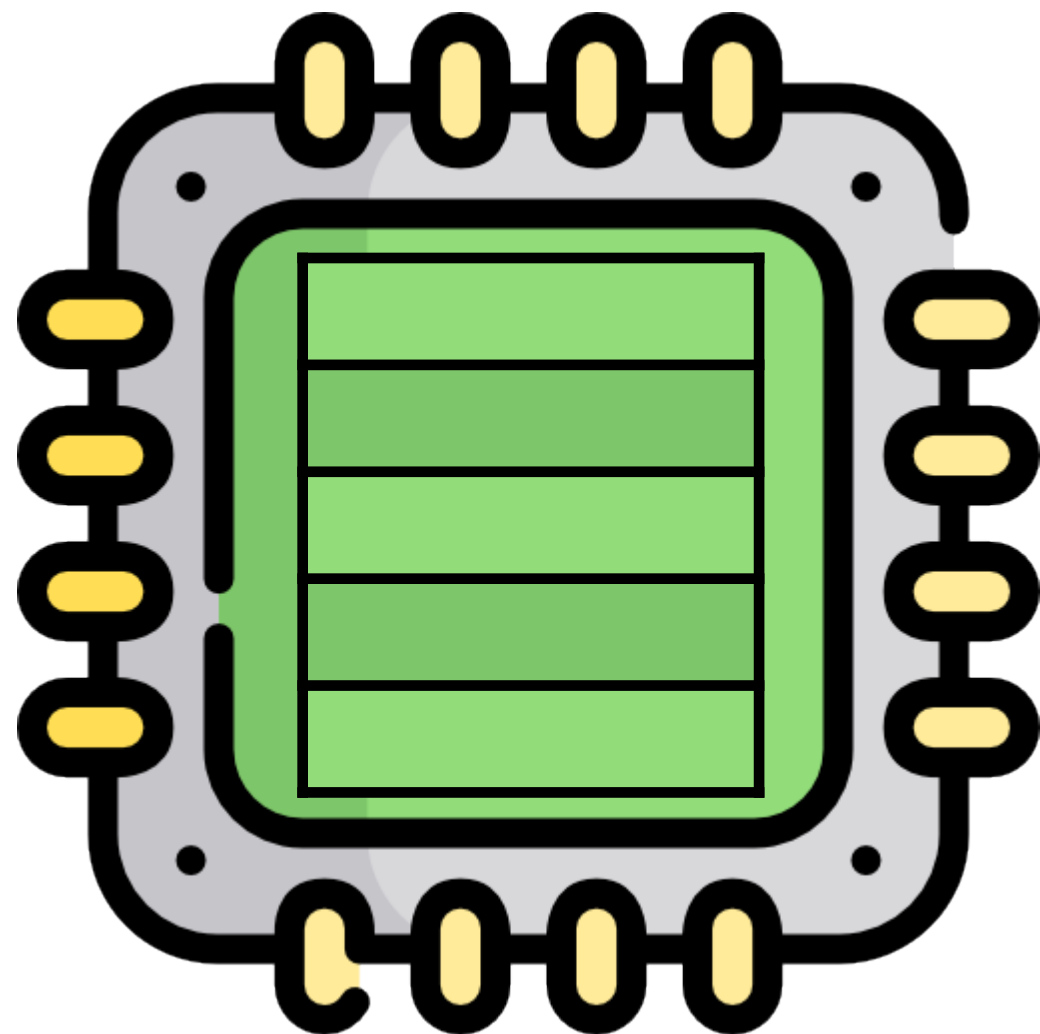
Spectre V1

```
void f(int x)  
    if (x < A_size)  
        y = B[A[x]]
```



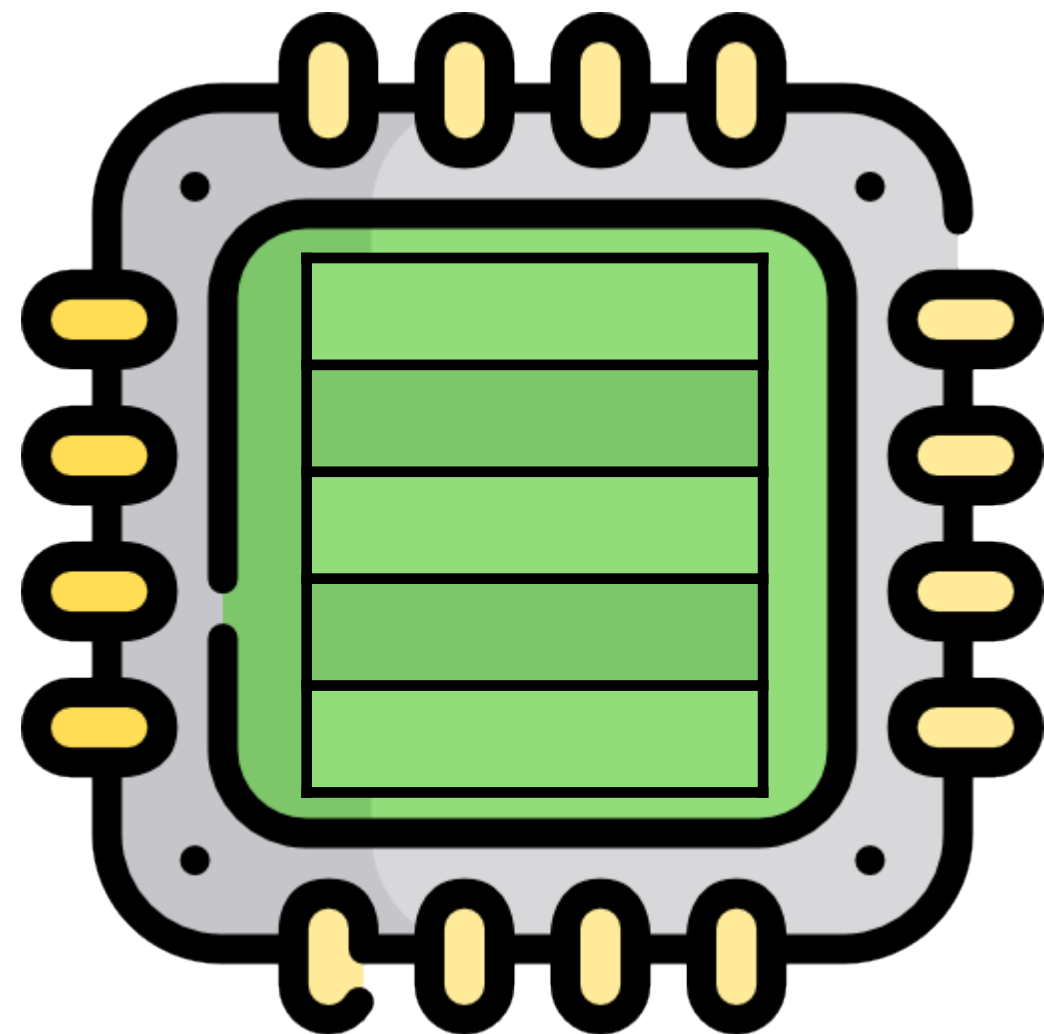
Spectre V1

```
void f(int x)
  if (x < A_size)
    y = B[A[x]]
```

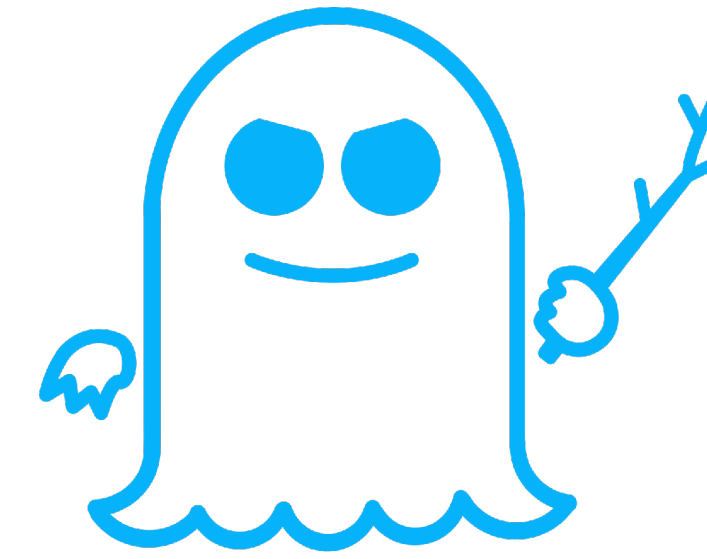


Spectre V1

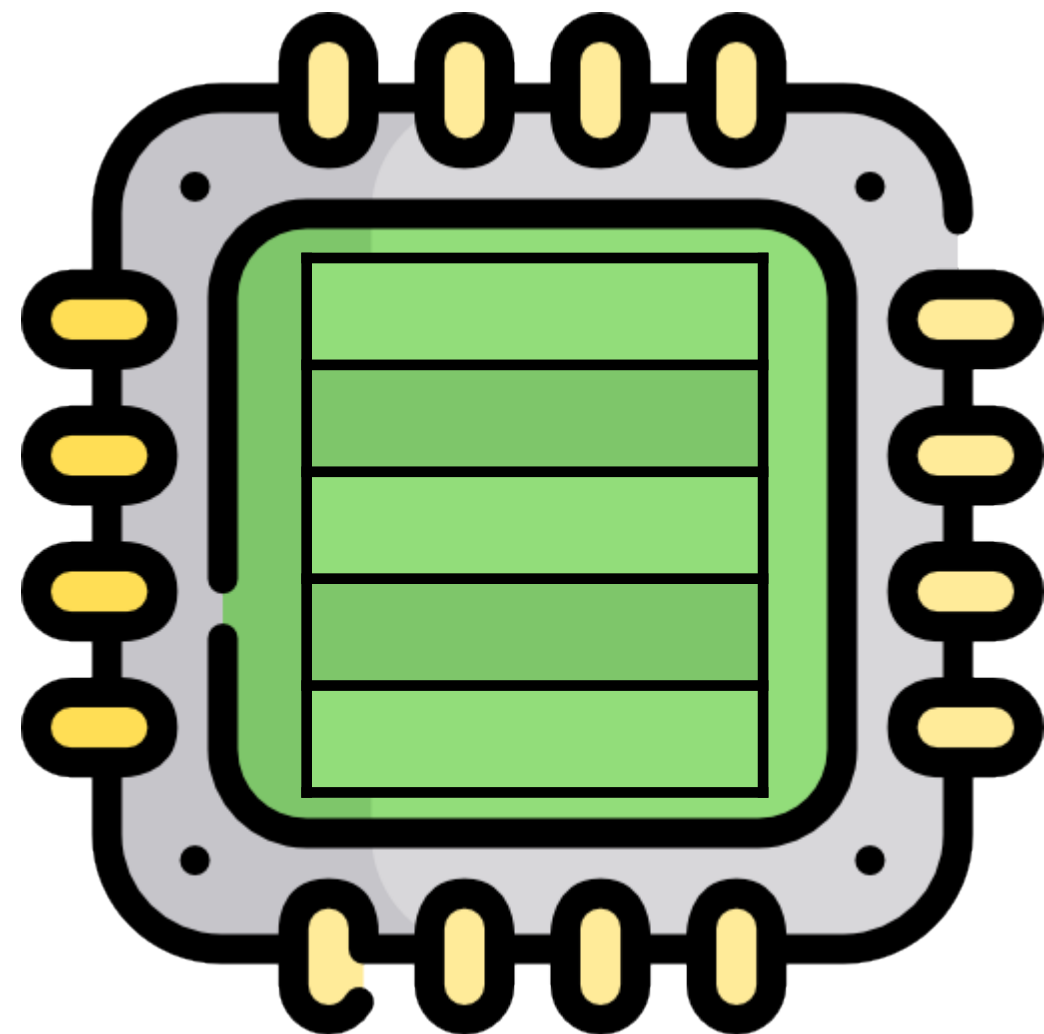
```
void f(int x)
  if (x < A_size)
    y = B[A[x]]
```



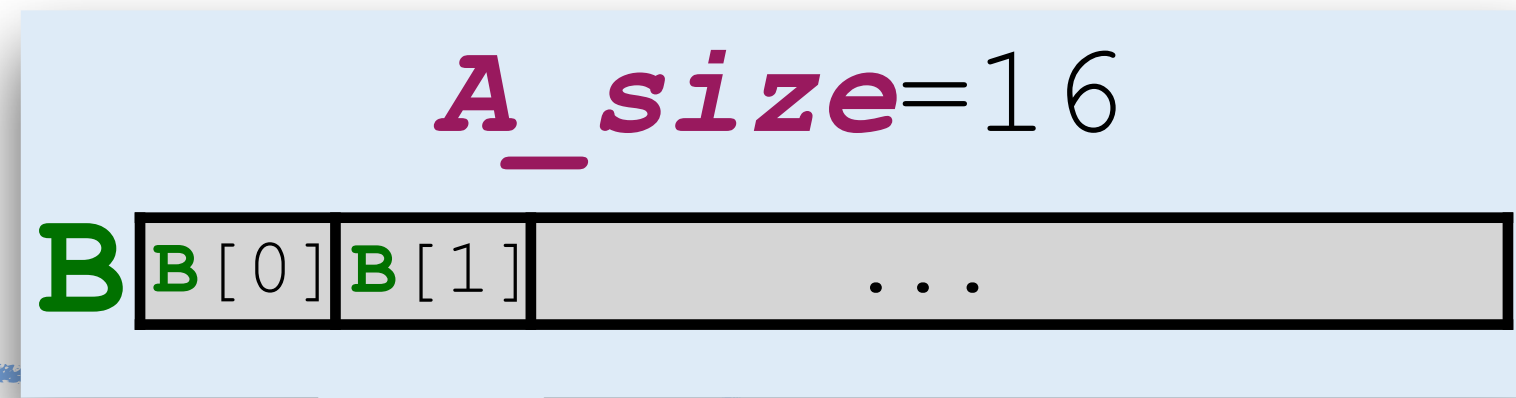
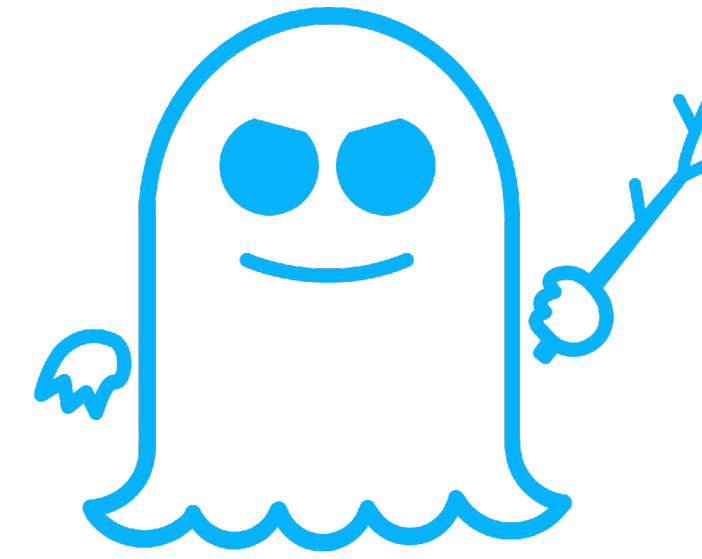
Spectre V1



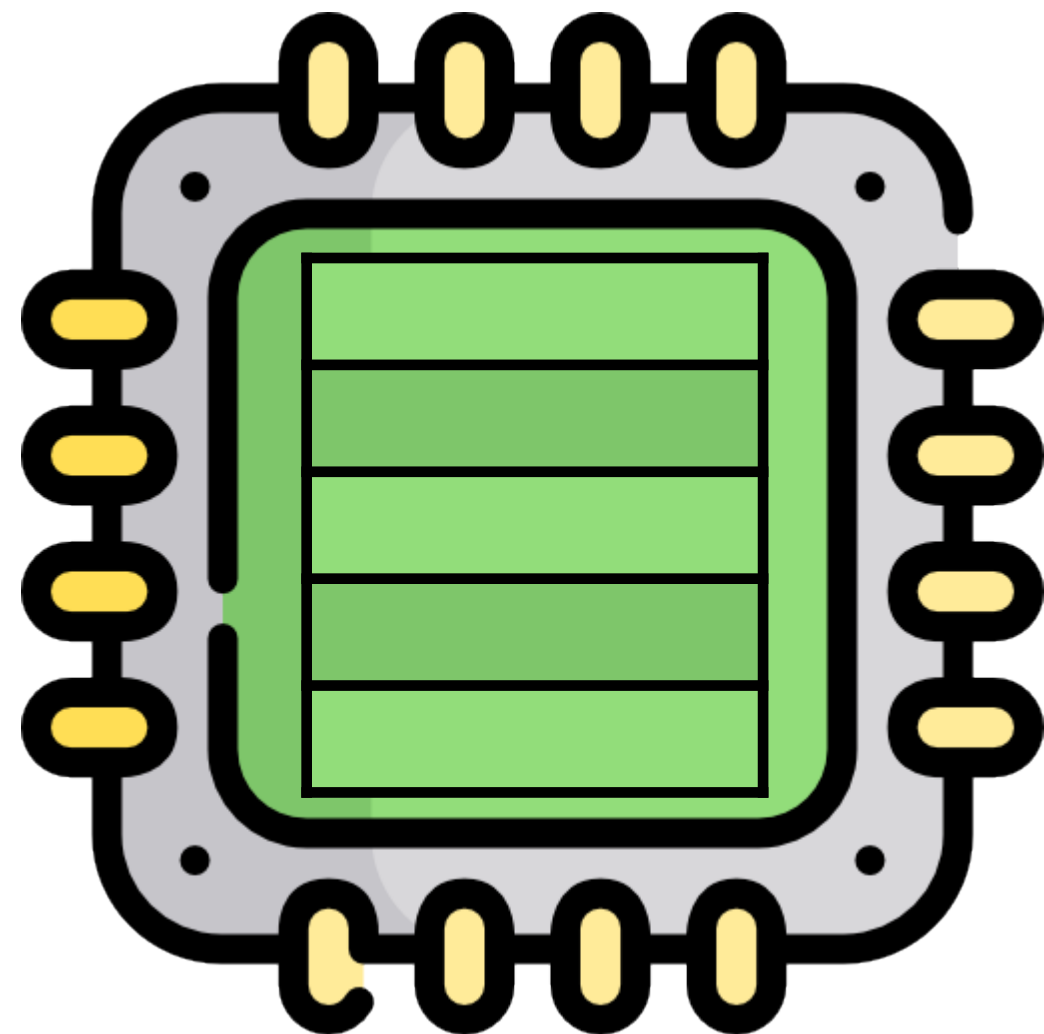
```
void f(int x)
  if (x < A_size)
    y = B[A[x]]
```



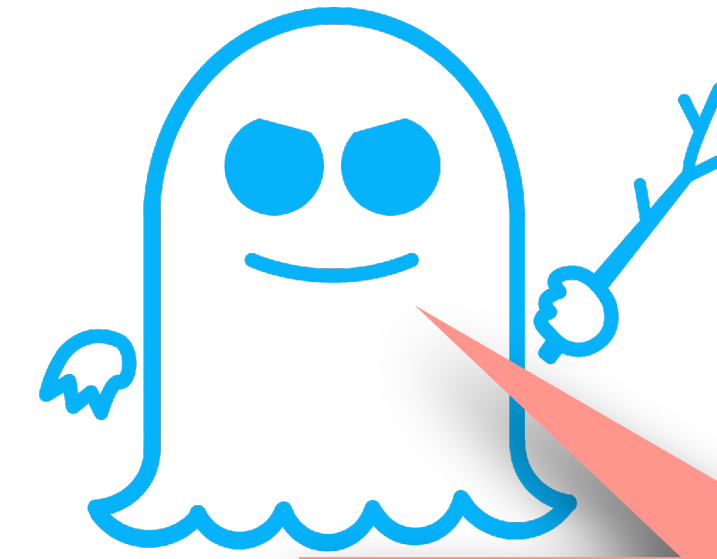
Spectre V1



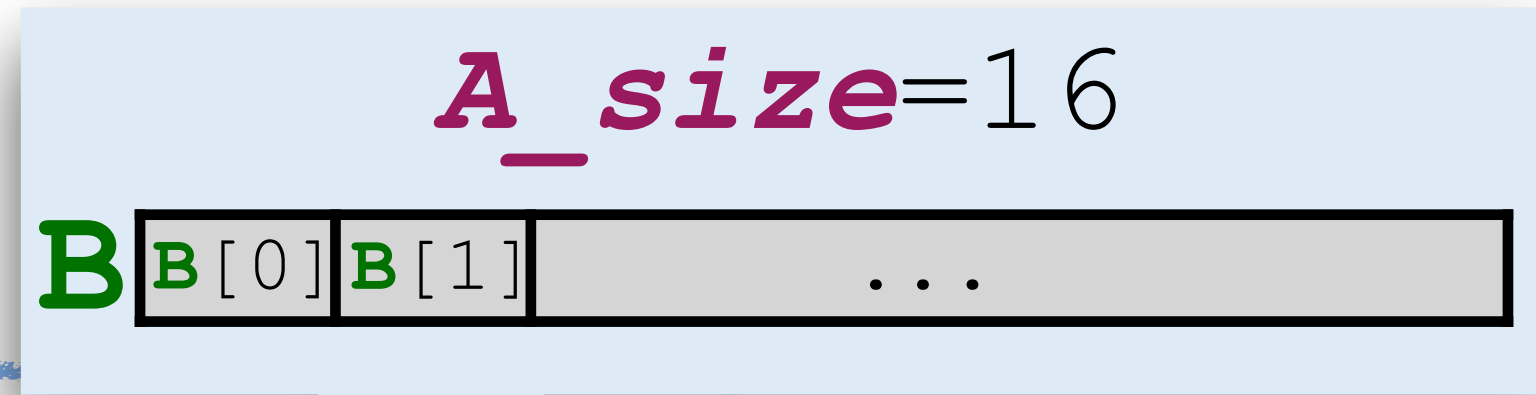
```
void f(int x)
  if (x < A_size)
    y = B[A[x]]
```



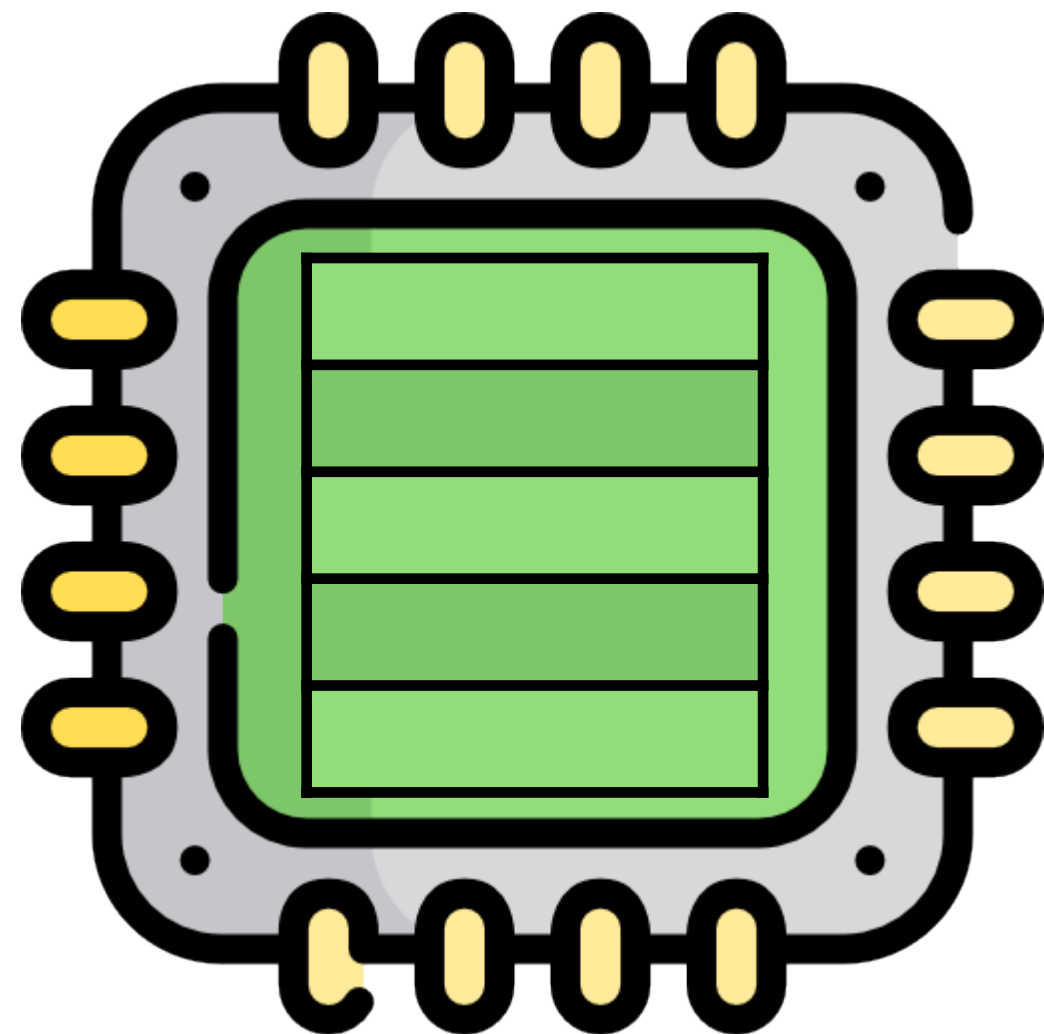
Spectre V1



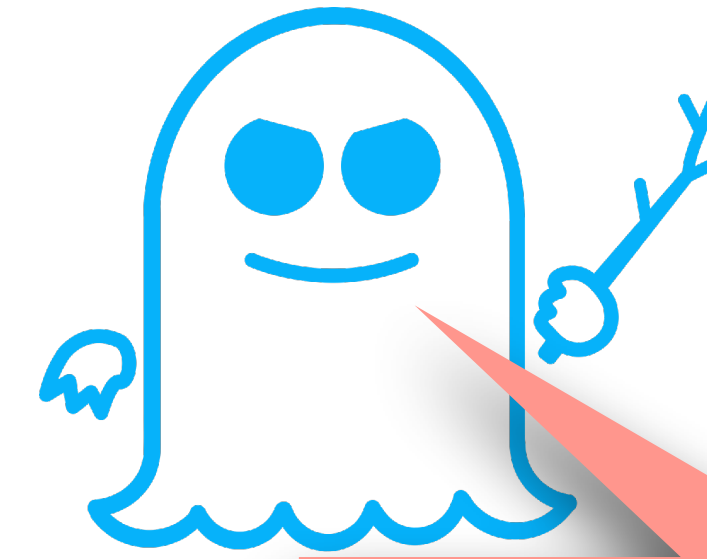
What is in **A**[128]?



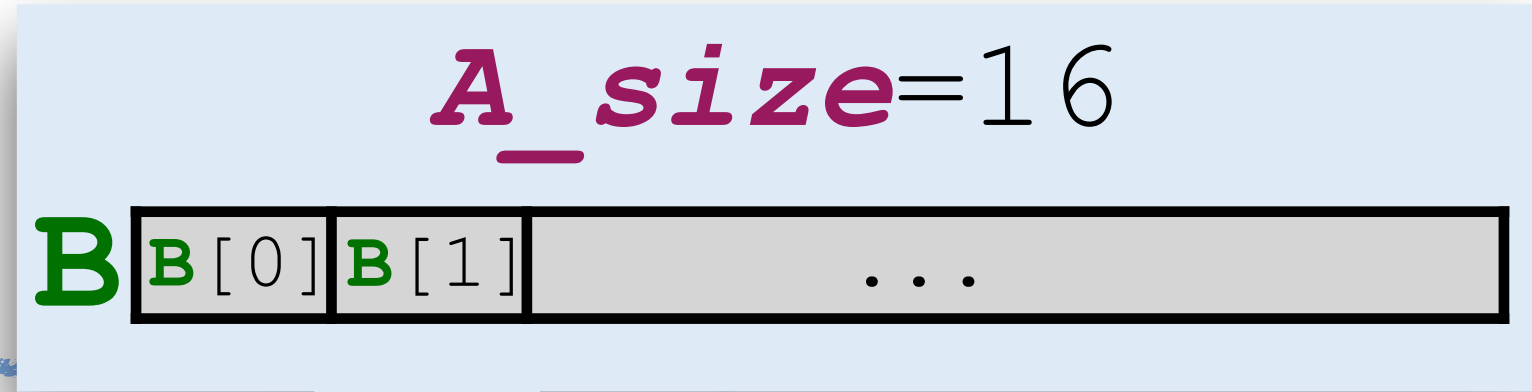
```
void f(int x)
  if (x < A_size)
    y = B[A[x]]
```



Spectre V1



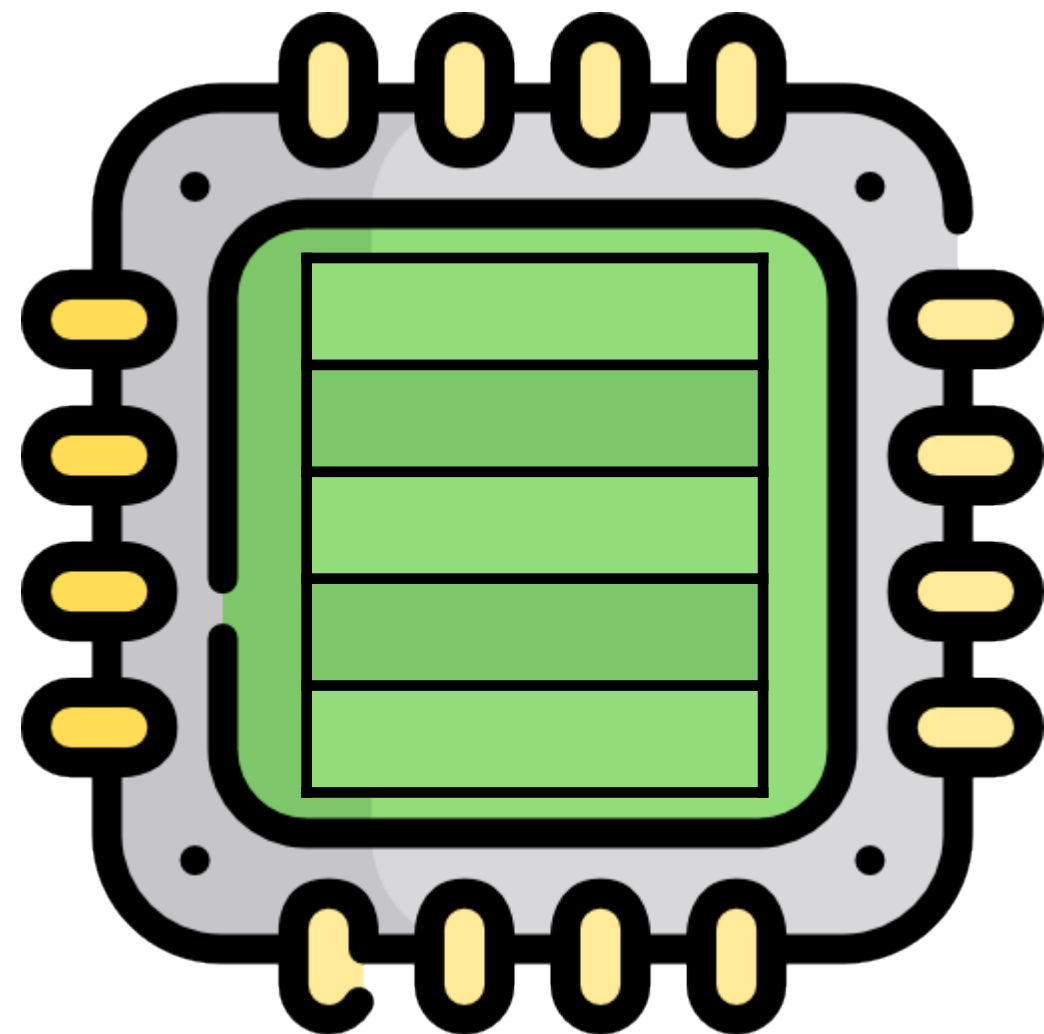
What is in **A**[128]?



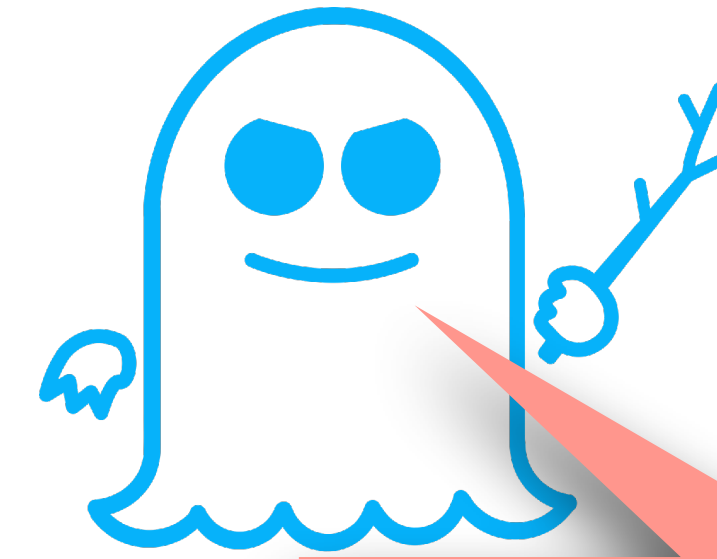
```
void f(int x)
  if (x < A_size)
    y = B[A[x]]
```



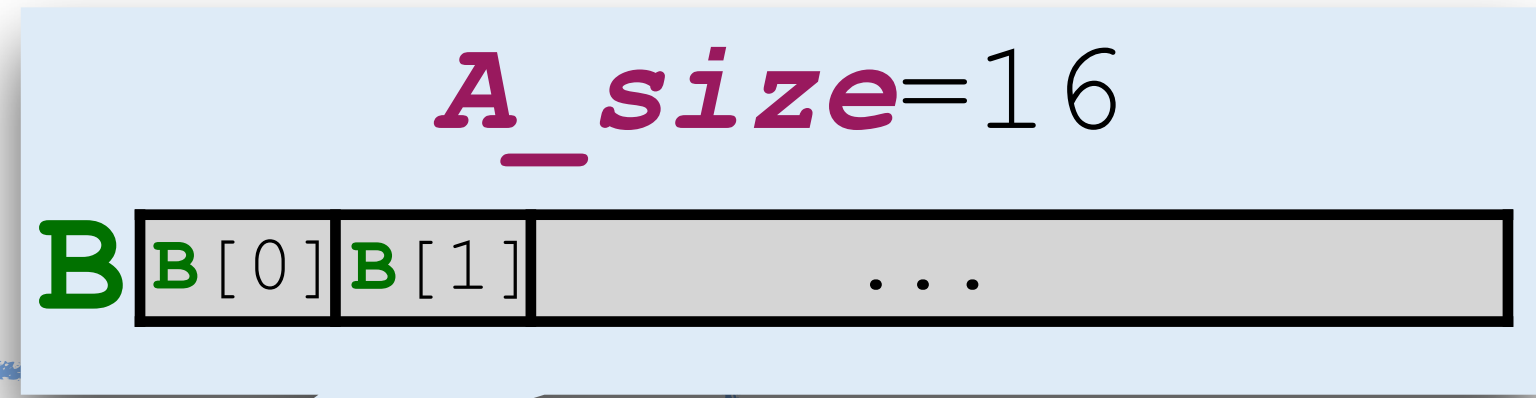
1) Training



Spectre V1



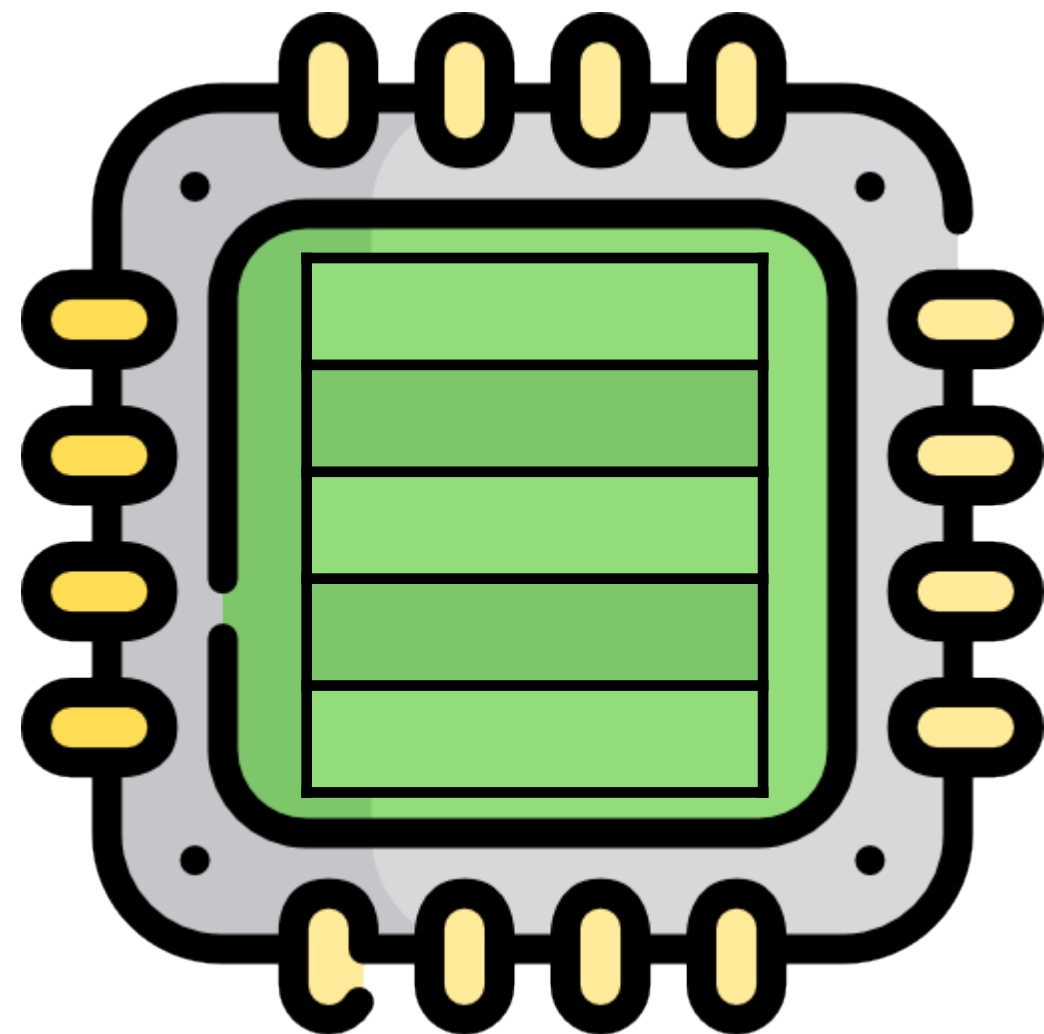
What is in **A**[128]?



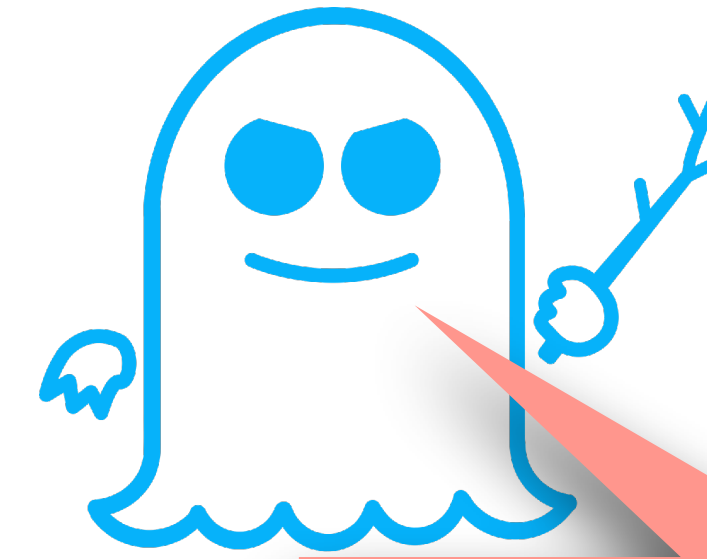
```
void f(int x)
  if (x < A_size)
    y = B[A[x]]
```



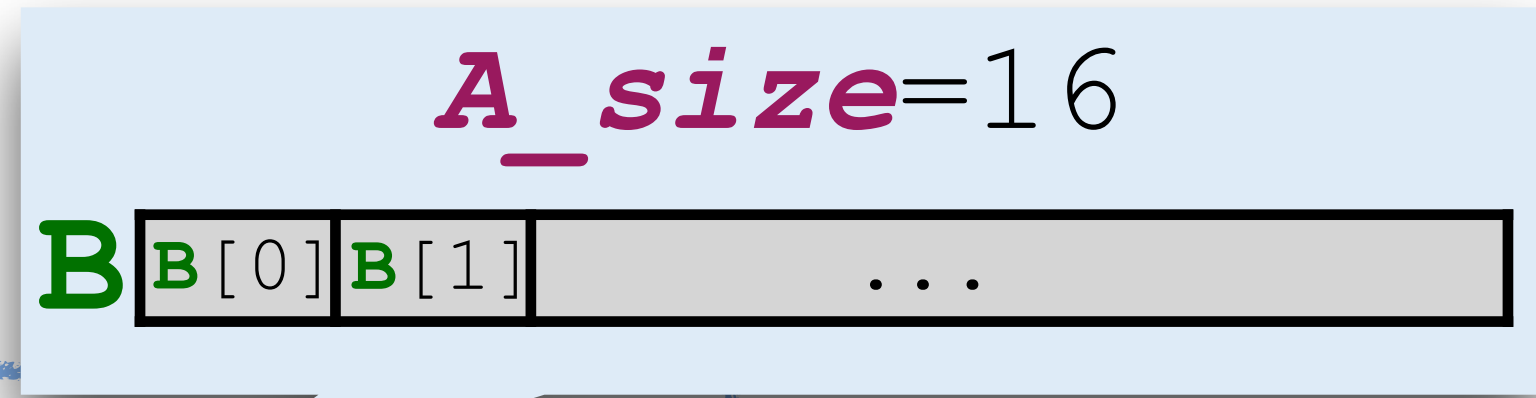
1) Training



Spectre V1




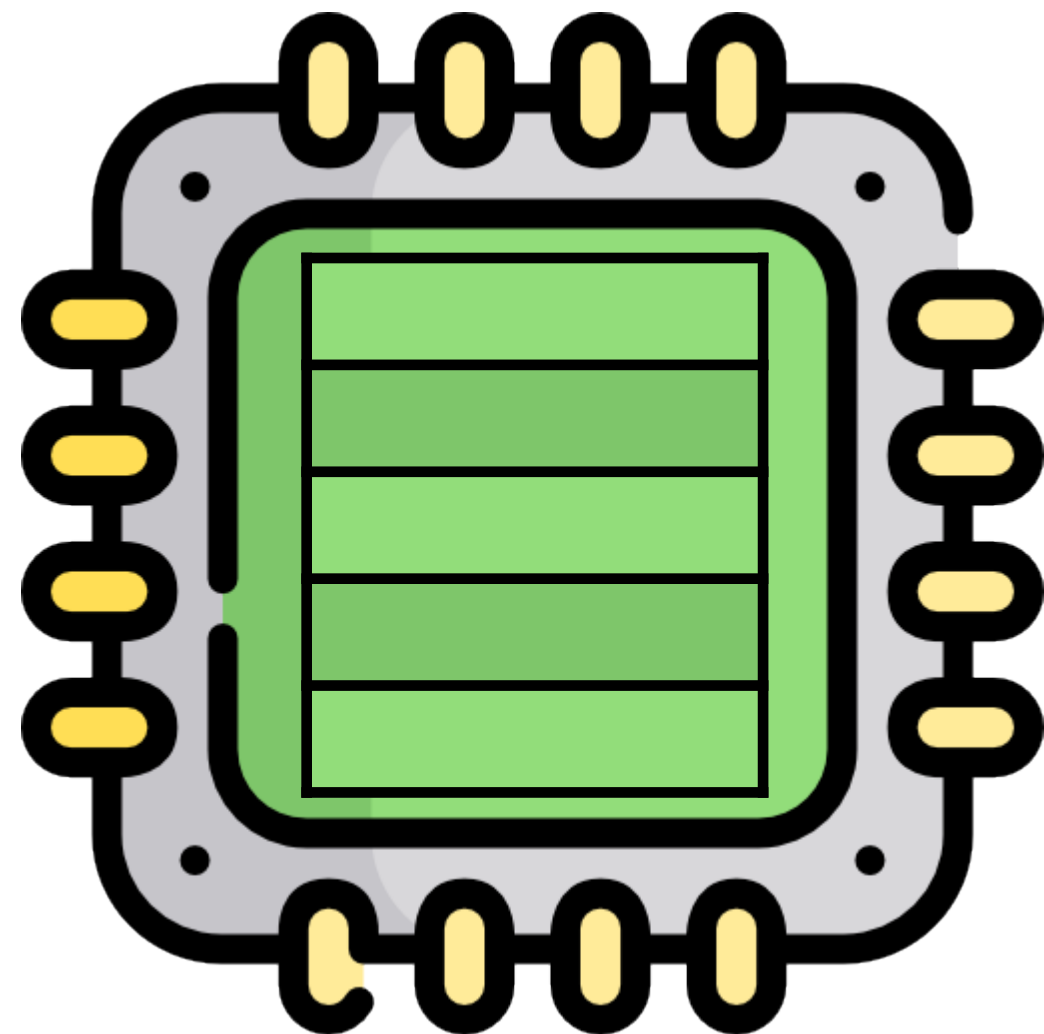
What is in **A**[128]?



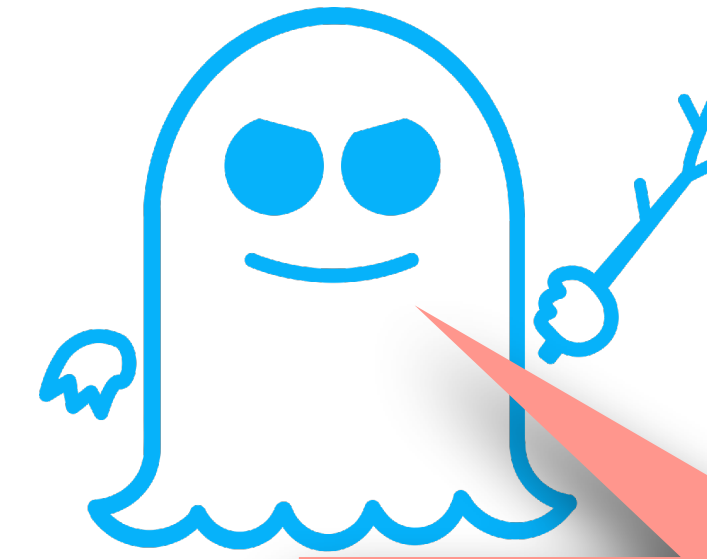
```
void f(int x)
  if (x < A_size)
    y = B[A[x]]
```



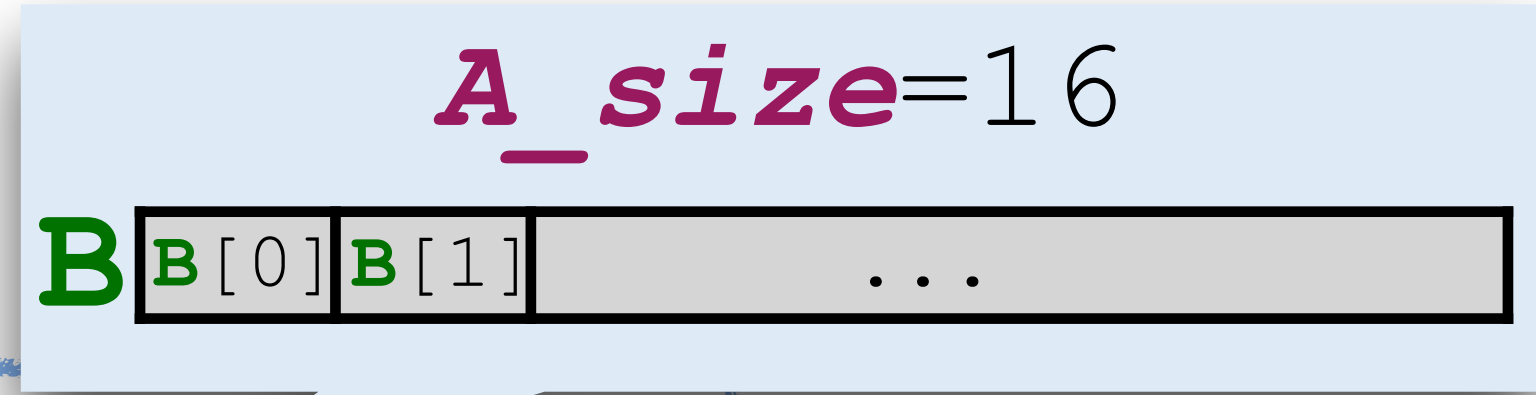
1) Training  f(0);



Spectre V1

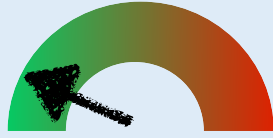


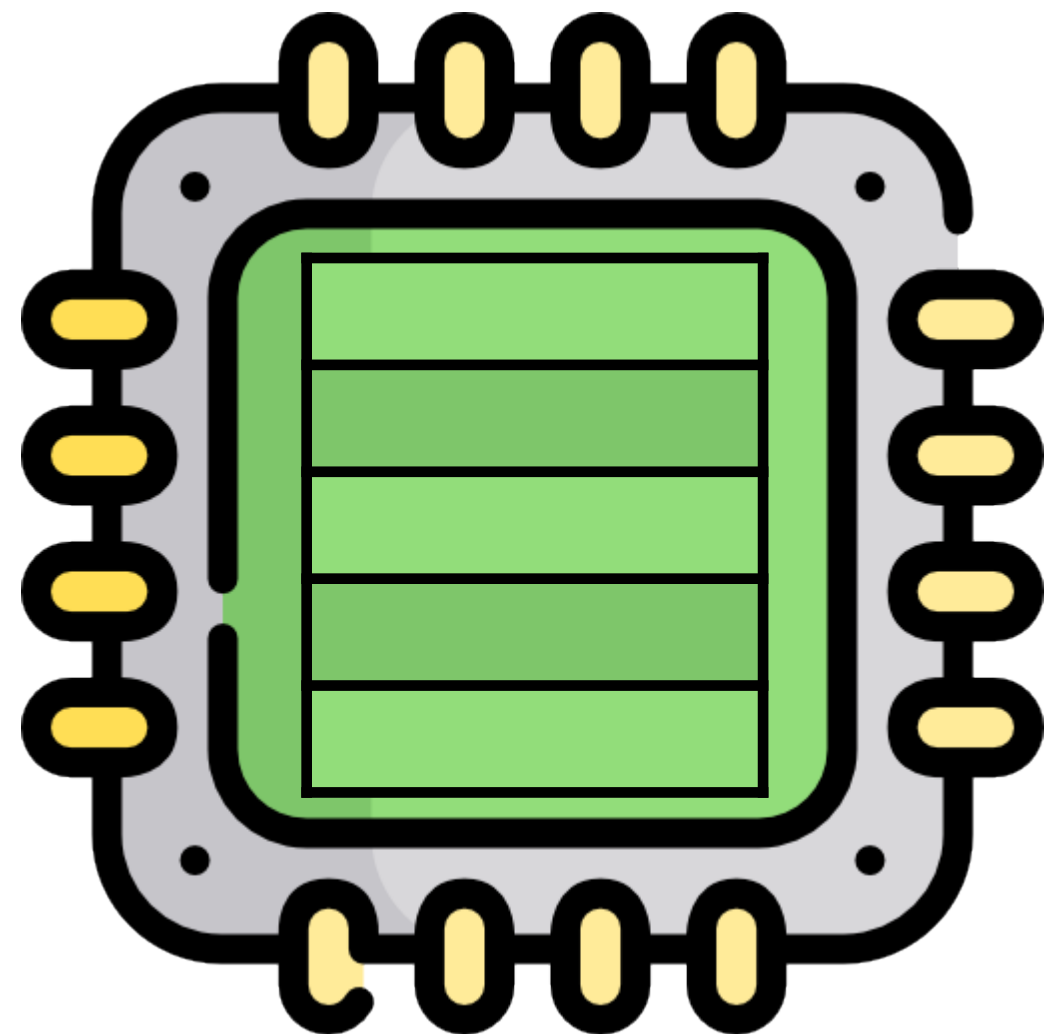
What is in **A**[128]?



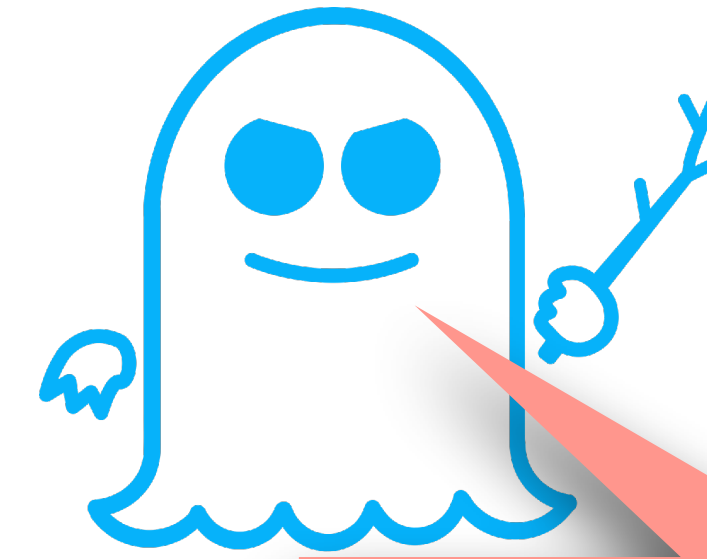
```
void f(int x)
  if (x < A_size)
    y = B[A[x]]
```



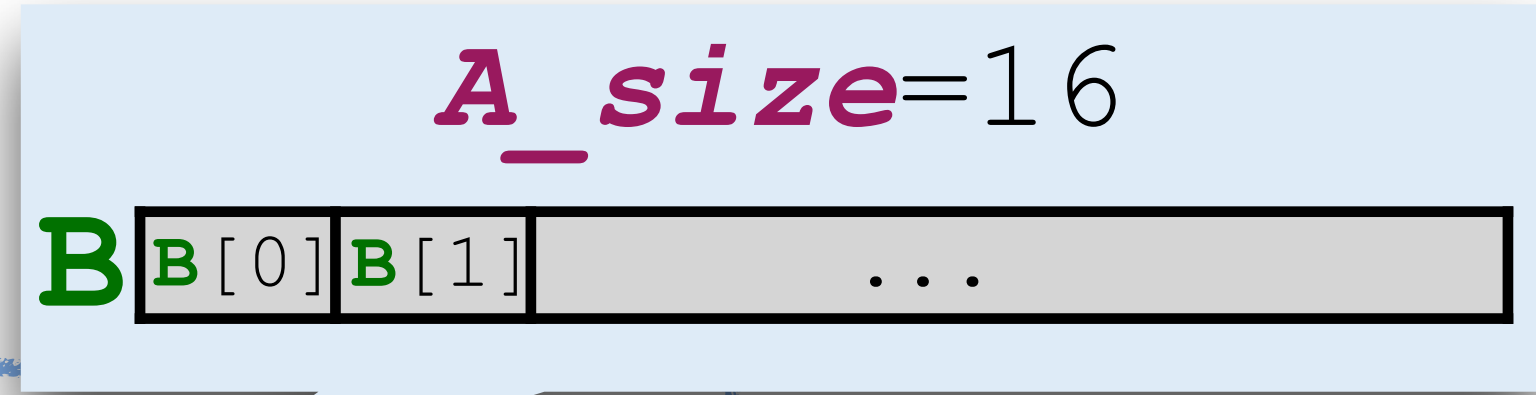
1) Training  f(0);f(1);



Spectre V1



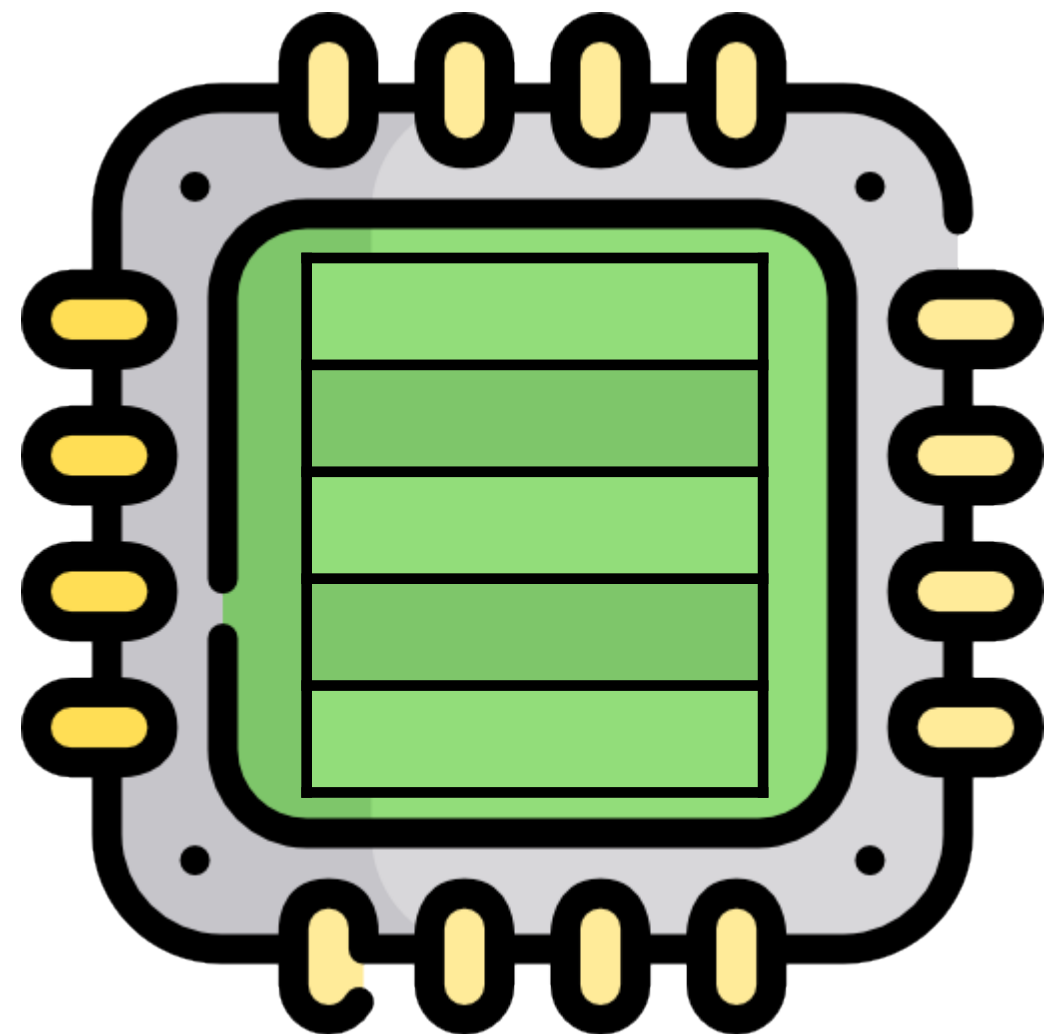
What is in **A**[128]?



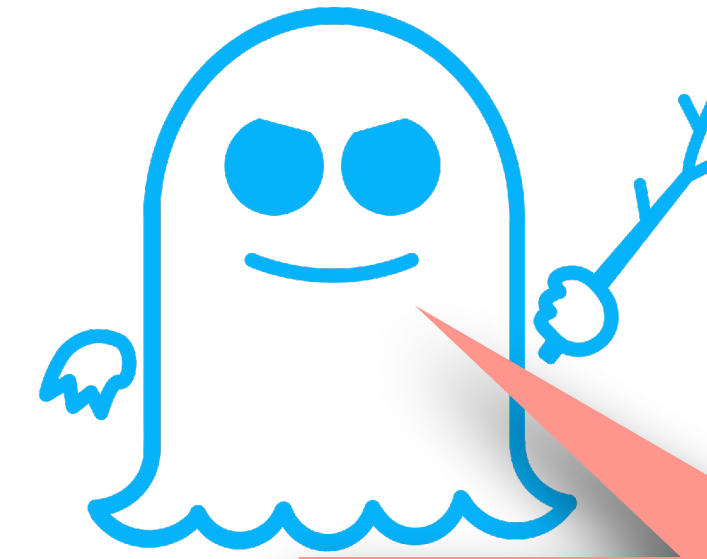
```
void f(int x)
  if (x < A_size)
    y = B[A[x]]
```



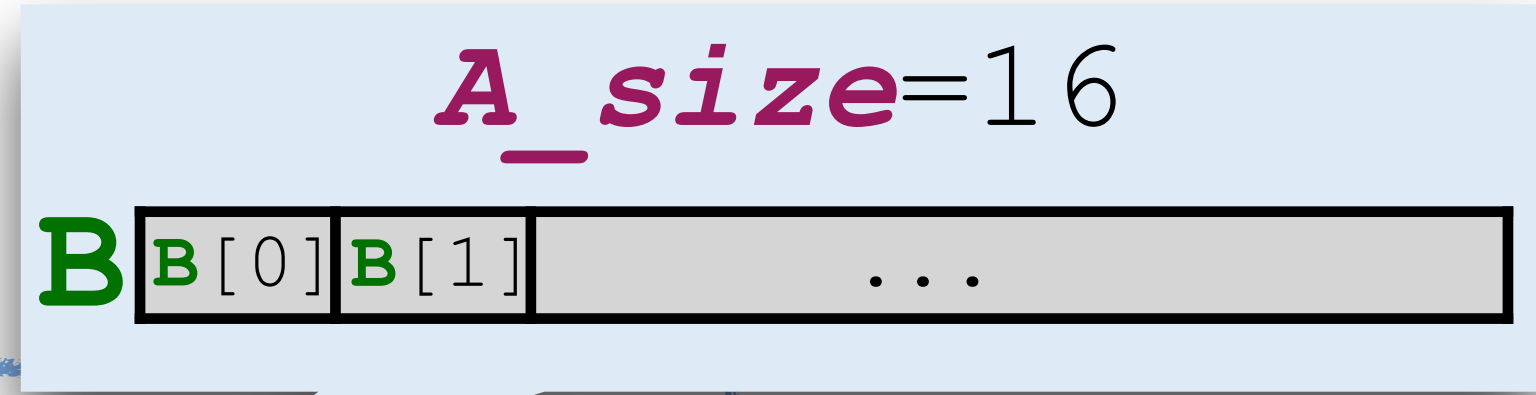
1) Training f(0); f(1); f(2); ...



Spectre V1

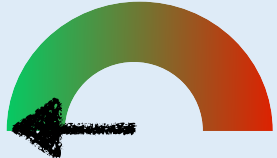


What is in **A**[128]?

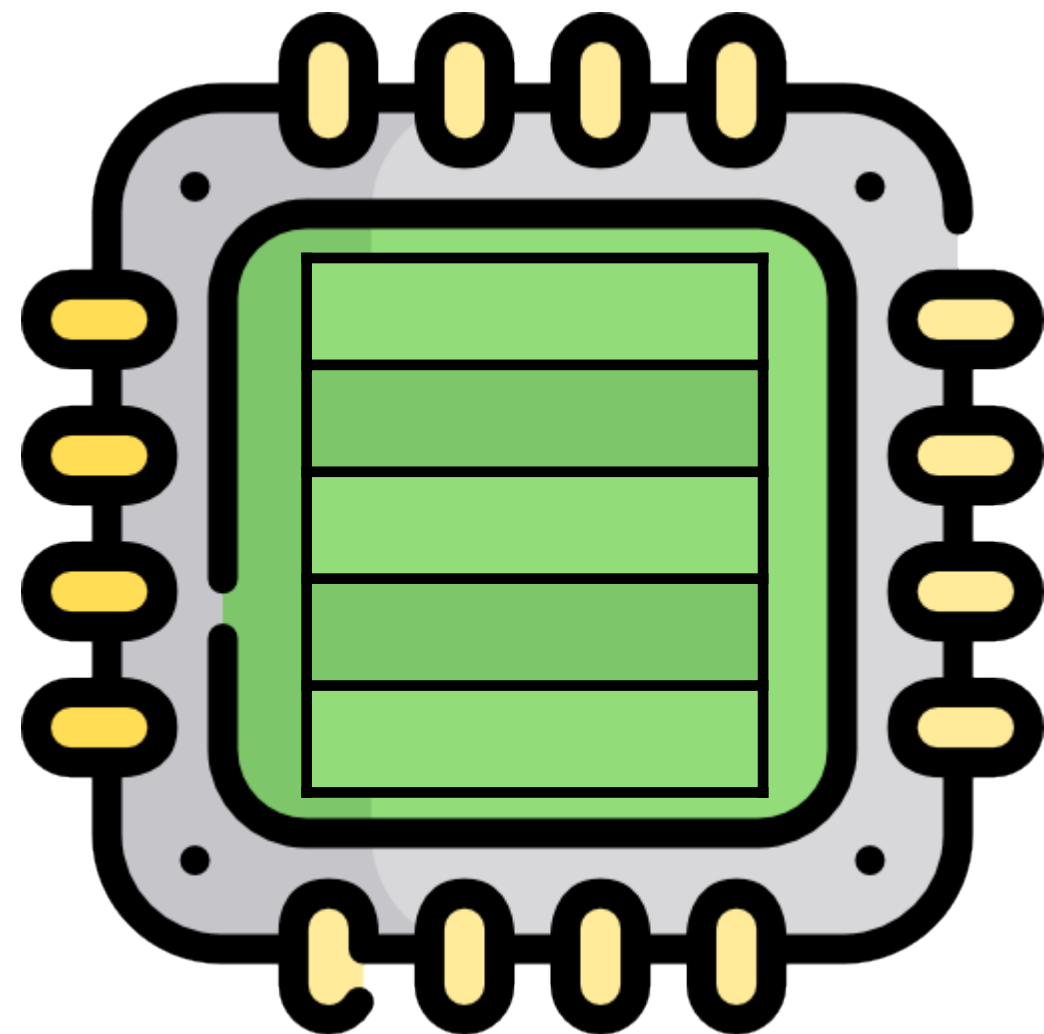


```
void f(int x)
  if (x < A_size)
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```

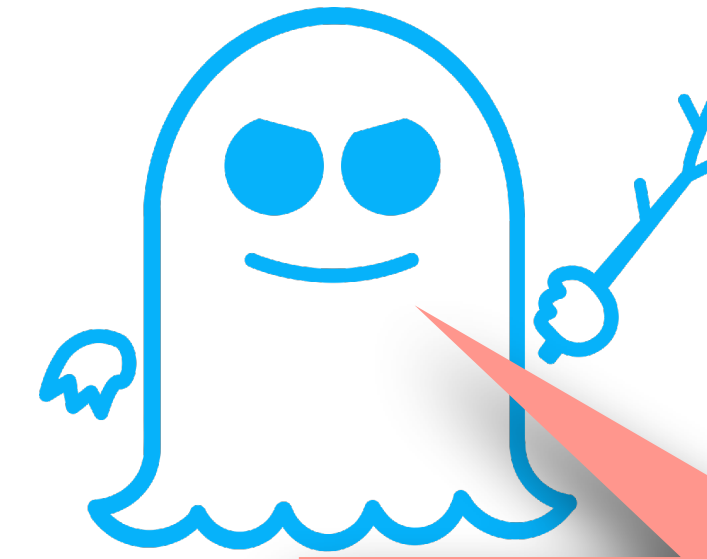


1) Training  f(0); f(1); f(2); ...

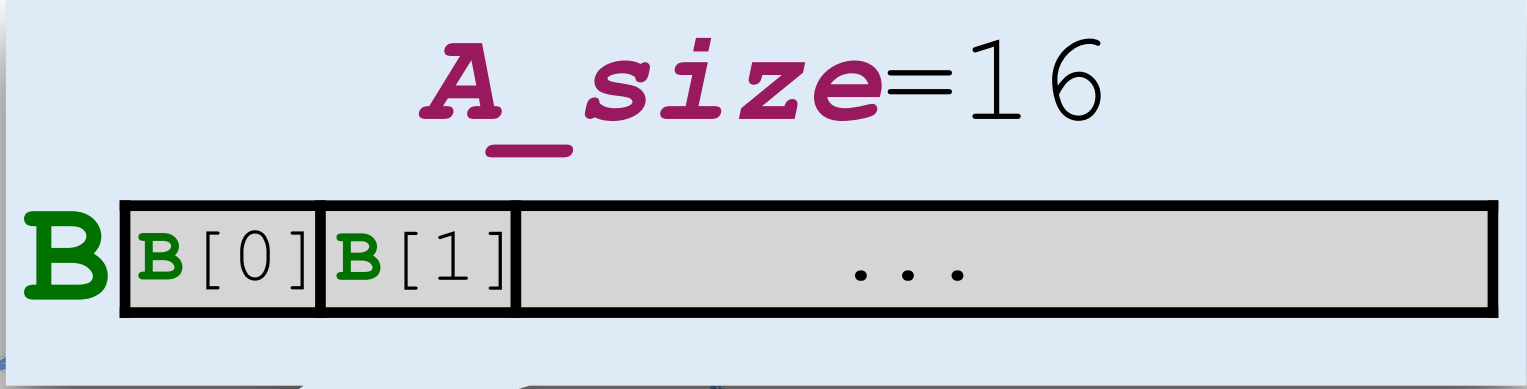
2) Prepare cache



Spectre V1

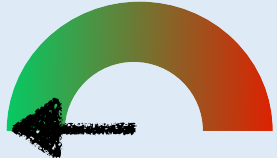


What is in **A**[128]?

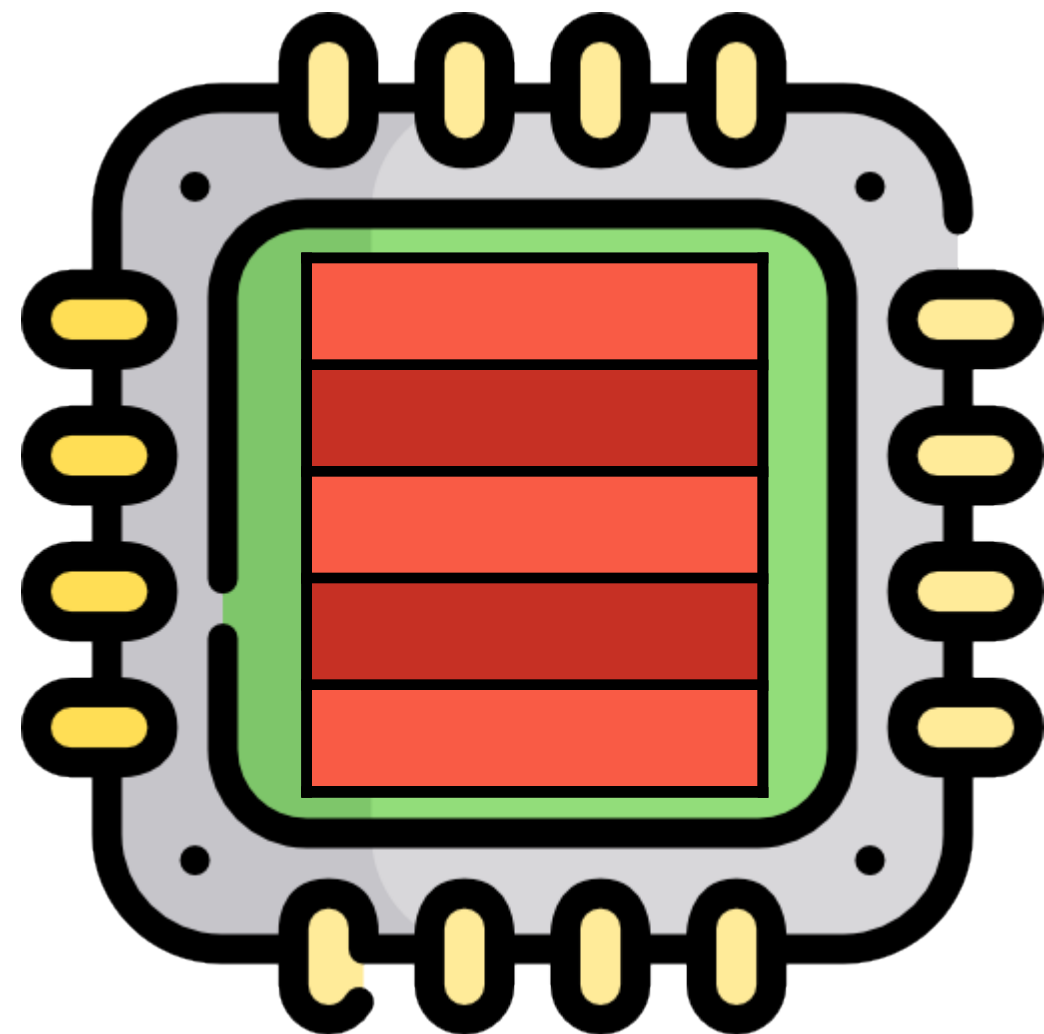


```
void f(int x)
  if (x < A_size)
    y = B[A[x]]
```

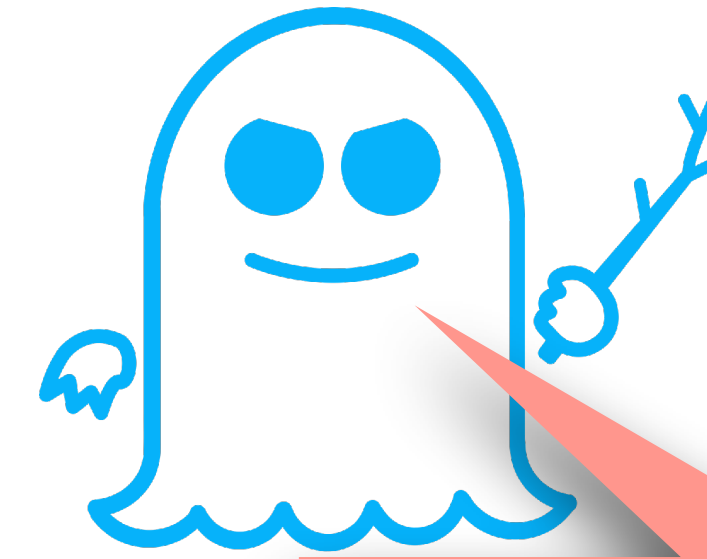


1) Training  f(0); f(1); f(2); ...

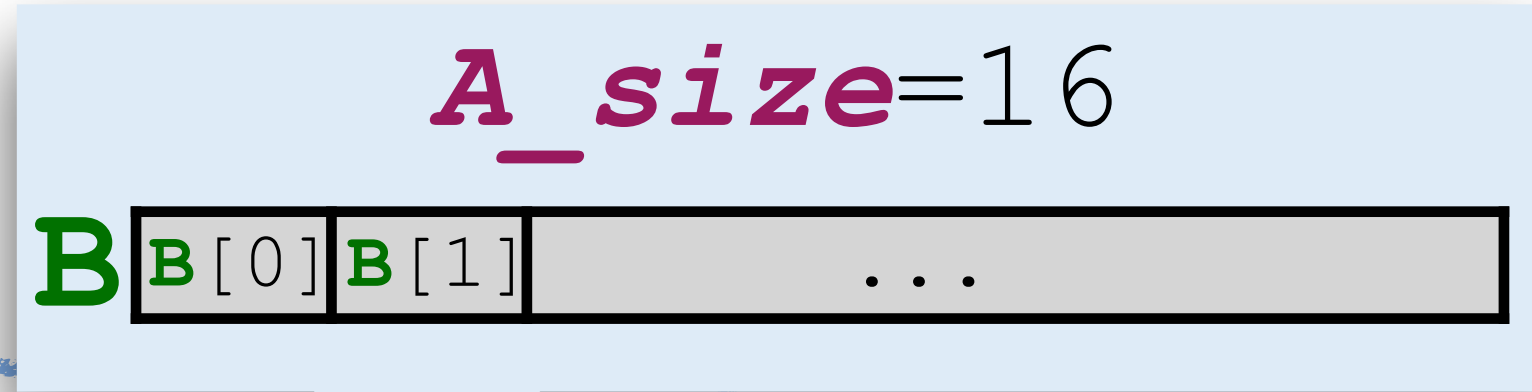
2) Prepare cache



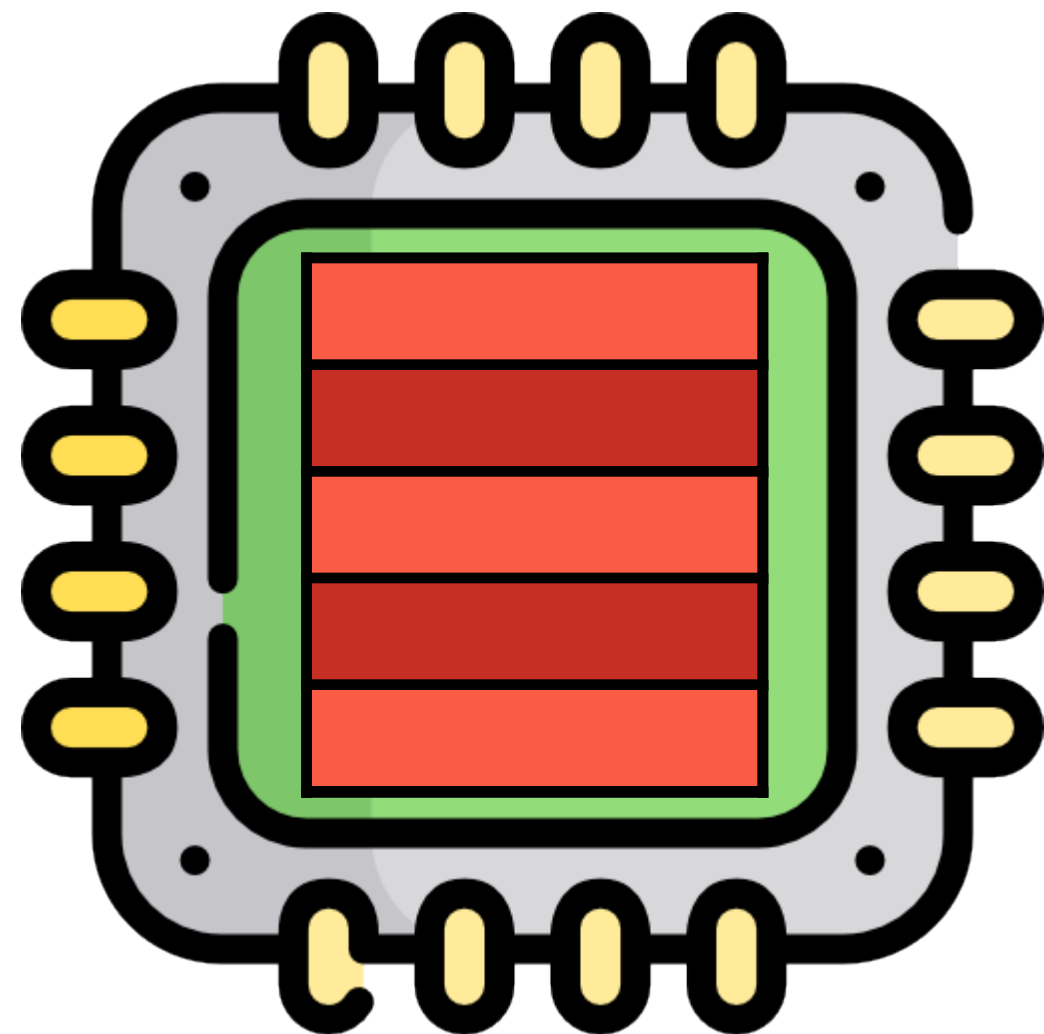
Spectre V1

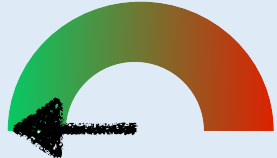


What is in **A**[128]?



```
void f(int x)
  if (x < A_size)
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```

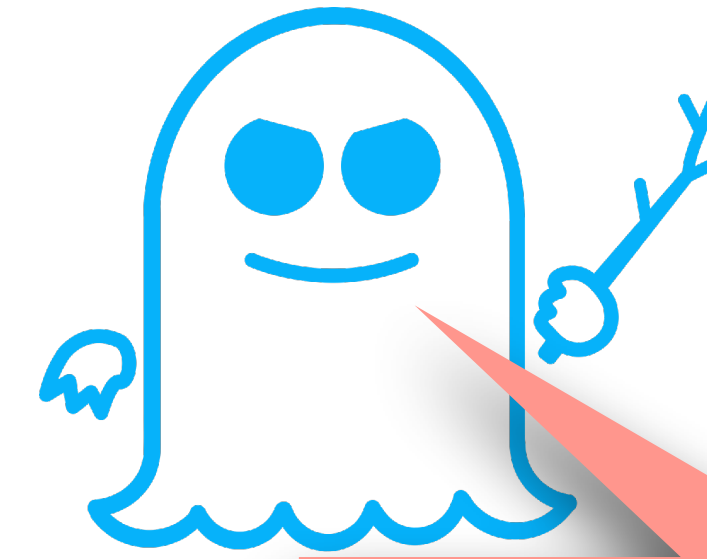


1) Training  f(0);f(1);f(2); ...

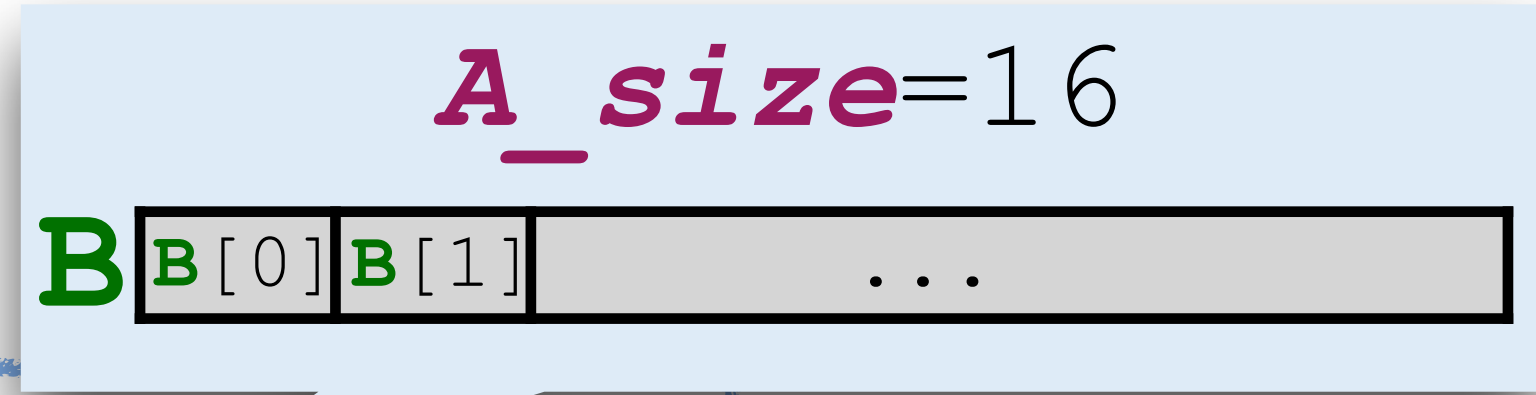
2) Prepare cache

3) Run with x = 128

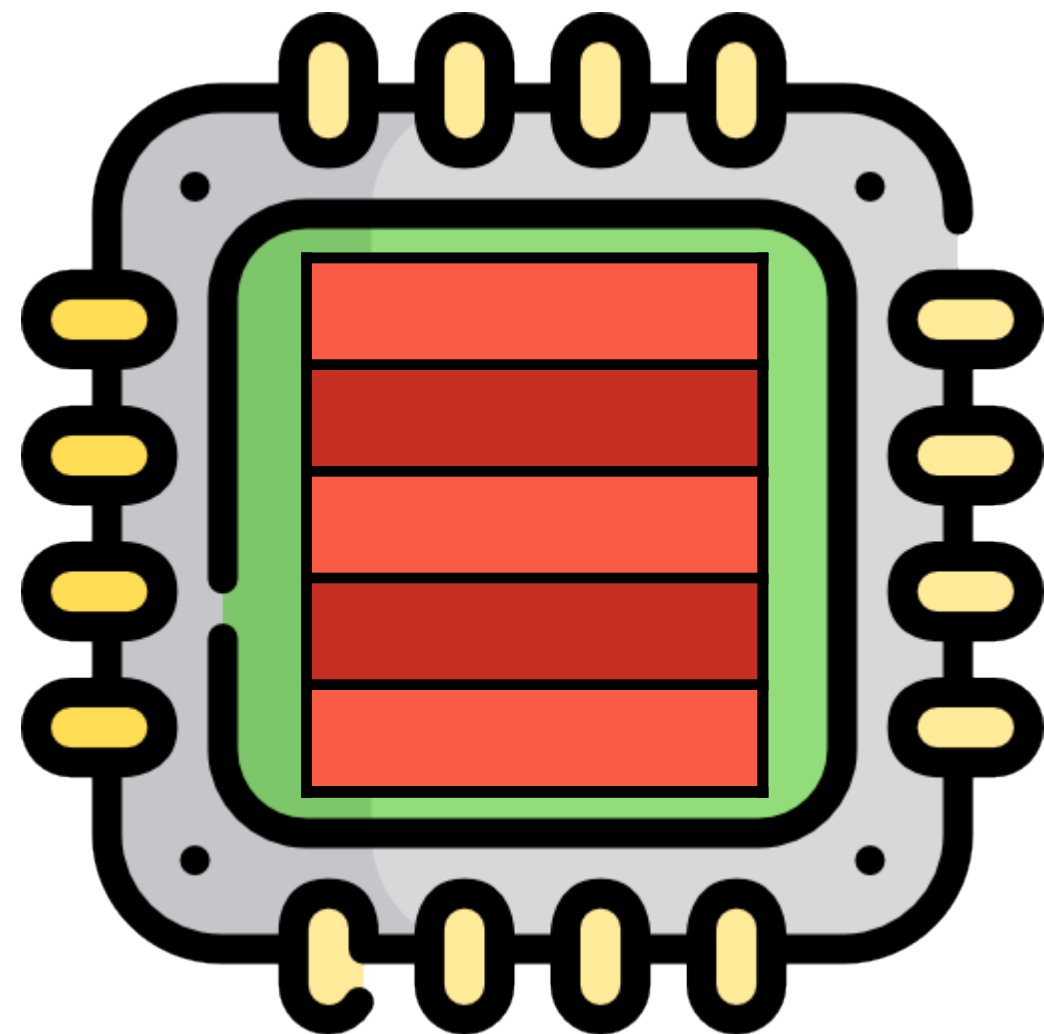
Spectre V1

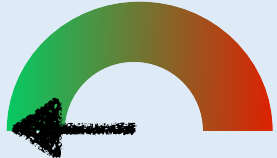


What is in **A**[128]?



```
void f(int x)
  if (x < A_size)
    y = B[A[x]]
```

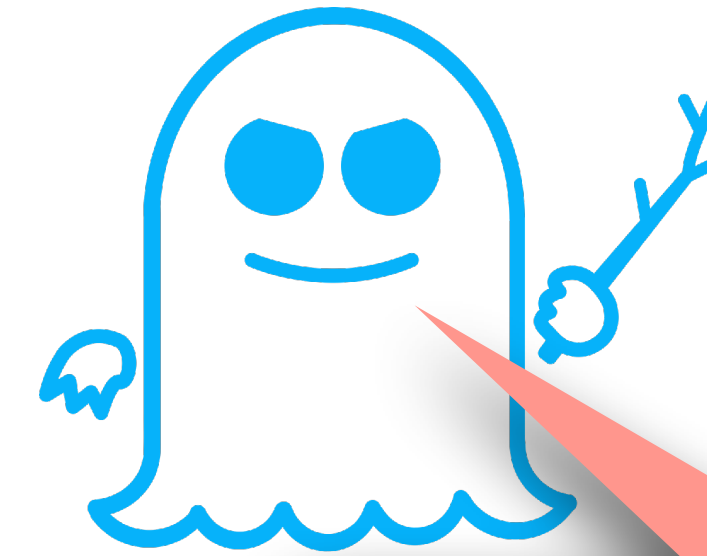


1) Training  f(0); f(1); f(2); ...

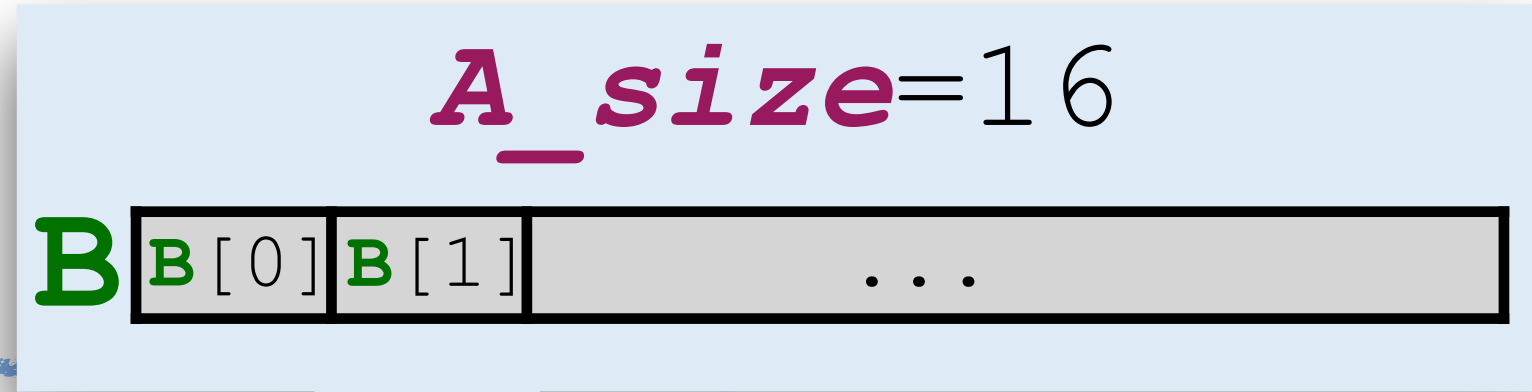
2) Prepare cache

3) Run with x = 128

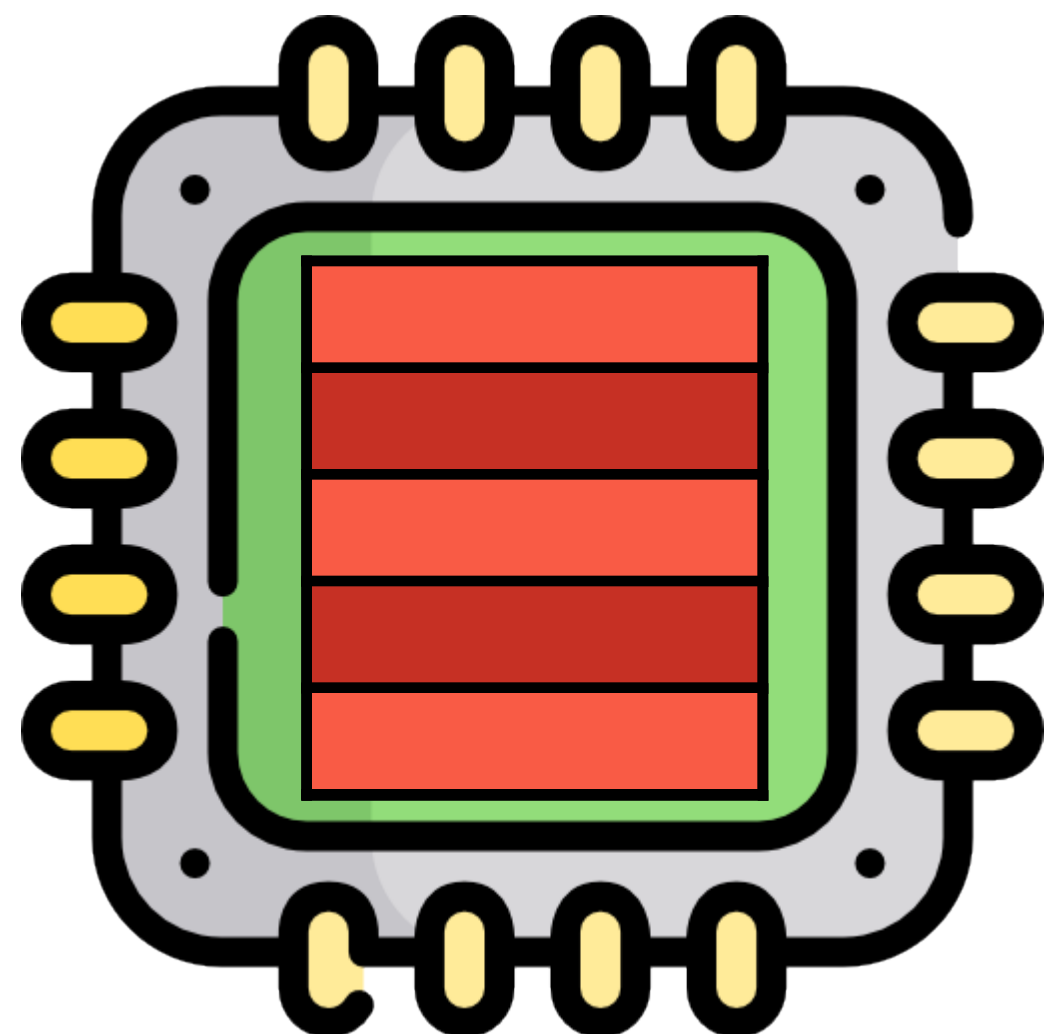
Spectre V1

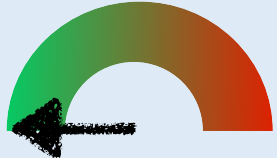


What is in **A**[128]?



```
void f(int x)
  if (x < A_size)
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```

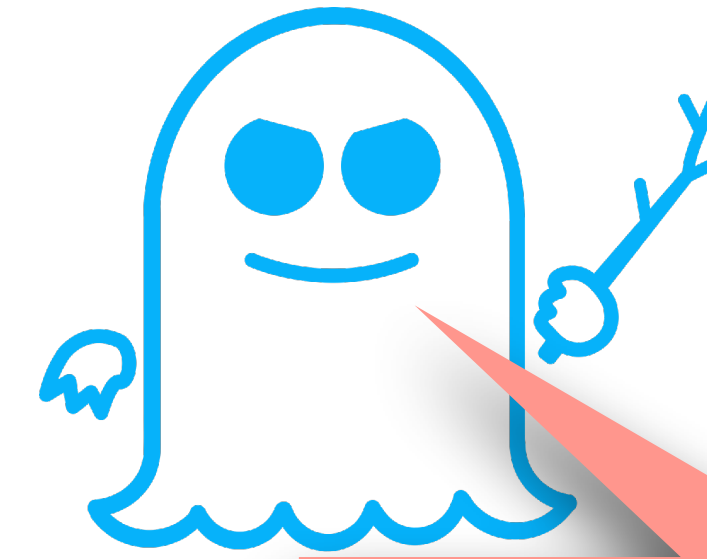


1) Training  f(0); f(1); f(2); ...

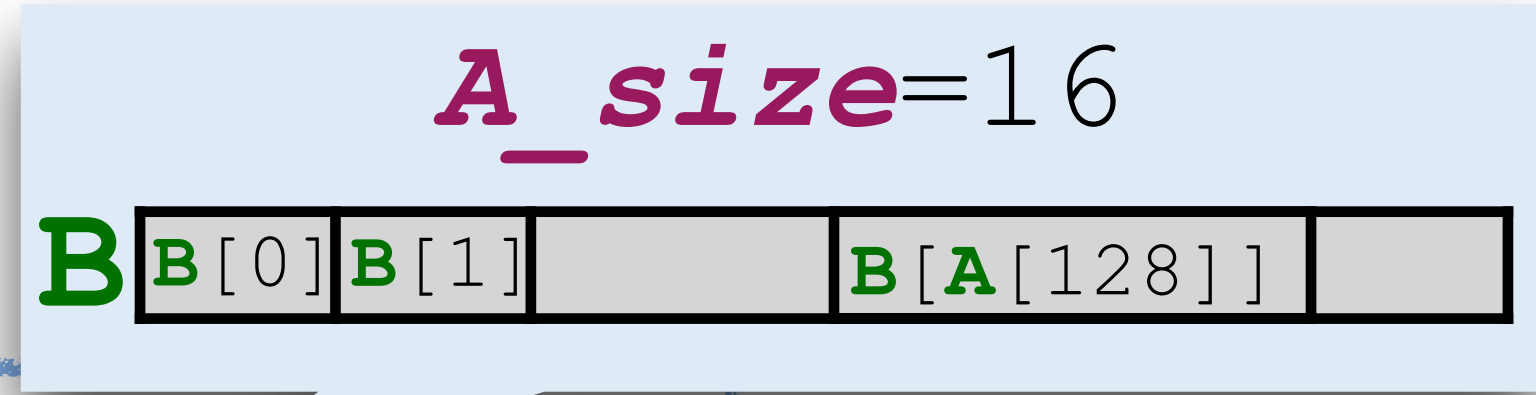
2) Prepare cache

3) Run with x = 128

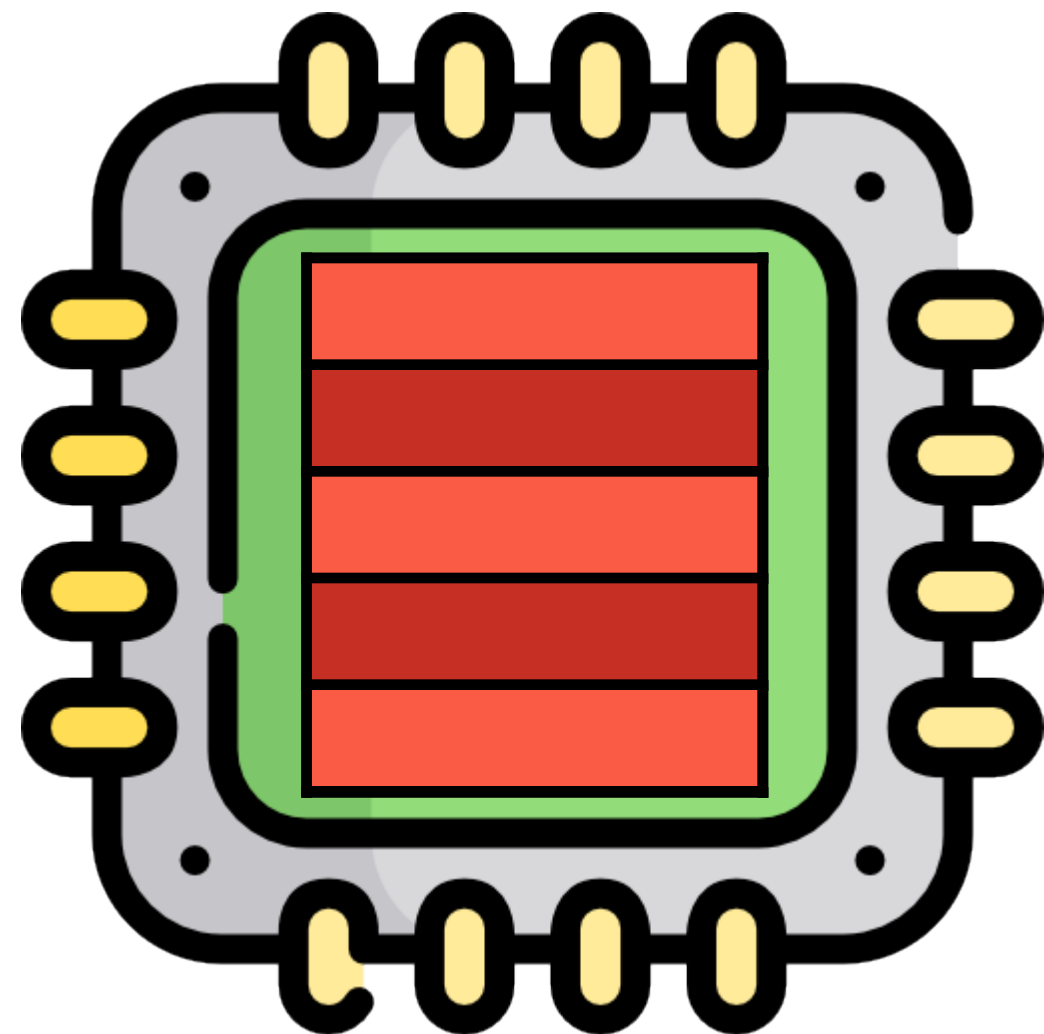
Spectre V1

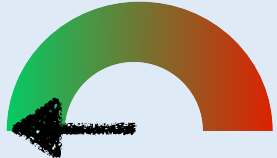


What is in **A**[128]?



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```

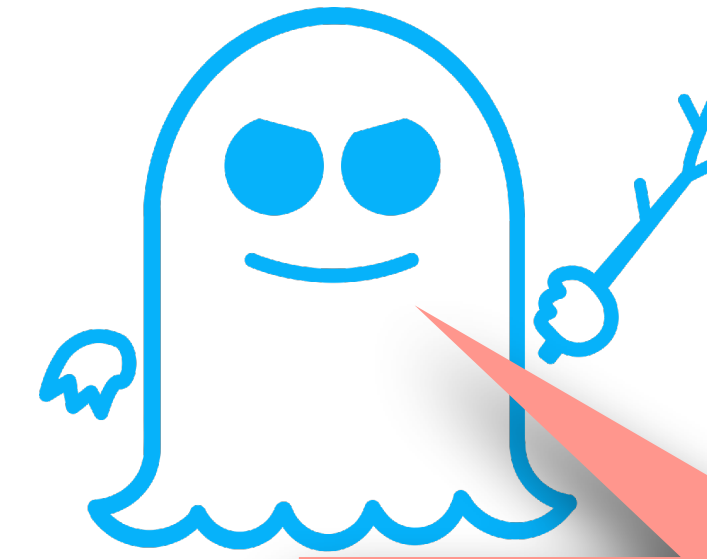


1) Training  f(0); f(1); f(2); ...

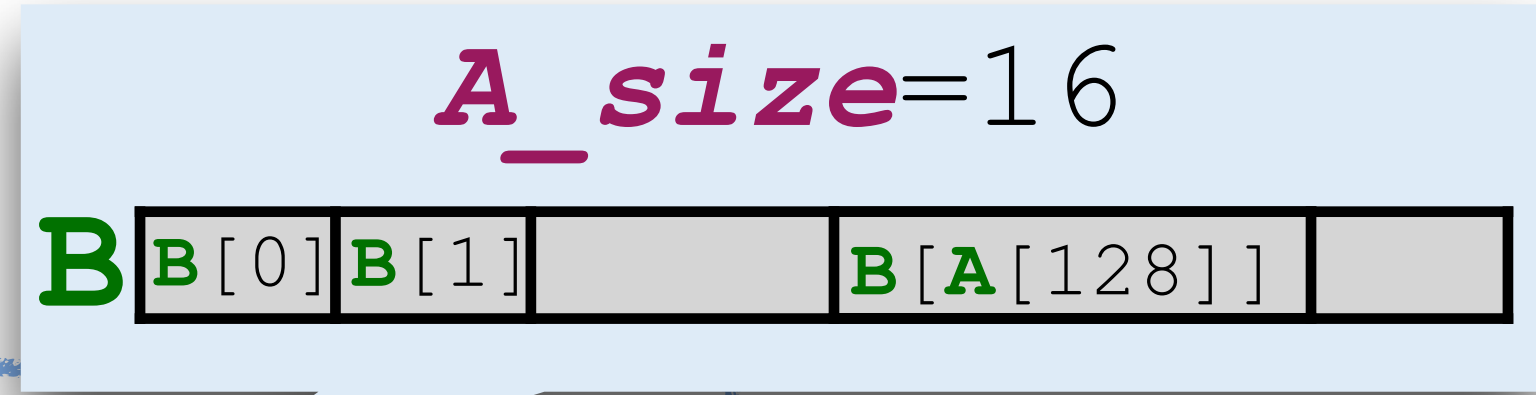
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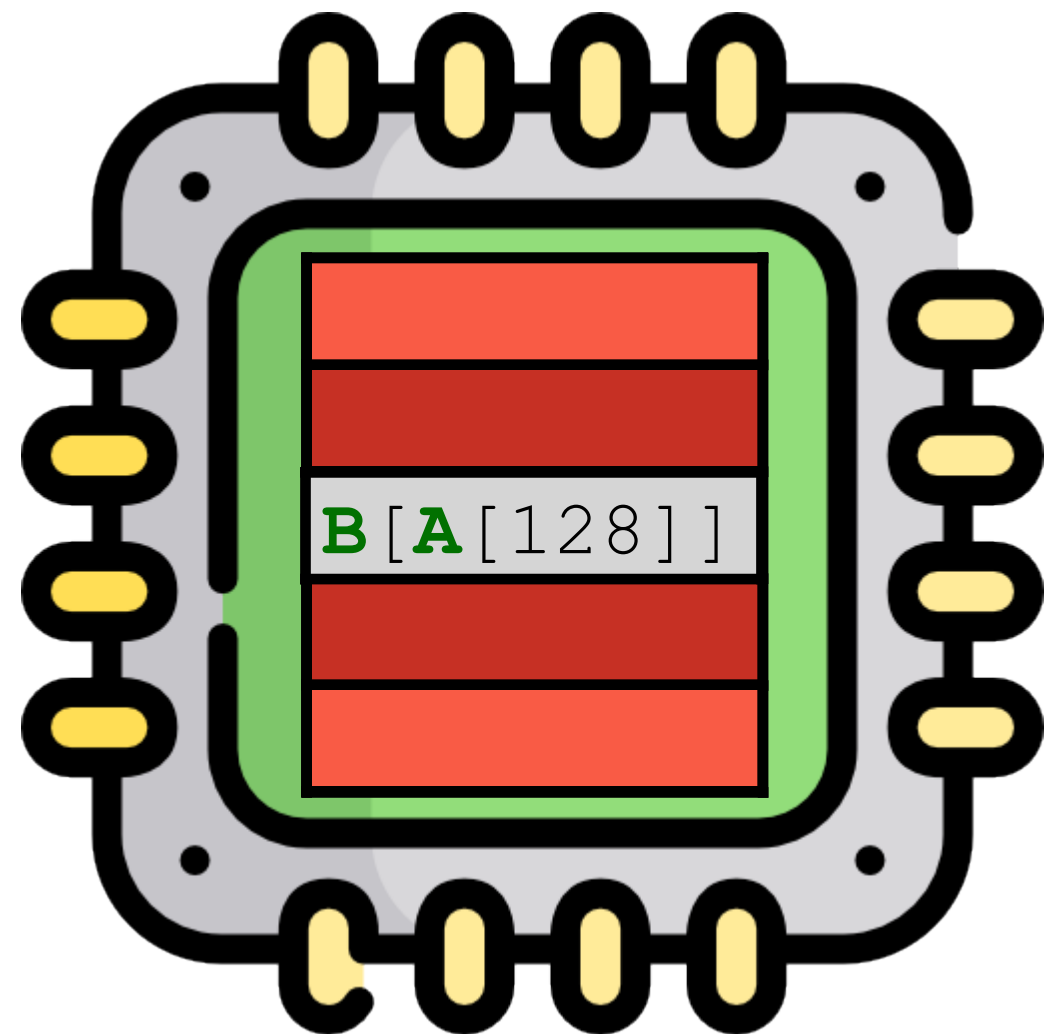
Spectre V1

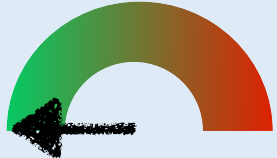


What is in **A**[128]?



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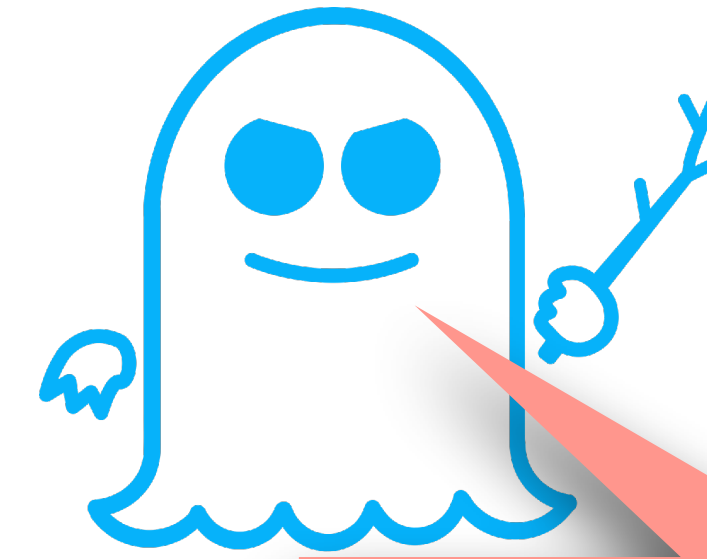


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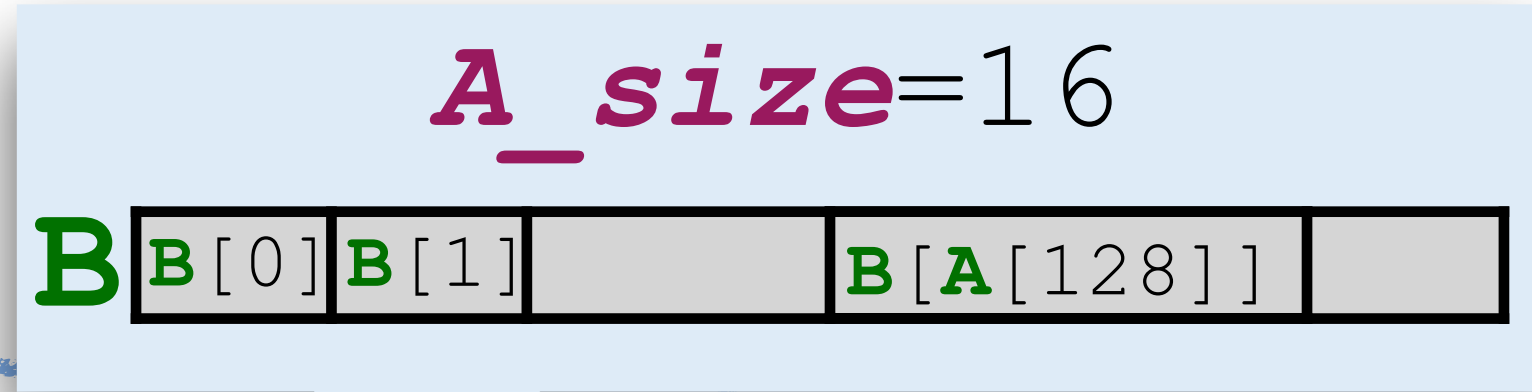
2) Prepare cache

3) Run with x = 128

Spectre V1

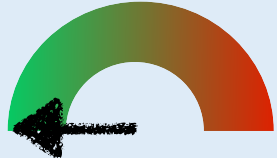


What is in **A**[128]?



```
void f(int x)
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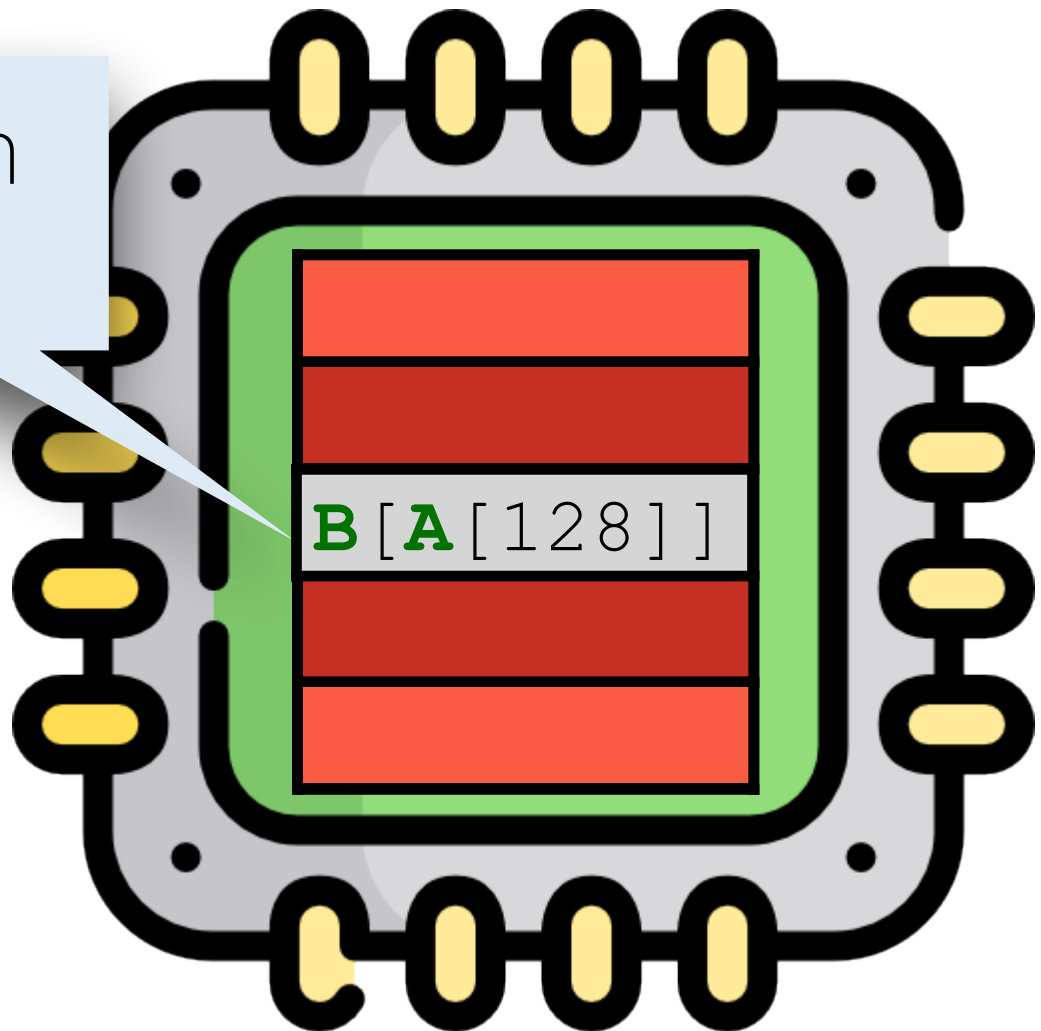


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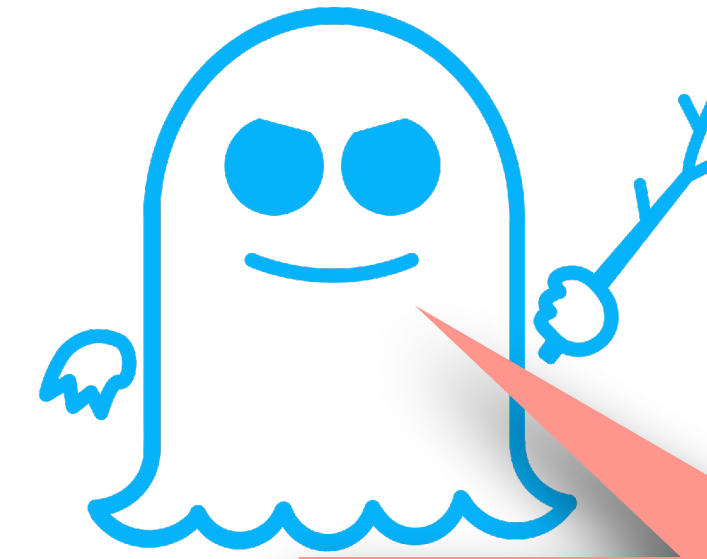
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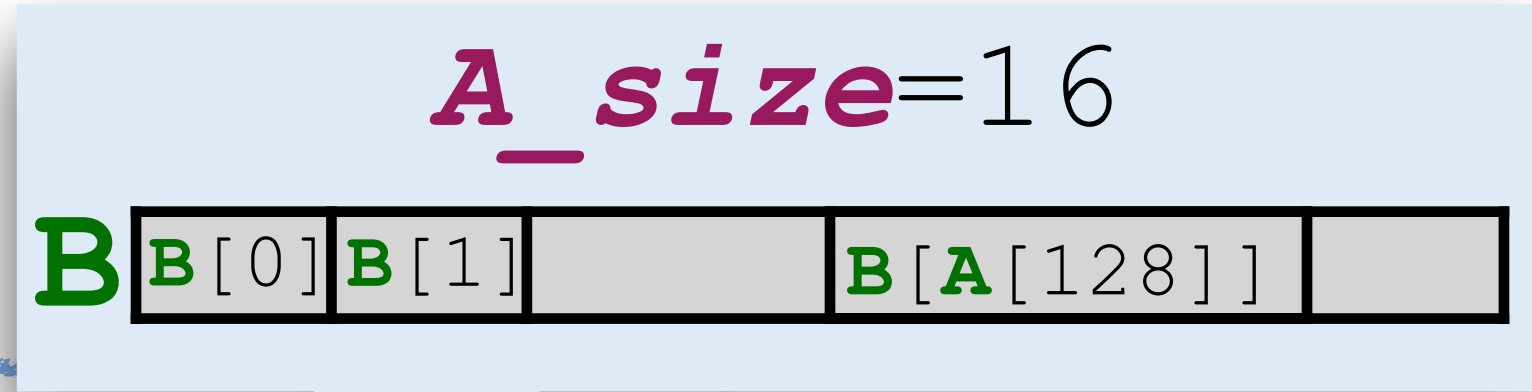
Depends on **A**[128]



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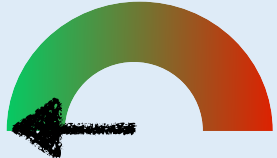


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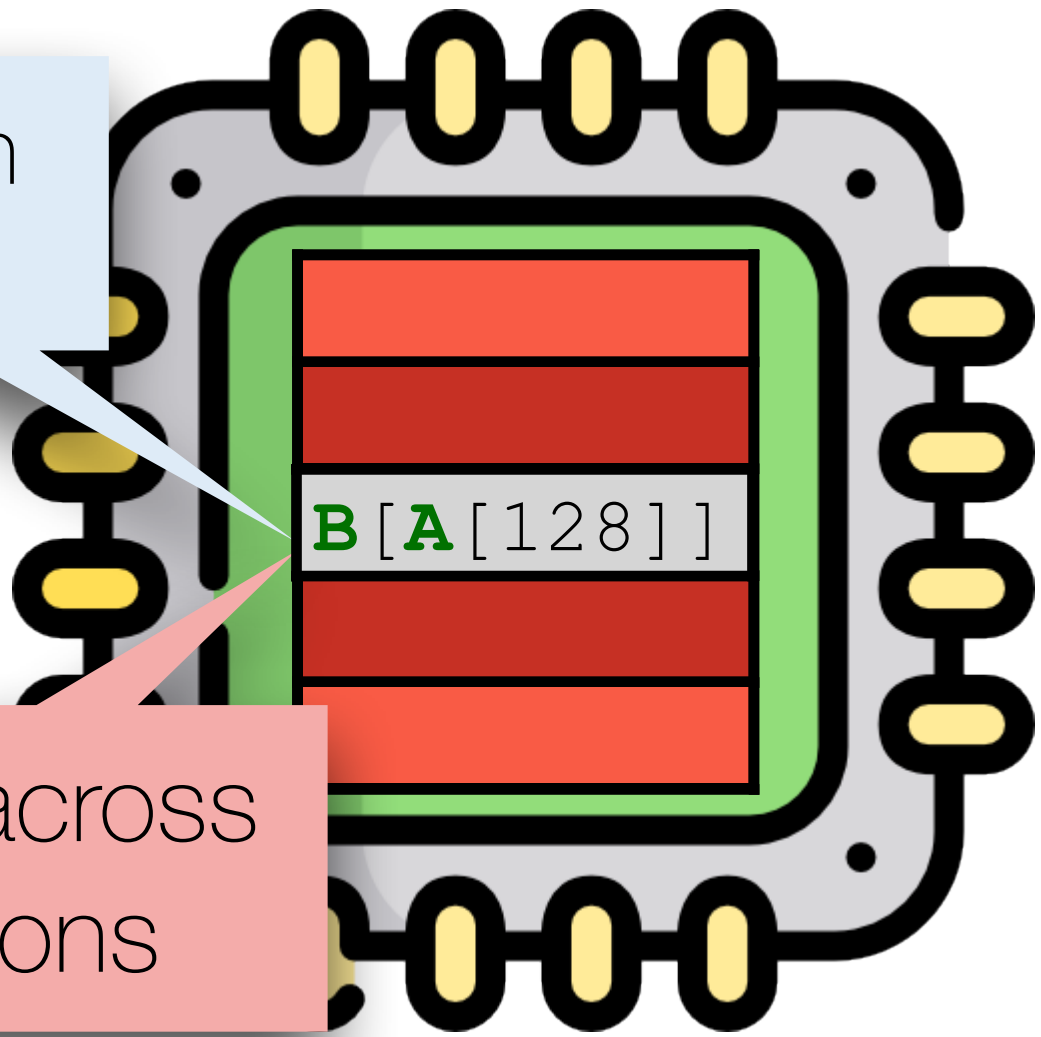


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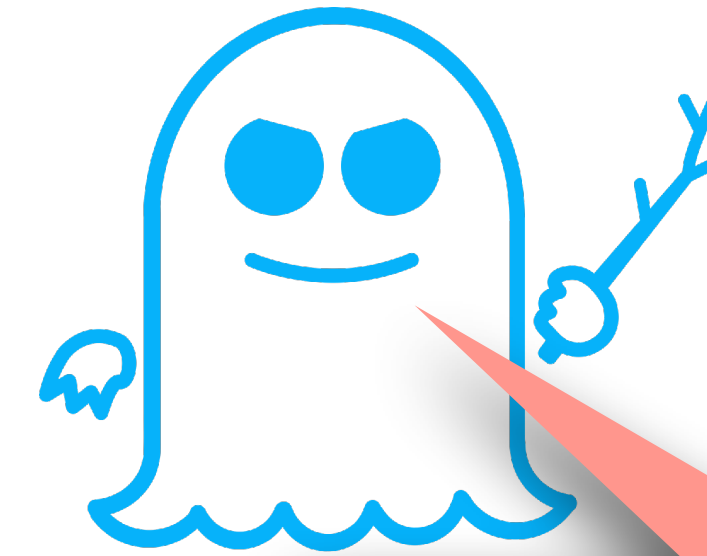
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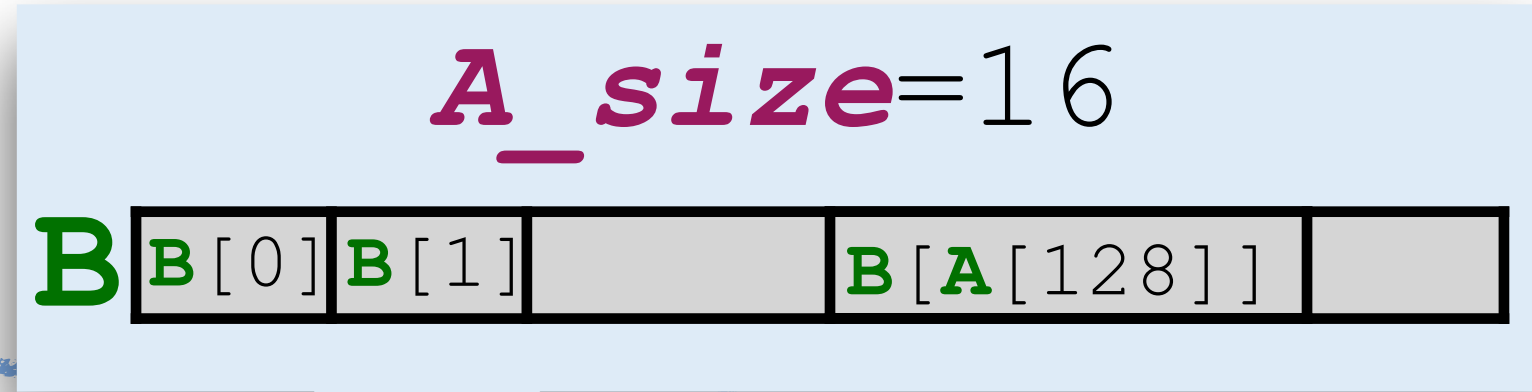


Persistent across speculations

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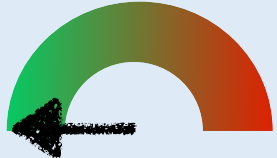


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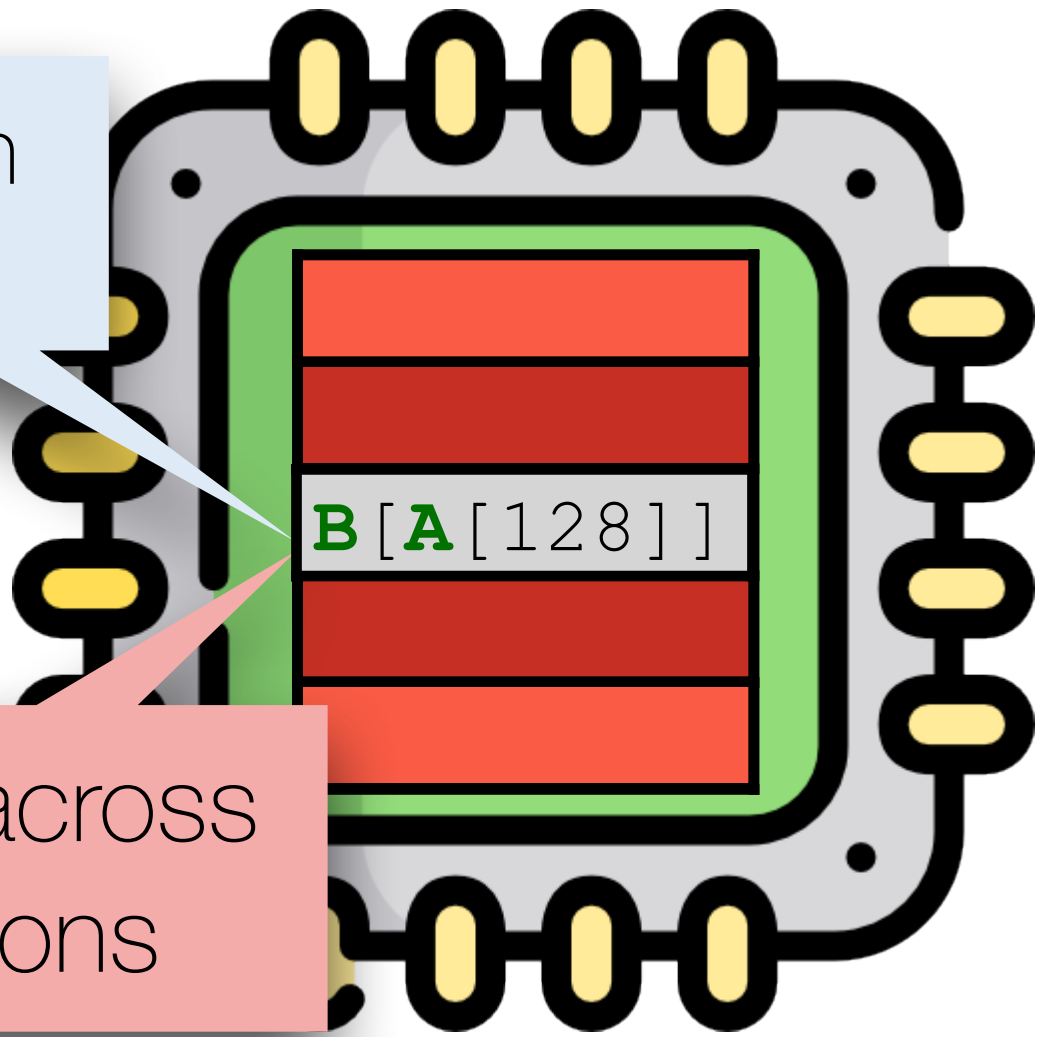
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3) Run with x = 128

4) Extract from cache

Depends on **A**[128]



Persistent across speculations

Countermeasures

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Long Term: Co-design of software and hardware countermeasures

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Short and Mid Term: Software countermeasures

Compiler-level countermeasures

- Example: insert LFENCE to selectively stop speculative execution
- Implemented in major compilers (Microsoft Visual C++, Intel ICC, Clang)

Countermeasures

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PROBLEM
SOLVED?

Compiler-level countermeasures

Compiler-level countermeasures

Spectre Mitigations in Microsoft's C/C++ Compiler

Paul Kocher
February 13, 2018

<https://www.paulkocher.com/doc/MicrosoftCompilerSpectreMitigation.html>

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“compiler [...] produces **unsafe code** when the static analyzer is unable to determine whether a code pattern will be exploitable”

“there is **no guarantee** that all possible instances of [Spectre] will be instrumented”

Bottom line: No guarantees!

Outline

1. Speculative execution attacks 101
2. Speculative non-interference
3. Detecting speculative leaks
4. Spectector + Case studies

Speculative execution attacks 101

Speculative execution + branch prediction

Speculative execution + branch prediction

Size of array **A**

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if (x < A_size)  
    y = B[A[x]]
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Speculative execution + branch prediction

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Branch predictor

Speculative execution + branch prediction

Prediction based on *branch history* & *program structure*

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Branch predictor

Wrong prediction? **Rollback changes!**



Architectural (ISA) state



Microarchitectural state

Speculative non-interference

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Program \mathcal{P} is **speculatively non-interferent** if

Speculative non-interference

Program **P** is **speculatively non-interferent** if

Informally:

Leakage of **P** in
non-speculative
execution

=

Leakage of **P** in
speculative
execution

How to capture leakage?

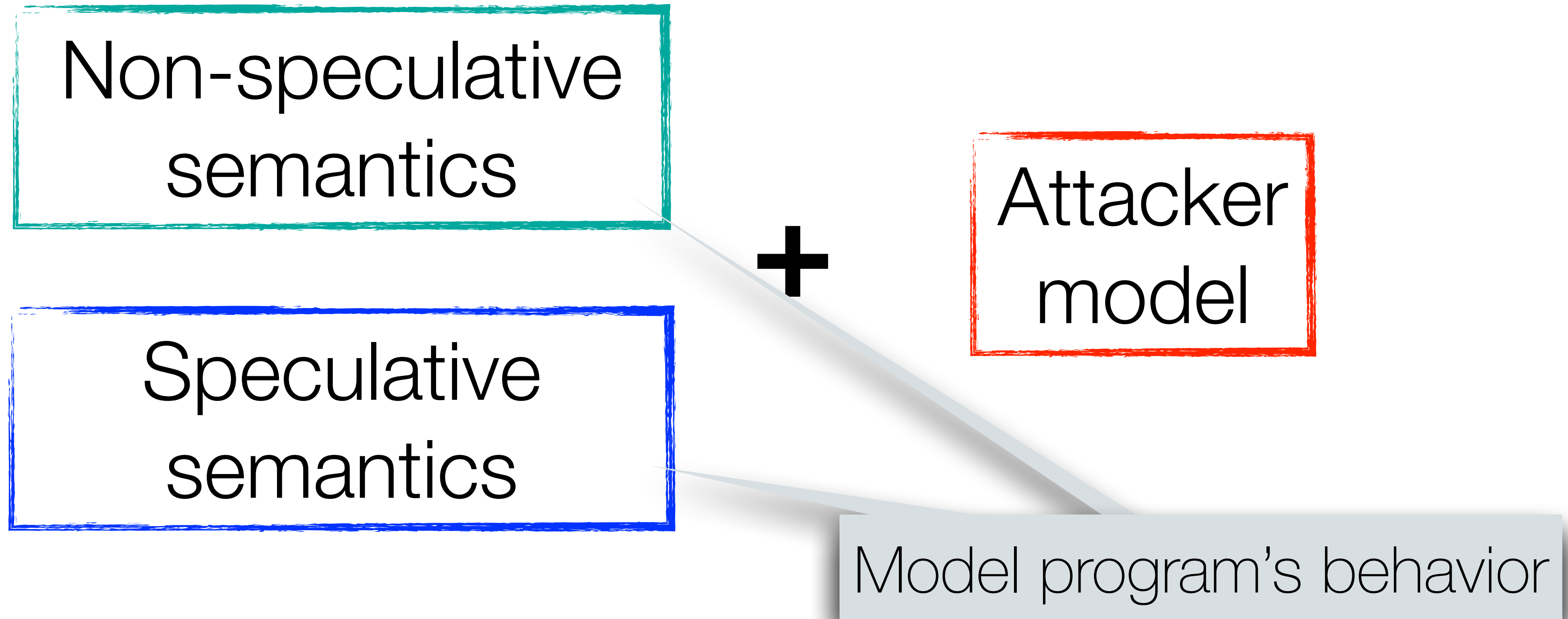
Non-speculative
semantics

+

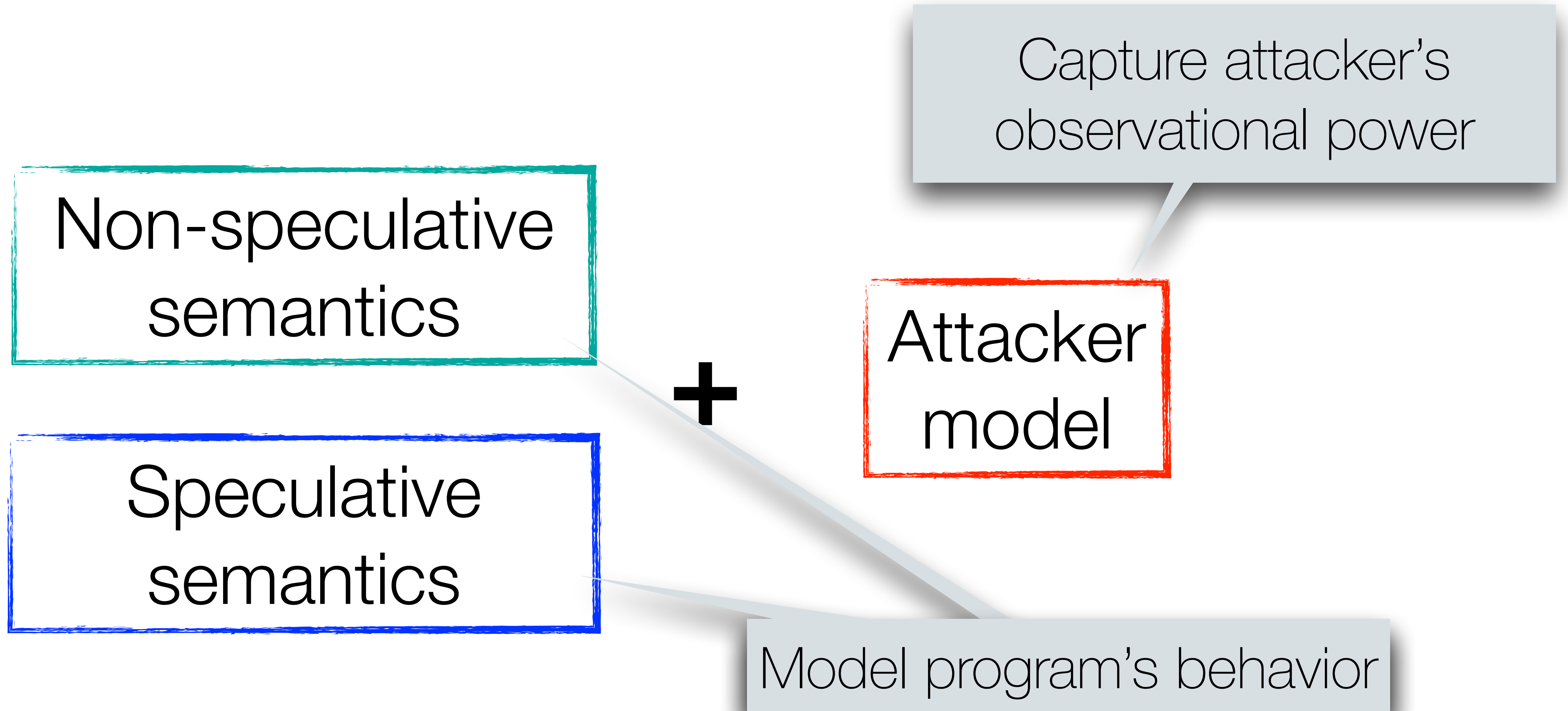
Attacker
model

Speculative
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How to capture leakage?

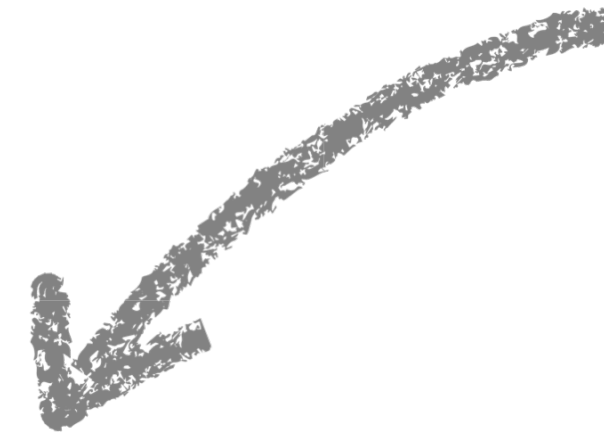


How to capture leakage?



μAssembly + non-speculative semantics

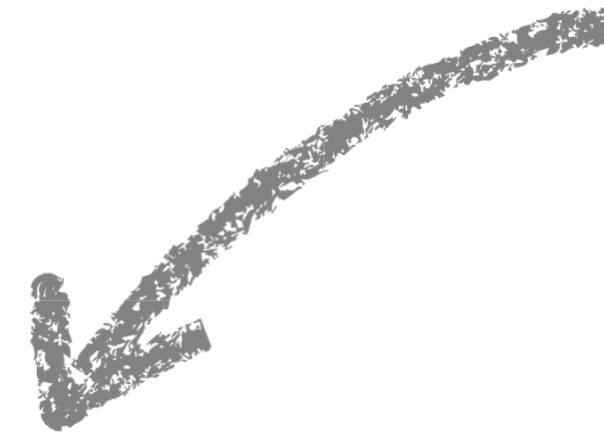
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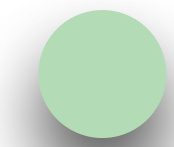
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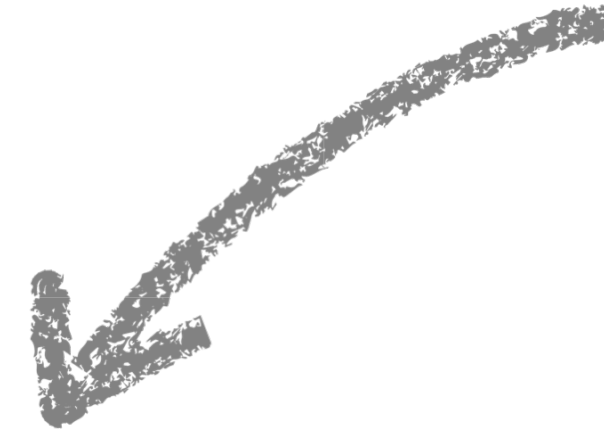


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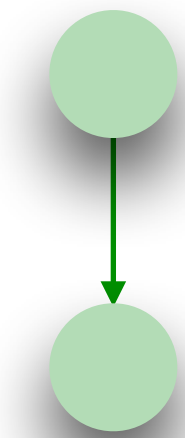


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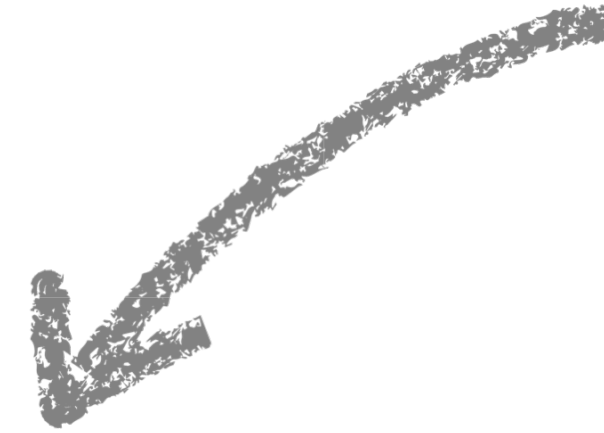


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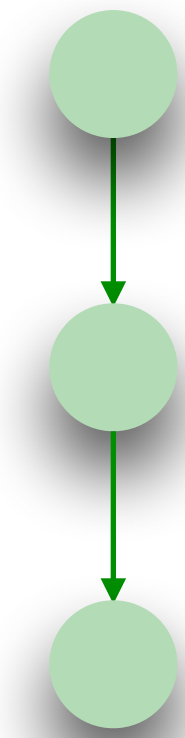


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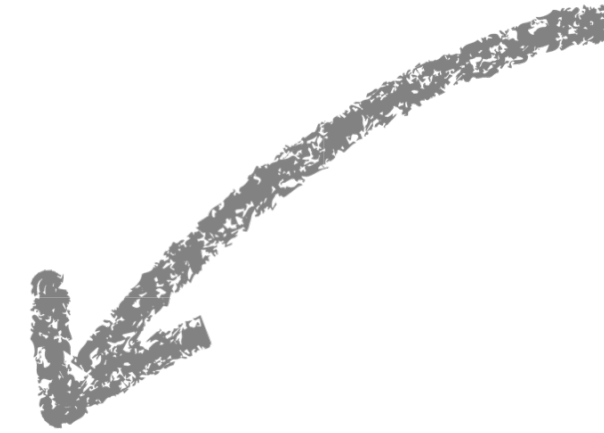


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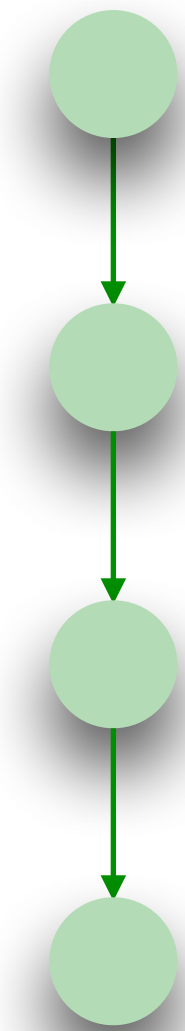


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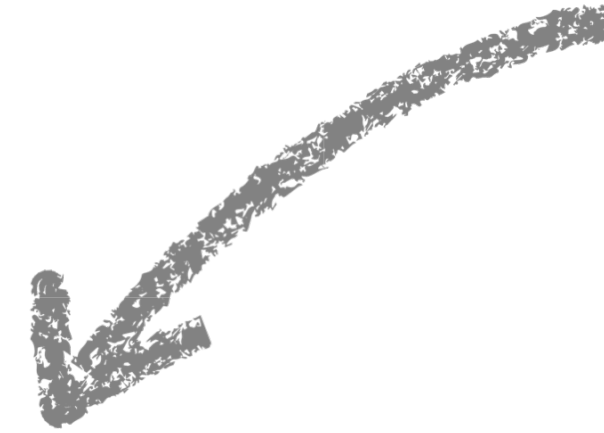
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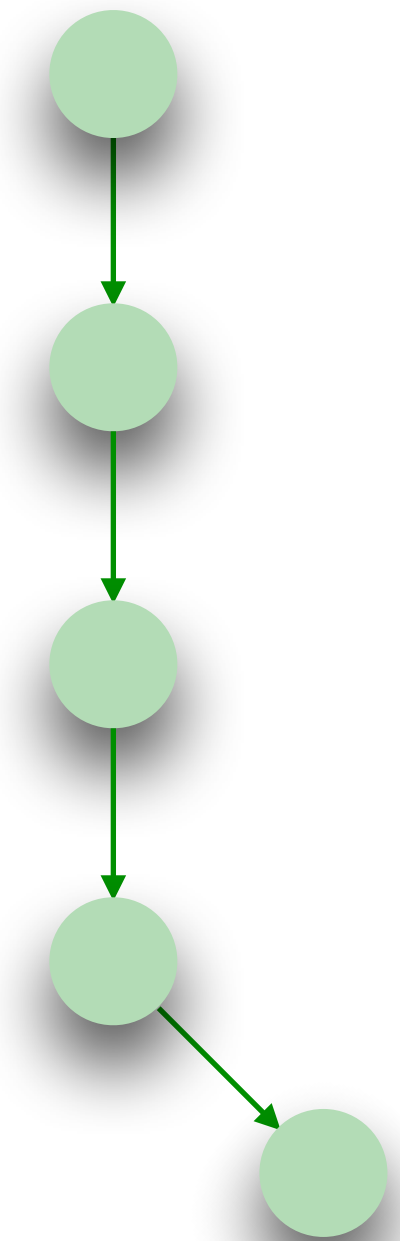


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Speculative semantics

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Prediction Oracle \mathcal{O} : branch prediction + length of speculative window

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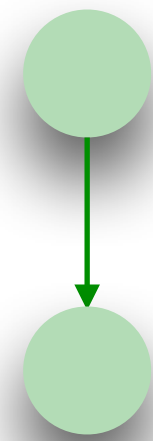
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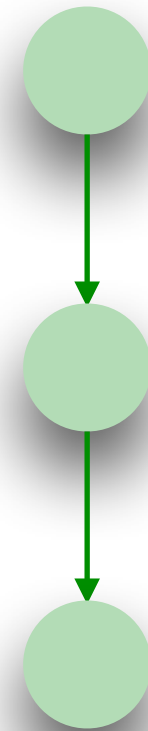
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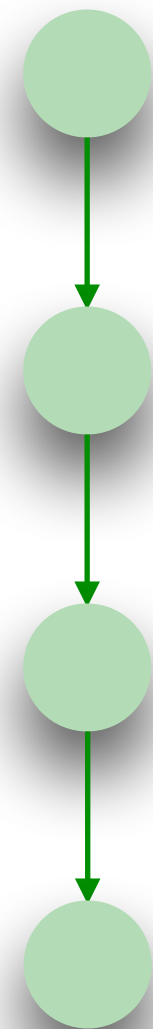
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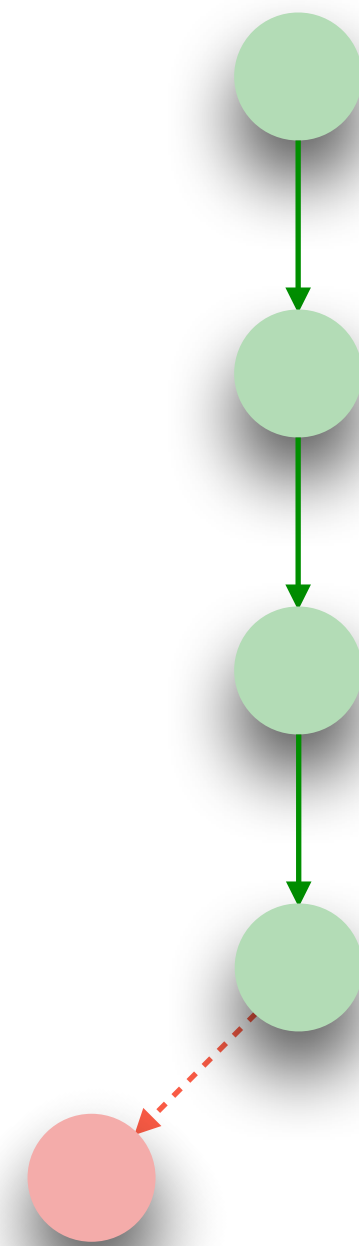
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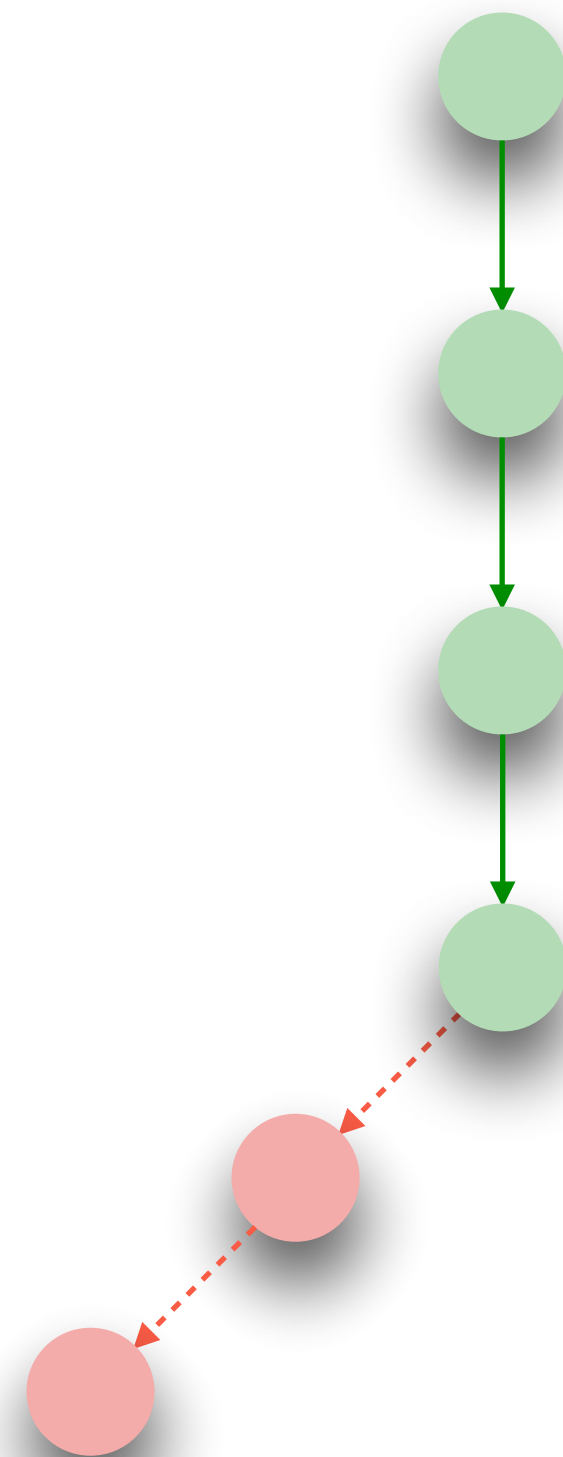
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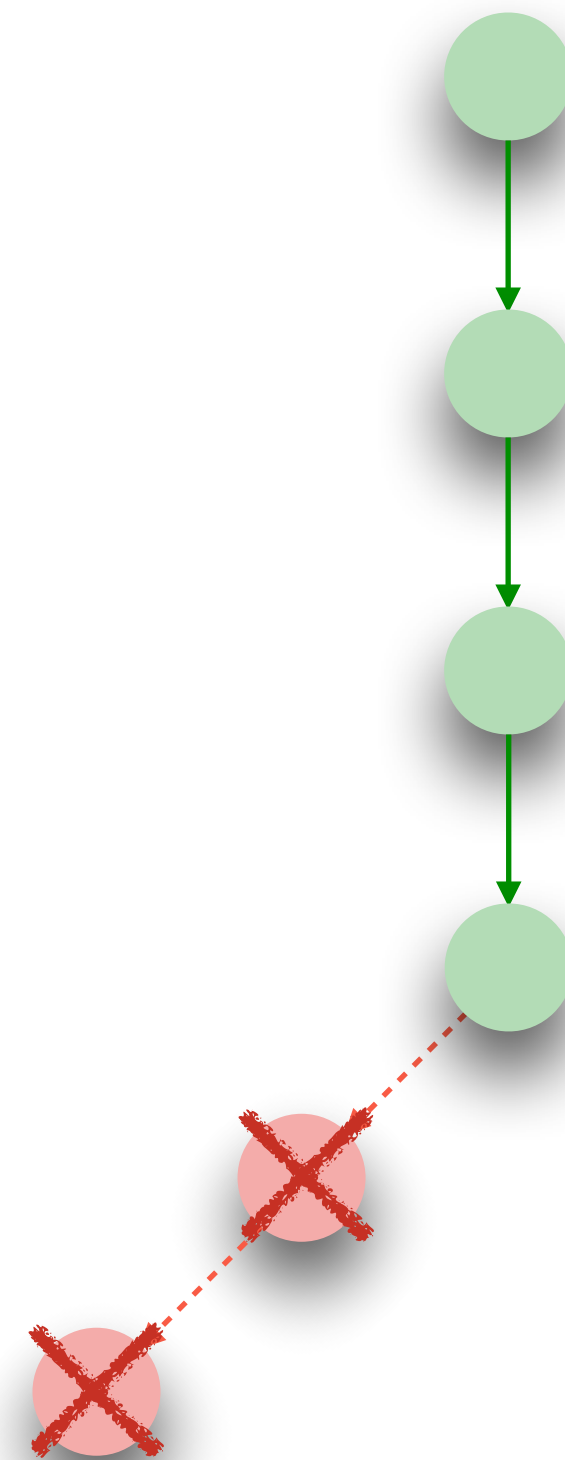
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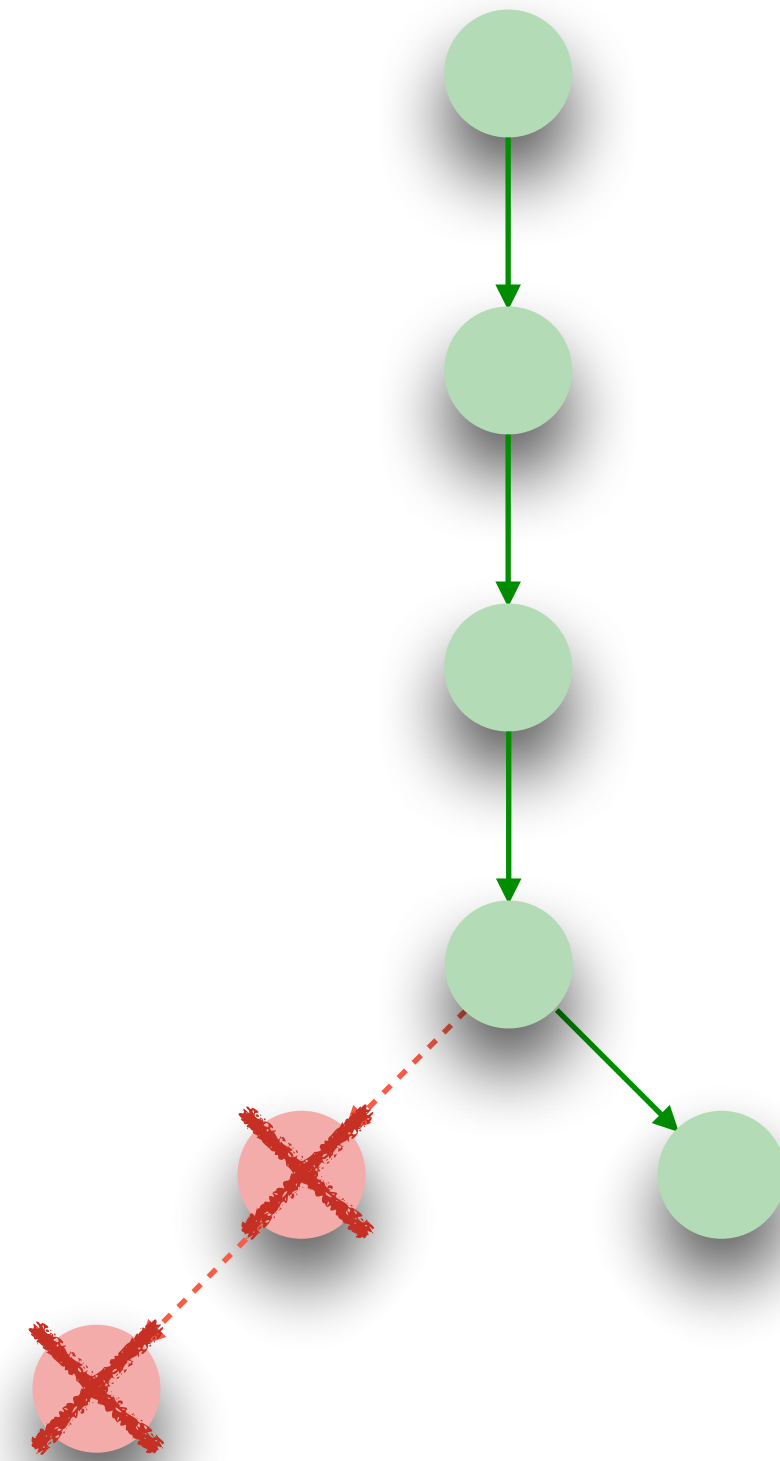
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Leakage into μ architecture

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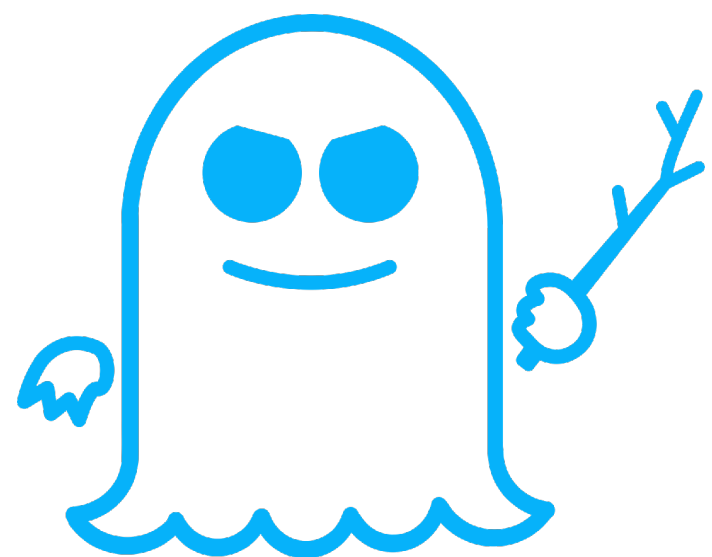
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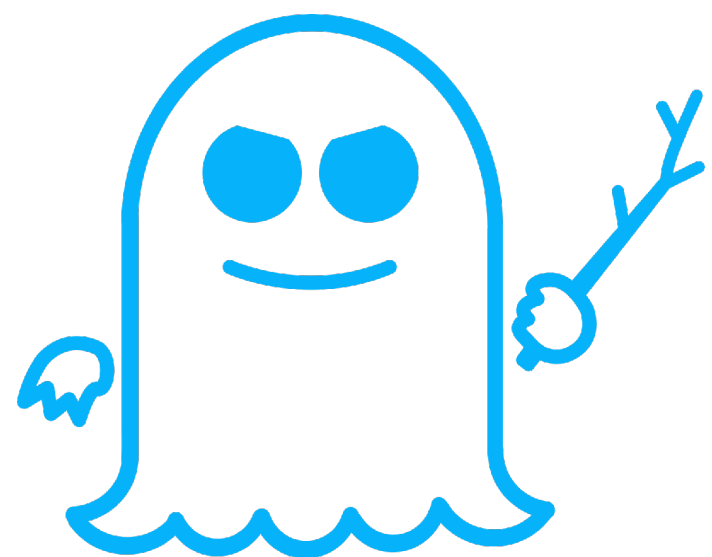
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Attacker can observe:

- locations of *memory accesses*
- *branch/jump* targets
- *start/end* speculative execution



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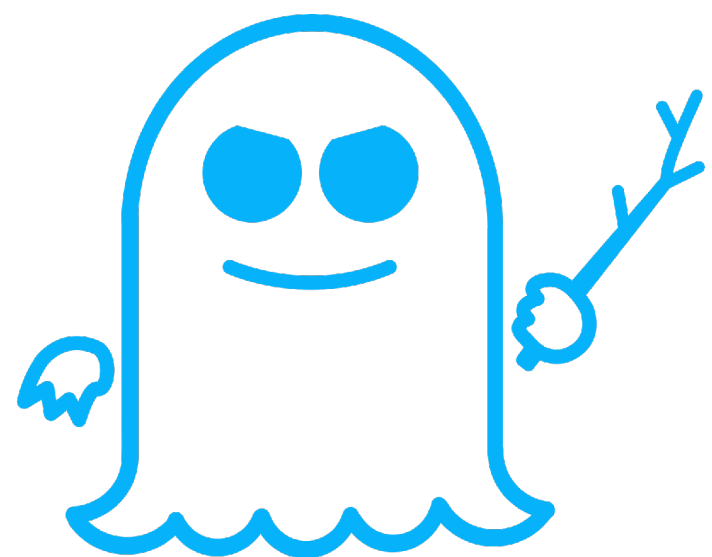
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Inspired by “constant-time” rqmts



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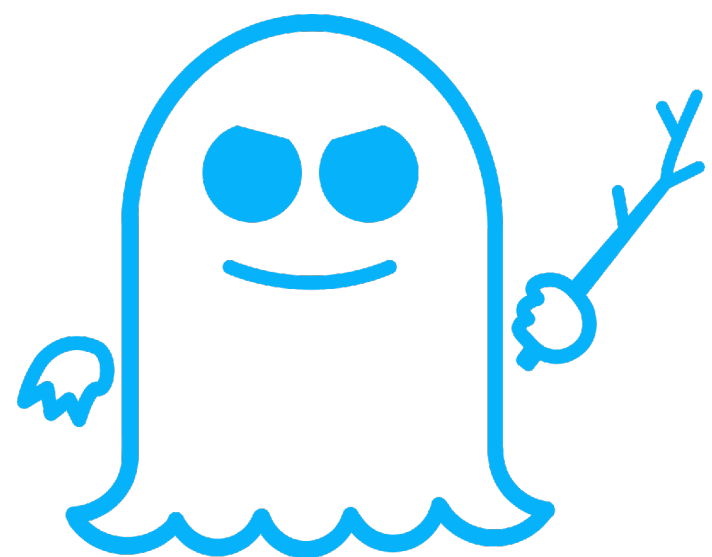
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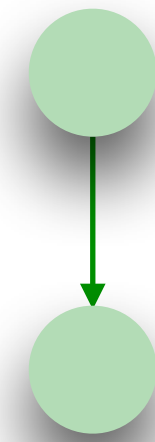
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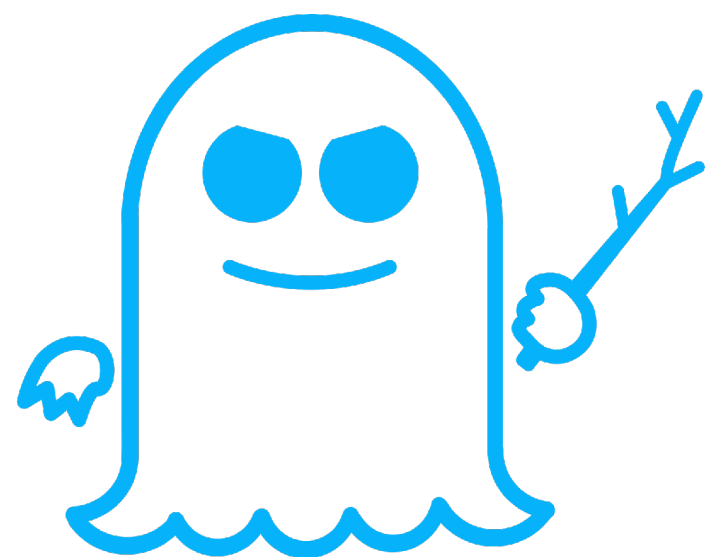
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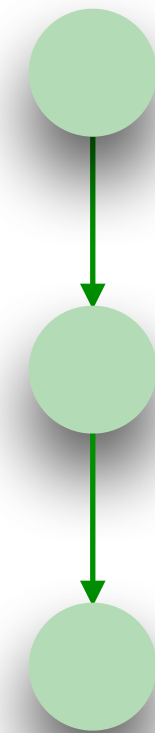
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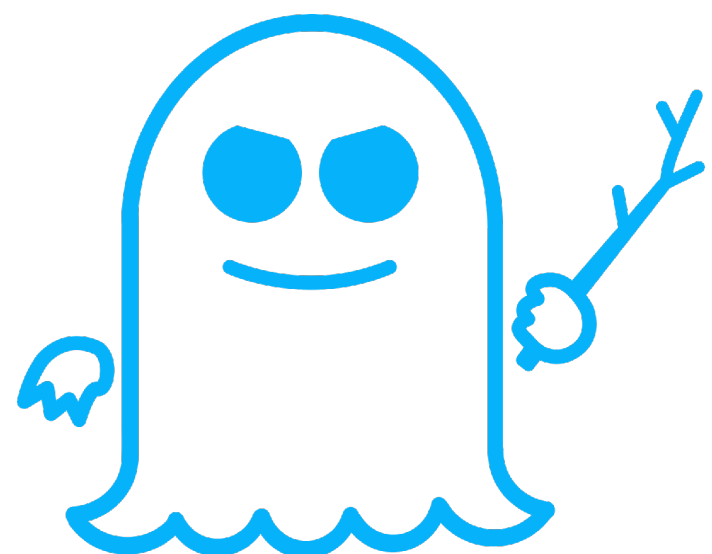
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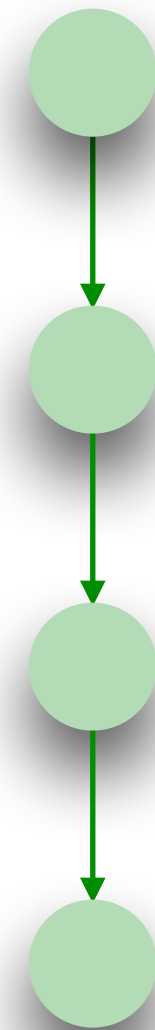
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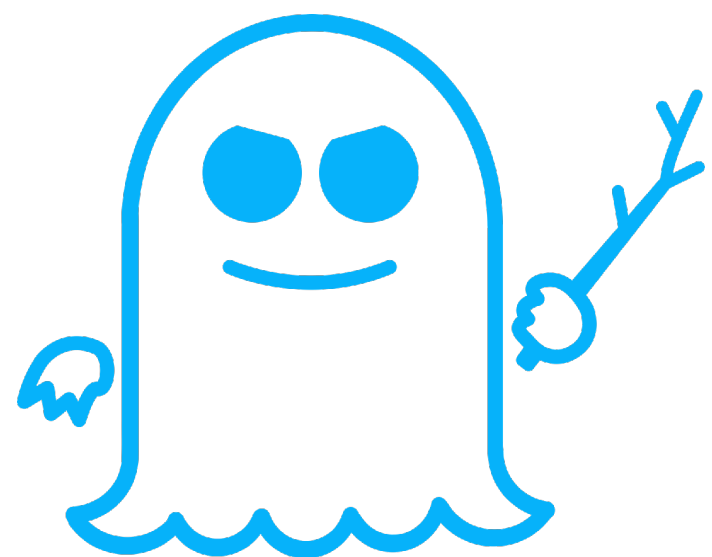
```
END:
```



Attacker can observe:

- locations of **memory accesses**
- **branch/jump** targets
- **start/end** speculative execution

Inspired by “constant-time” rqmts



Leakage into μ architecture

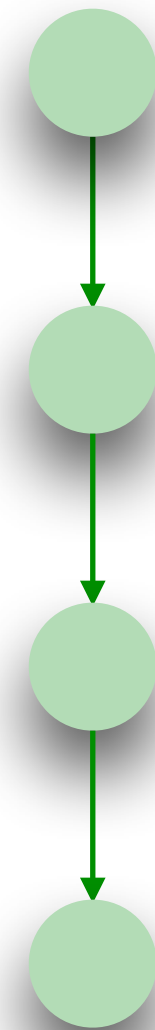
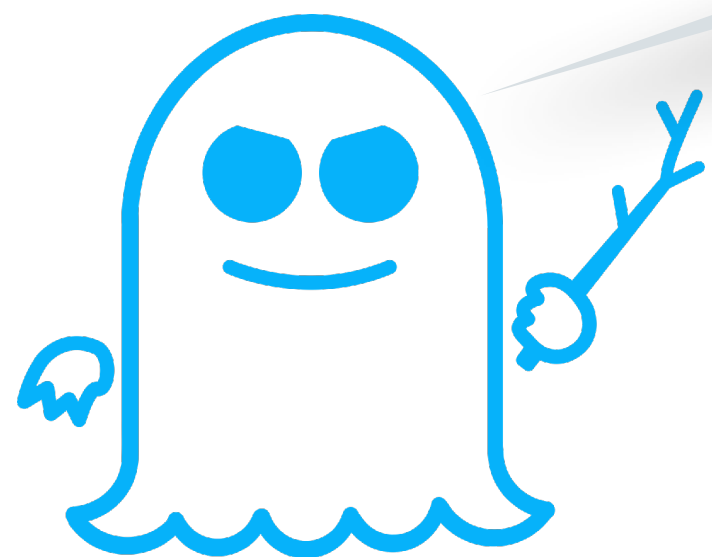
```
rax <- A_size  
rcx <- x
```

```
jmp rcx  $\geq$  rax, END
```

```
L1: load rax, A + rcx  
load rax, B + rax
```

```
END:
```

```
start  
pc L1
```



Attacker can observe:

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Inspired by “constant-time” rqmts

Leakage into μ architecture

```
rax <- A_size
```

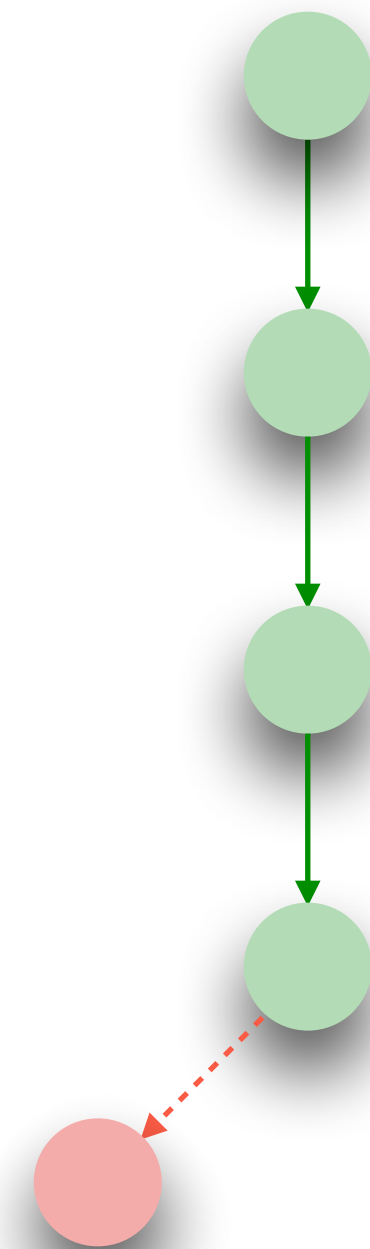
```
rcx <- x
```

```
jmp rcx  $\geq$  rax, END
```

```
L1: load rax, A + rcx
```

```
load rax, B + rax
```

```
END:
```

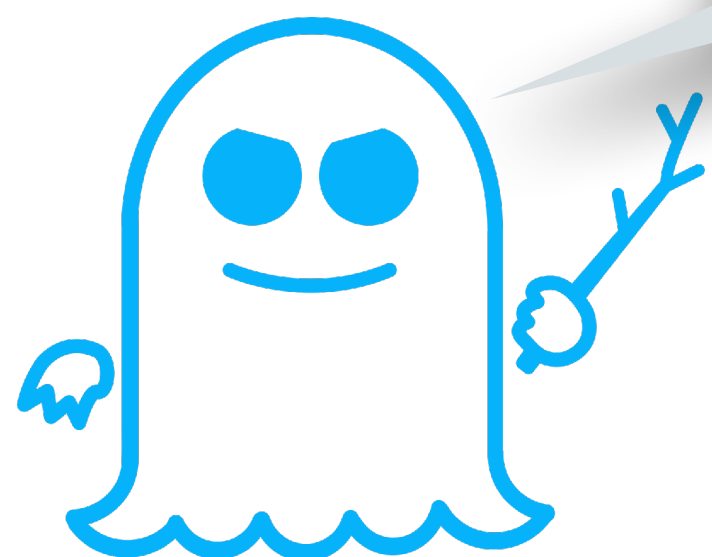


Attacker can observe:

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- **start/end** speculative execution

Inspired by “constant-time” rqmts

load **A+x**



Leakage into μ architecture

```
rax <- A_size
```

```
rcx <- x
```

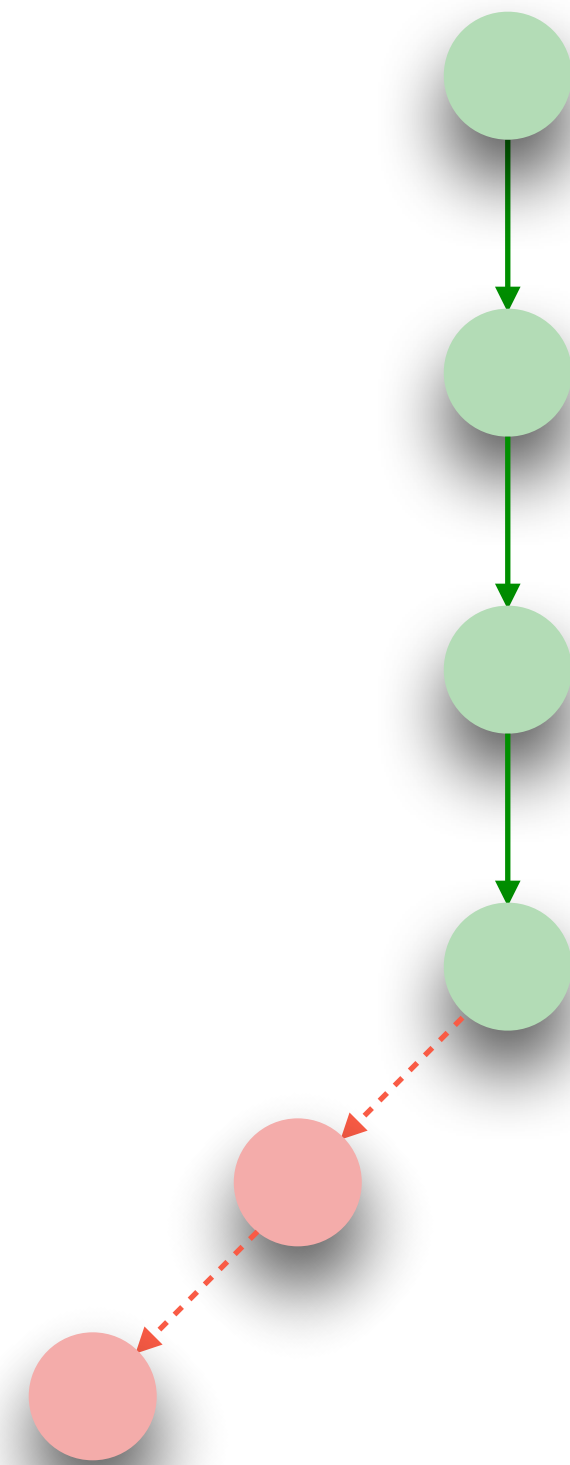
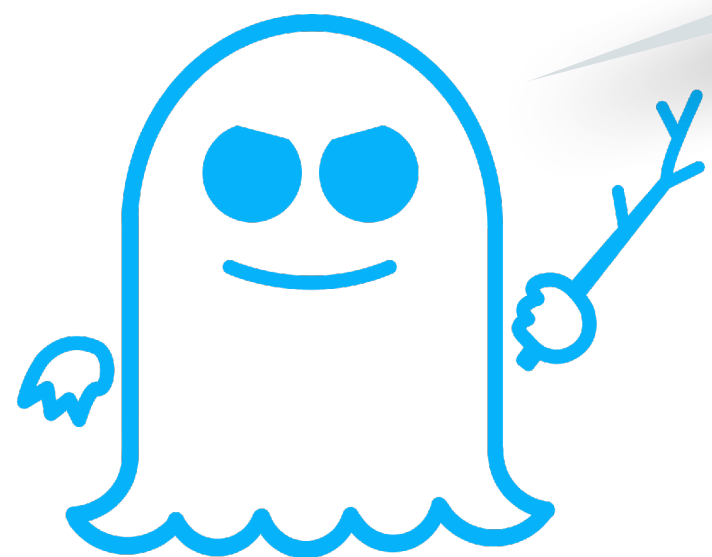
```
jmp rcx  $\geq$  rax, END
```

```
L1: load rax, A + rcx
```

```
load rax, B + rax
```

```
END:
```

load **B+A[x]**



Attacker can observe:

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Leakage into μ architecture

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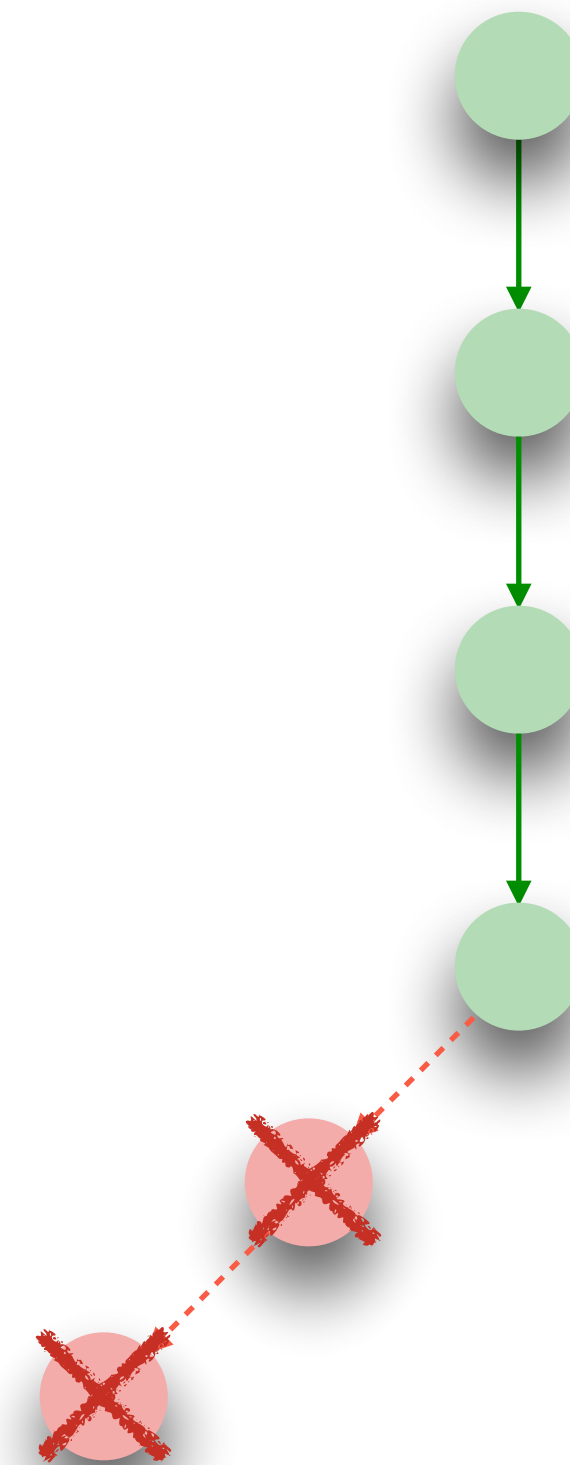
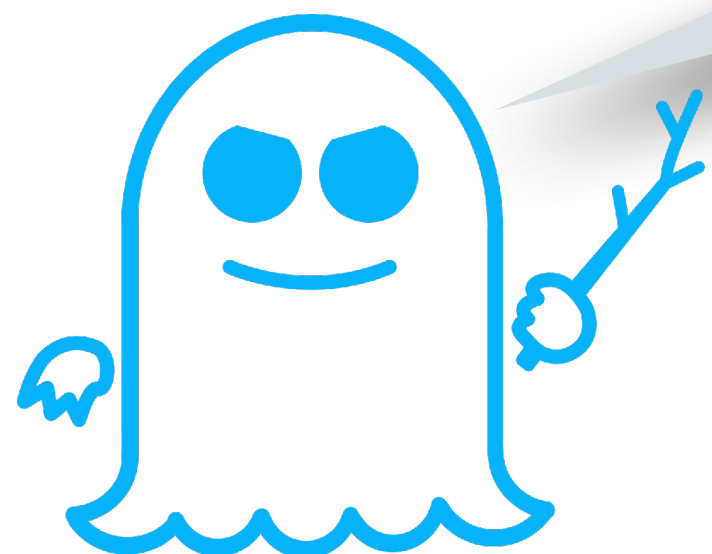
```
jmp rcx  $\geq$  rax, END
```

```
L1: load rax, A + rcx
```

```
load rax, B + rax
```

```
END:
```

```
rollback  
pc END
```



Attacker can observe:

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Inspired by “constant-time” rqmts

Leakage into μ architecture

```
rax <- A_size
```

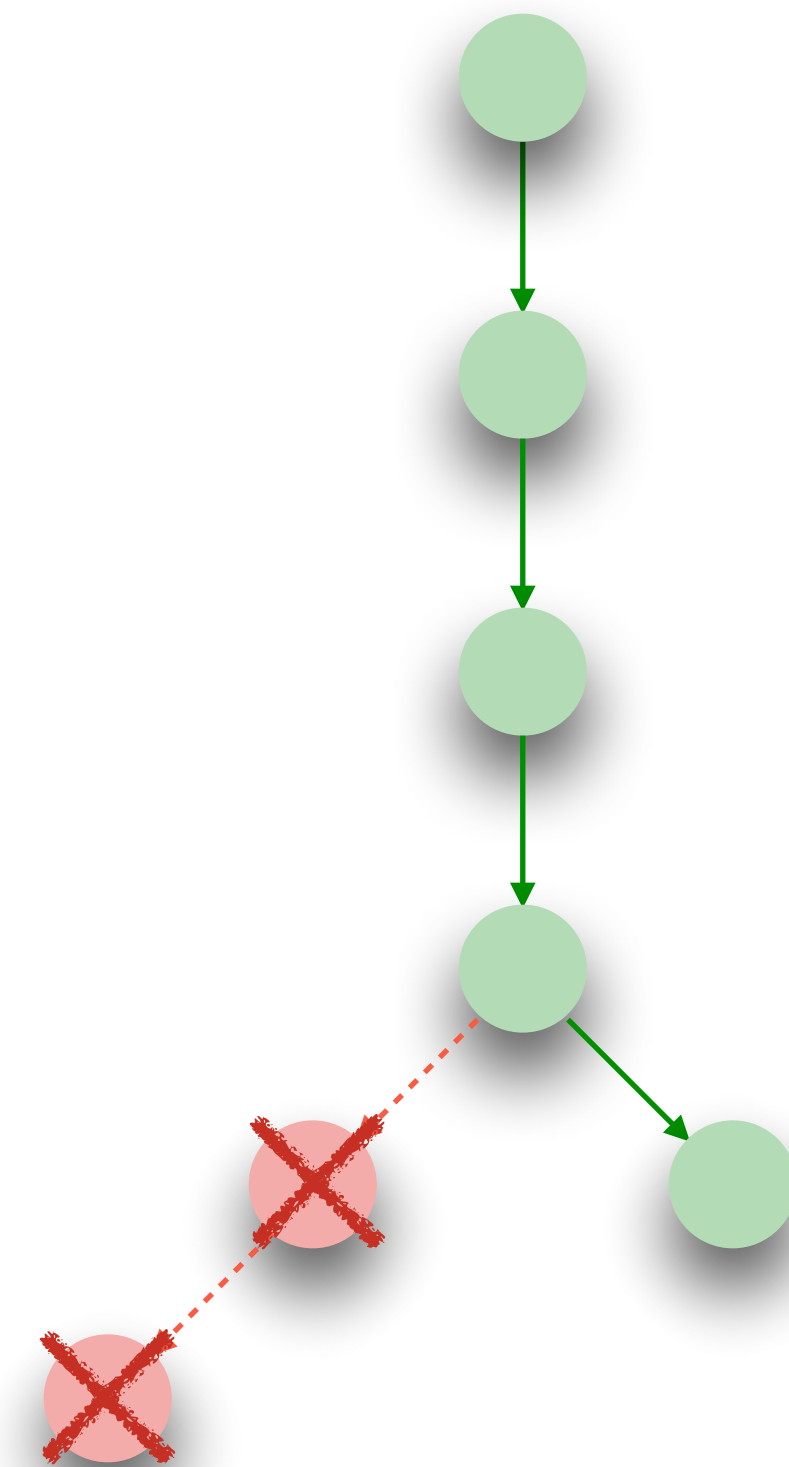
```
rcx <- x
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```
L1: load rax, A + rcx
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```
load rax, B + rax
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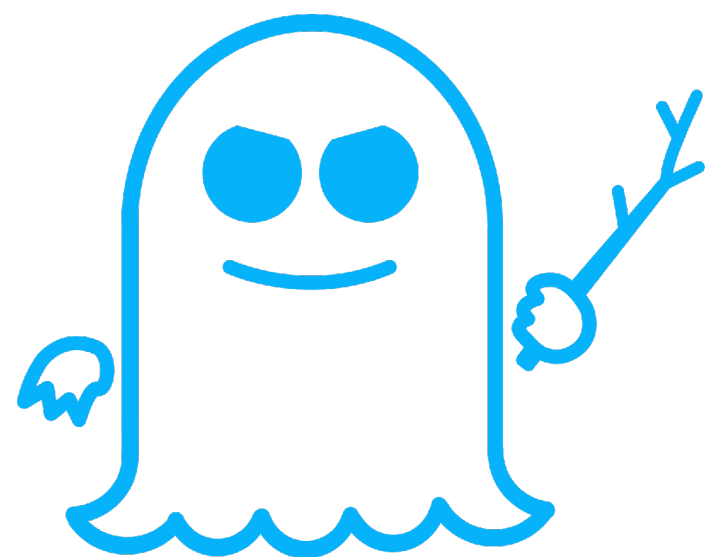
```
END:
```



Attacker can observe:

- locations of **memory accesses**
- **branch/jump** targets
- **start/end** speculative execution

Inspired by “constant-time” rqmts



Speculative non-interference

Formally!

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Program \mathcal{P} is **speculatively non-interferent** for prediction oracle \mathcal{O} if

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For all program states s and s' :

Speculative non-interference

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Program \mathbf{P} is **speculatively non-interferent** for prediction oracle \mathbf{O} if

For all program states \mathbf{s} and \mathbf{s}' :

$$\mathbf{P}_{\text{non-spec}}(\mathbf{s}) = \mathbf{P}_{\text{non-spec}}(\mathbf{s}')$$

Speculative non-interference

Formally!

Program \mathbf{P} is **speculatively non-interferent** for prediction oracle \mathbf{O} if

For all program states \mathbf{s} and \mathbf{s}' :

$$\mathbf{P}_{\text{non-spec}}(\mathbf{s}) = \mathbf{P}_{\text{non-spec}}(\mathbf{s}')$$

$$\Rightarrow \mathbf{P}_{\text{spec}}(\mathbf{s}, \mathbf{O}) = \mathbf{P}_{\text{spec}}(\mathbf{s}', \mathbf{O})$$

Reasoning about arbitrary oracles

Reasoning about arbitrary oracles

Always-mispredict
speculative semantics

Mispredict *all* branch
instructions

Fixed speculative window

Rollback of every transaction

Reasoning about arbitrary oracles

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Always-mispredict is *worst-case*

$$P_{\text{am}}(s) = P_{\text{am}}(s') \iff$$

$$\forall O. P_{\text{spec}}(s, O) = P_{\text{spec}}(s', O)$$

Reasoning about arbitrary oracles

Always-mispredict
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Mispredict *all* branch
instructions

Fixed speculative window

Rollback of every transaction

Always-mispredict is *worst-case*

$$\mathbf{P}_{\text{am}}(\mathbf{s}) = \mathbf{P}_{\text{am}}(\mathbf{s}') \iff$$

$$\forall \mathbf{O}. \mathbf{P}_{\text{spec}}(\mathbf{s}, \mathbf{O}) = \mathbf{P}_{\text{spec}}(\mathbf{s}', \mathbf{O})$$

If program \mathbf{P} satisfies

$$\forall \mathbf{s}, \mathbf{s}'. \mathbf{P}_{\text{non-spec}}(\mathbf{s}) = \mathbf{P}_{\text{non-spec}}(\mathbf{s}')$$

$$\implies \mathbf{P}_{\text{am}}(\mathbf{s}) = \mathbf{P}_{\text{am}}(\mathbf{s}')$$

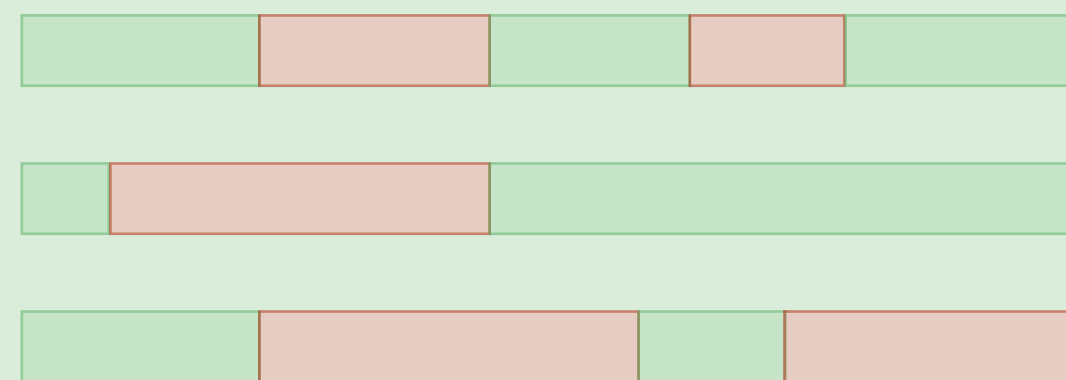
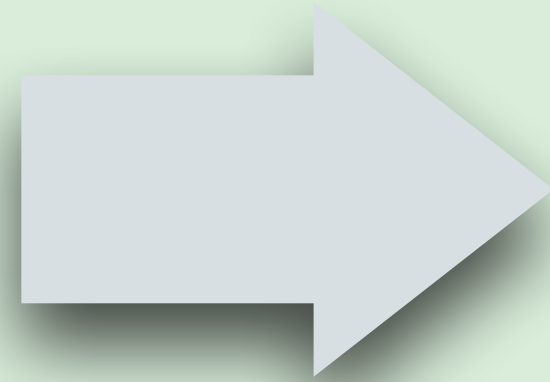
then \mathbf{P} satisfies *SNI* w.r.t. all \mathbf{O}

Detecting speculative leaks

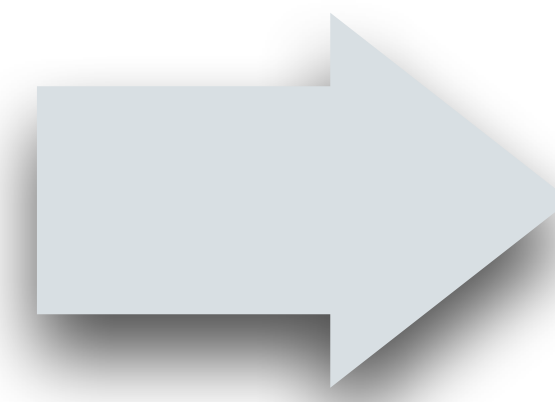
Detecting speculative leaks

```
rax <- A_size  
rcx <- x  
jmp rcx ≥ rax, END  
L1: load rax, A + rcx  
load rax, B + rax  
END:
```

Symbolic
execution



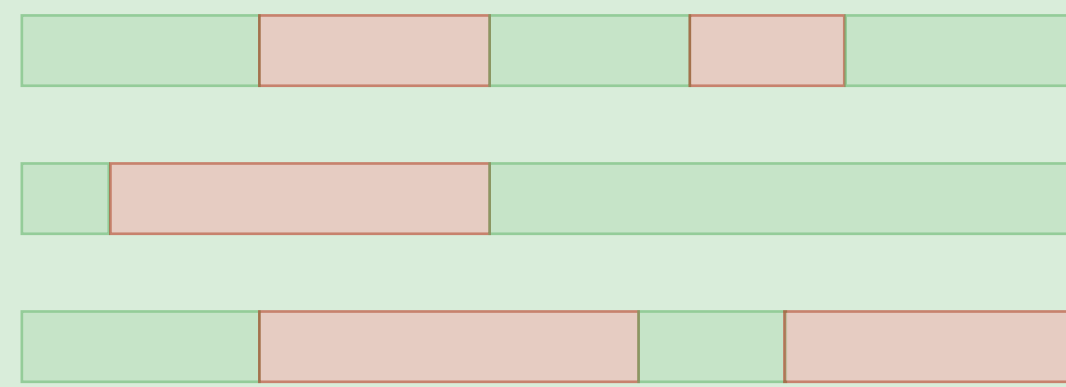
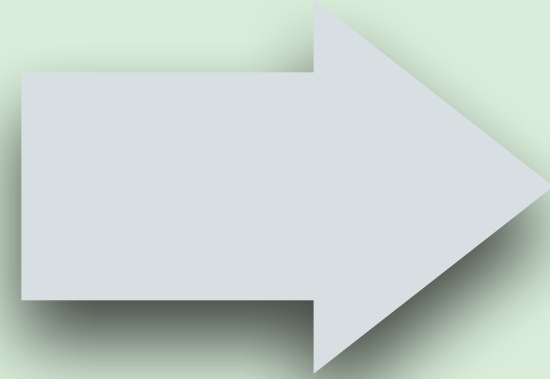
Detect leaks



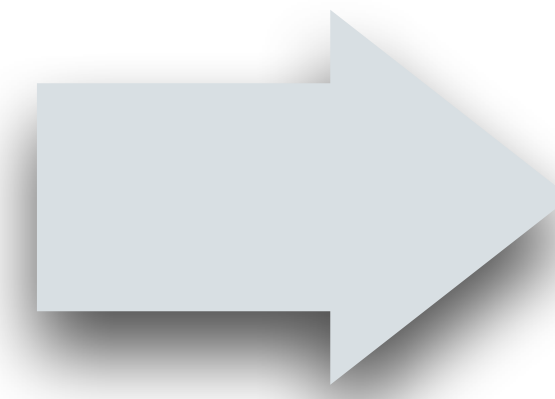
Detecting speculative leaks

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```

Symbolic
execution



Detect leaks



Symbolic trace: path condition +
observations along the symbolic path

Symbolic execution

```
rax <- A_size
```

```
rcx <- x
```

```
jmp rcx ≥ rax, END
```

```
L1: load rax, A + rcx
```

```
load rax, B + rax
```

```
END:
```

Symbolic execution

```
rax <- A_size
```

```
rcx <- x
```

```
jmp rcx ≥ rax, END
```

```
L1: load rax, A + rcx
```

```
load rax, B + rax
```

```
END:
```



Always mispredict

branch instructions

Symbolic execution

```
rax <- A_size
```

```
rcx <- x
```

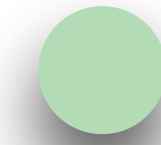
```
jmp rcx ≥ rax, END
```

```
L1: load rax, A + rcx
```

```
load rax, B + rax
```

```
END:
```

true



Always mispredict
branch instructions

Symbolic execution

```
rax <- A_size
```

```
rcx <- x
```

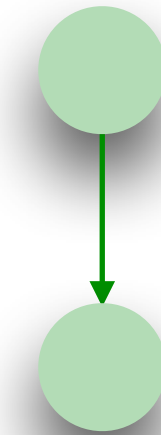
```
jmp rcx ≥ rax, END
```

```
L1: load rax, A + rcx
```

```
load rax, B + rax
```

```
END:
```

true



Always mispredict
branch instructions

Symbolic execution

```
rax <- A_size
```

```
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```

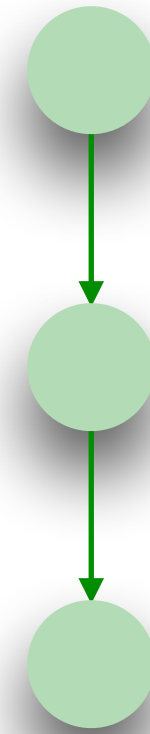
```
jmp rcx ≥ rax, END
```

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L1: load rax, A + rcx
```

```
load rax, B + rax
```

```
END:
```

true



Always mispredict
branch instructions

Symbolic execution

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rax <- A_size
```

```
rcx <- x
```

```
jmp rcx ≥ rax, END
```

```
L1: load rax, A + rcx
```

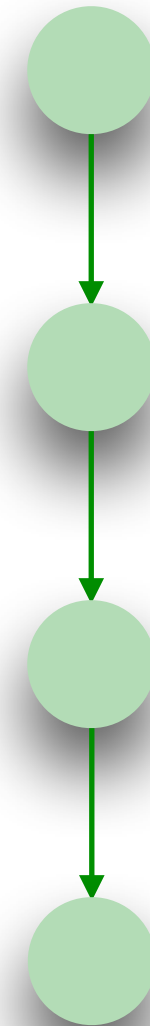
```
load rax, B + rax
```

```
END:
```



Always mispredict
branch instructions

true



Symbolic execution

```
rax ←- A_size
```

```
rcx ←- x
```

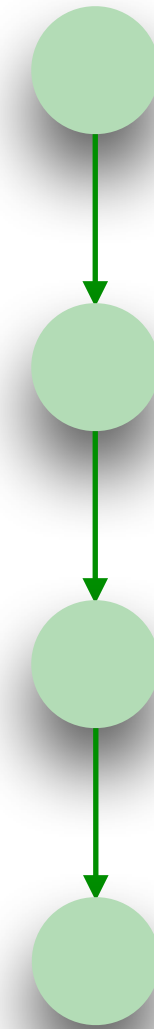
```
jmp rcx ≥ rax, END
```

```
L1: load rax, A + rcx
```

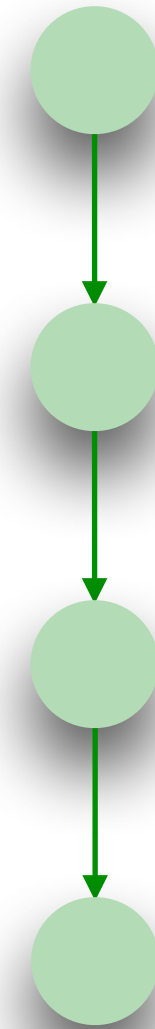
```
load rax, B + rax
```

```
END:
```

x ≥ *A_size*



x < *A_size*



Always mispredict
branch instructions

Symbolic execution

```
rax ←- A_size
```

```
rcx ←- x
```

```
jmp rcx ≥ rax, END
```

```
L1: load rax, A + rcx
```

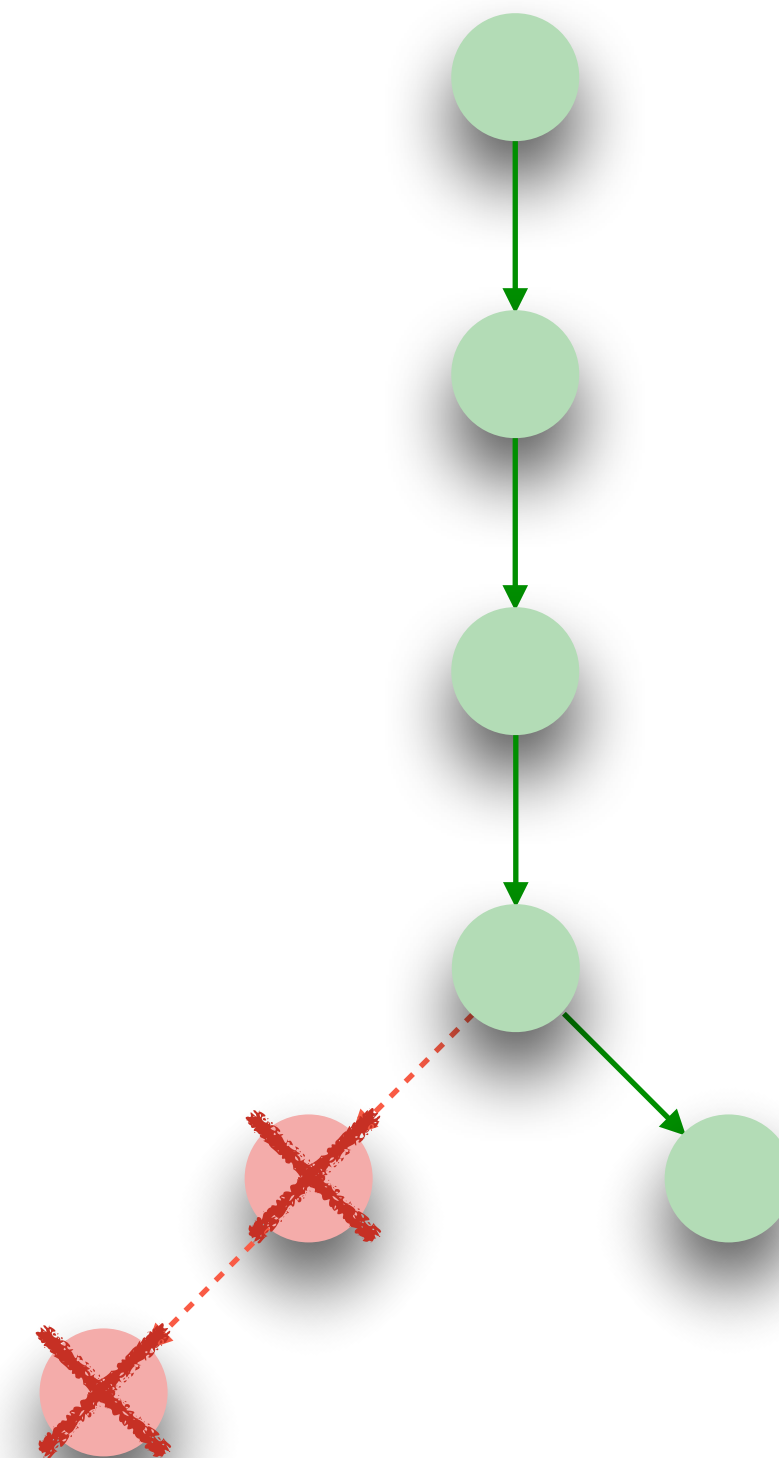
```
load rax, B + rax
```

```
END:
```

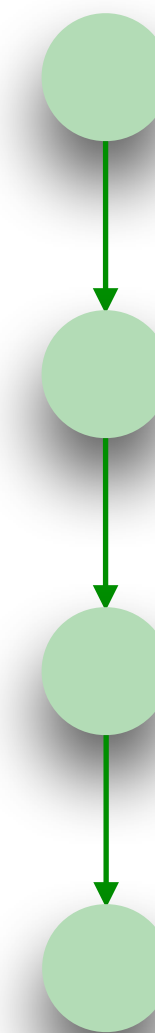


Always mispredict
branch instructions

x ≥ *A_size*



x < *A_size*



Symbolic execution

```
rax ←- A_size
```

```
rcx ←- x
```

```
jmp rcx ≥ rax, END
```

```
L1: load rax, A + rcx
```

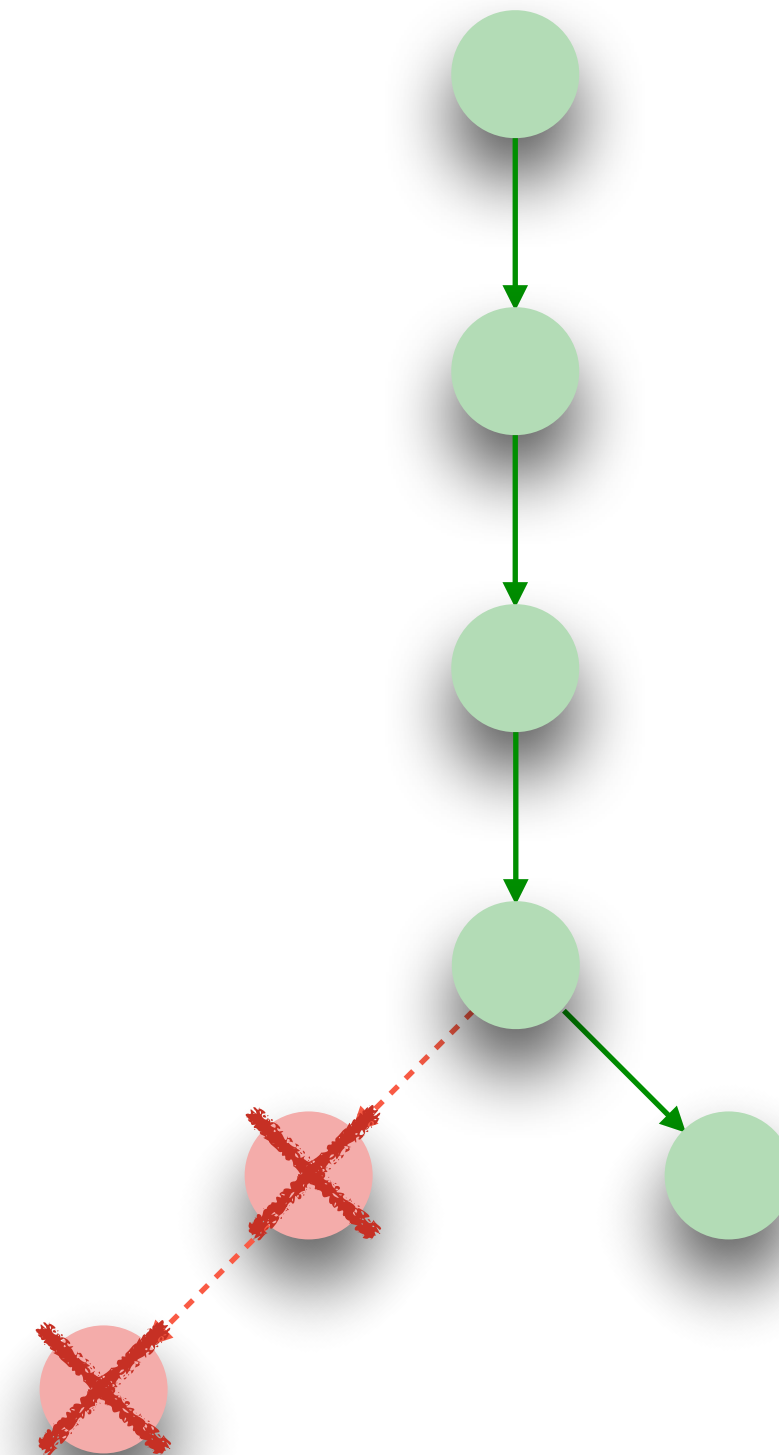
```
load rax, B + rax
```

```
END:
```

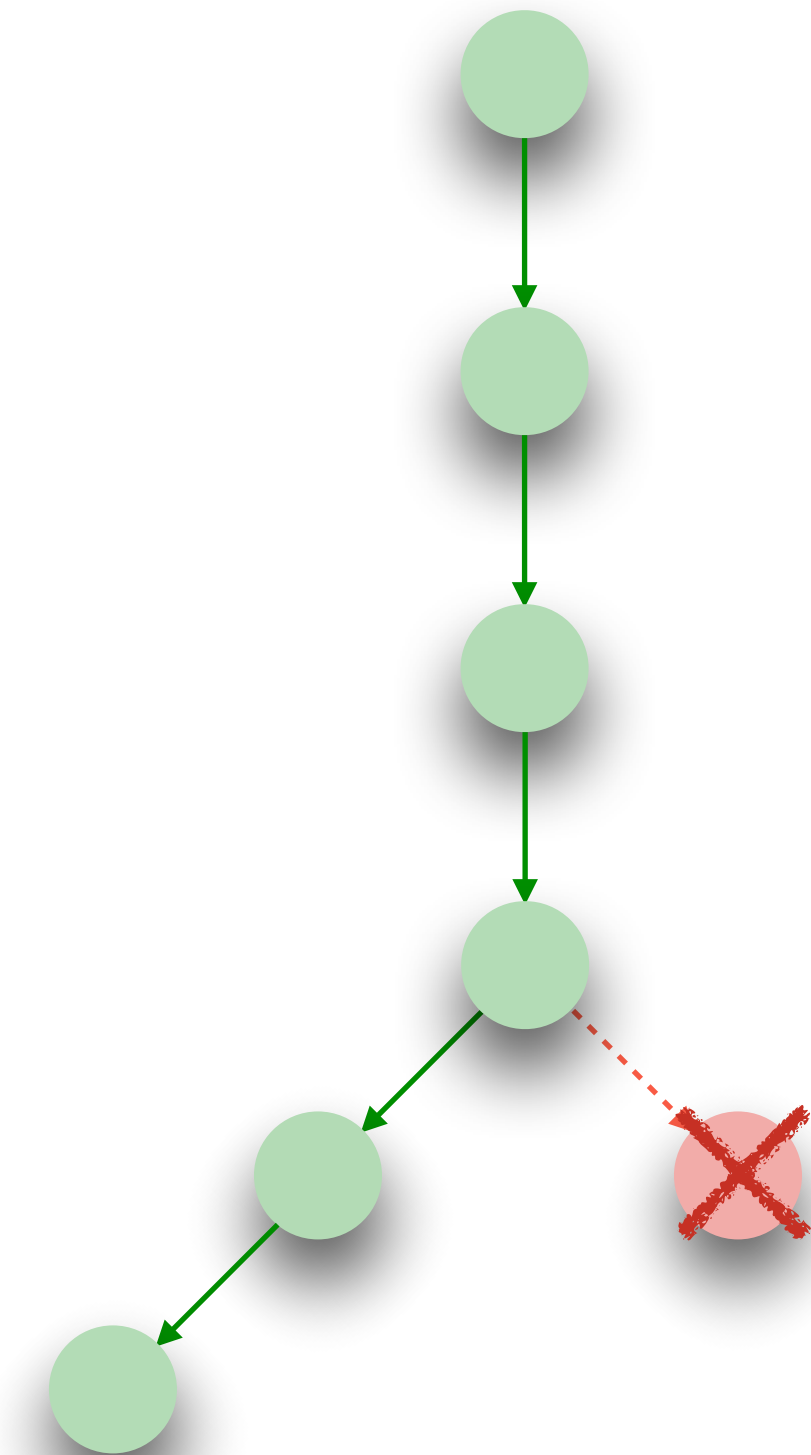


Always mispredict
branch instructions

x ≥ *A_size*



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Symbolic execution

```
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```

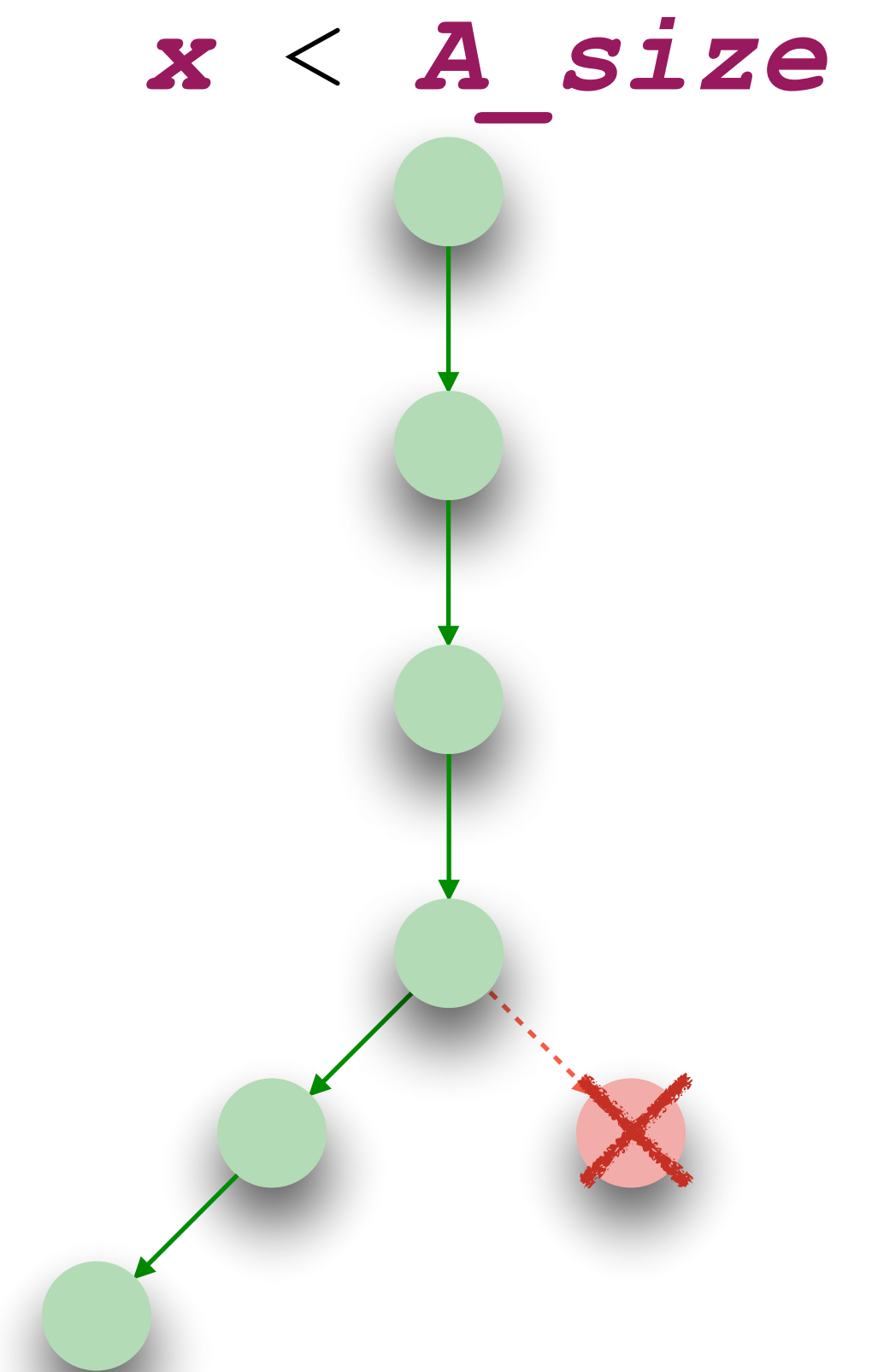
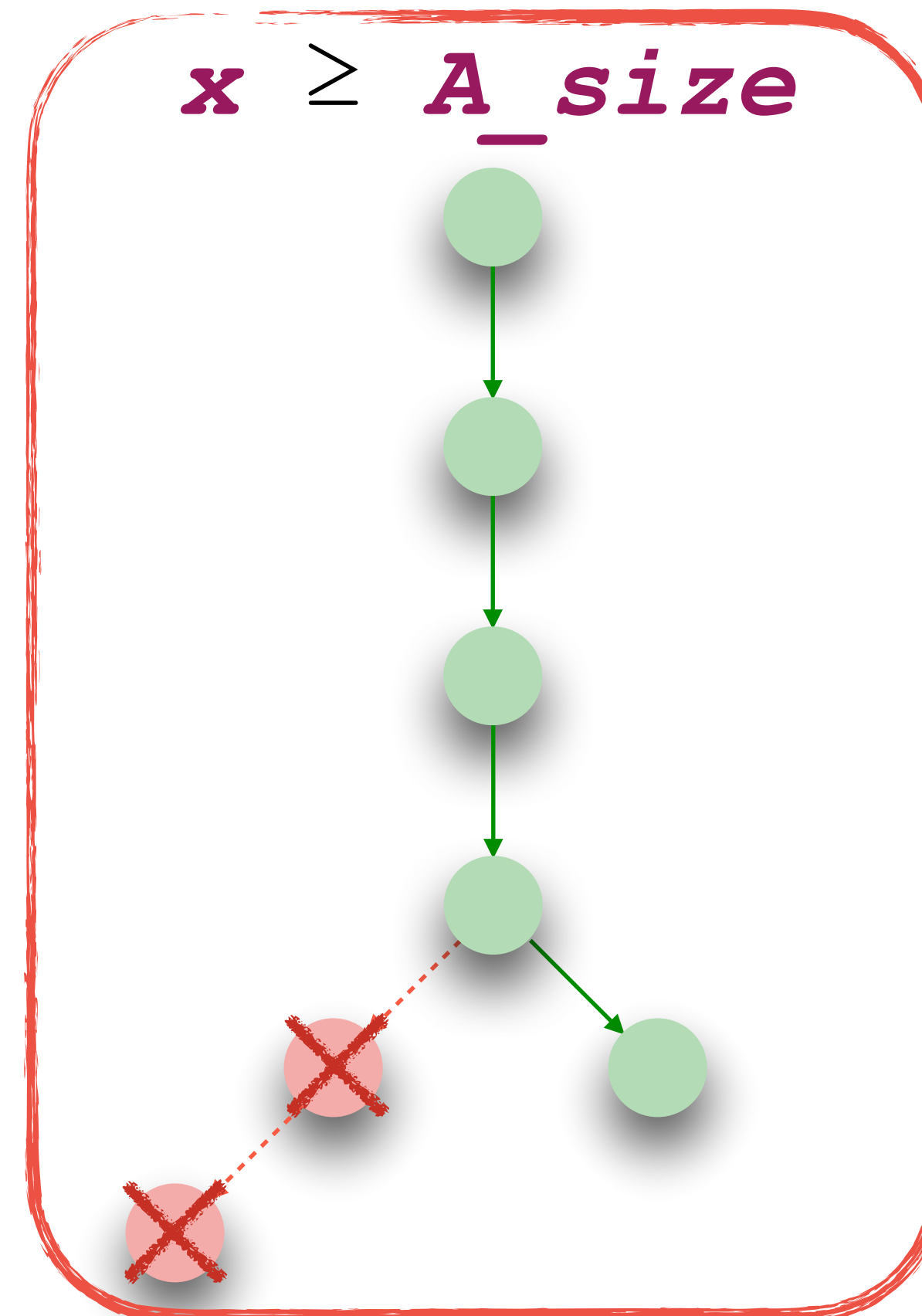
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L1: load rax, A + rcx
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```

```
END:
```



Always mispredict
branch instructions



Symbolic execution

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rax ←- A_size
```

```
rcx ←- x
```

```
jmp rcx ≥ rax, END
```

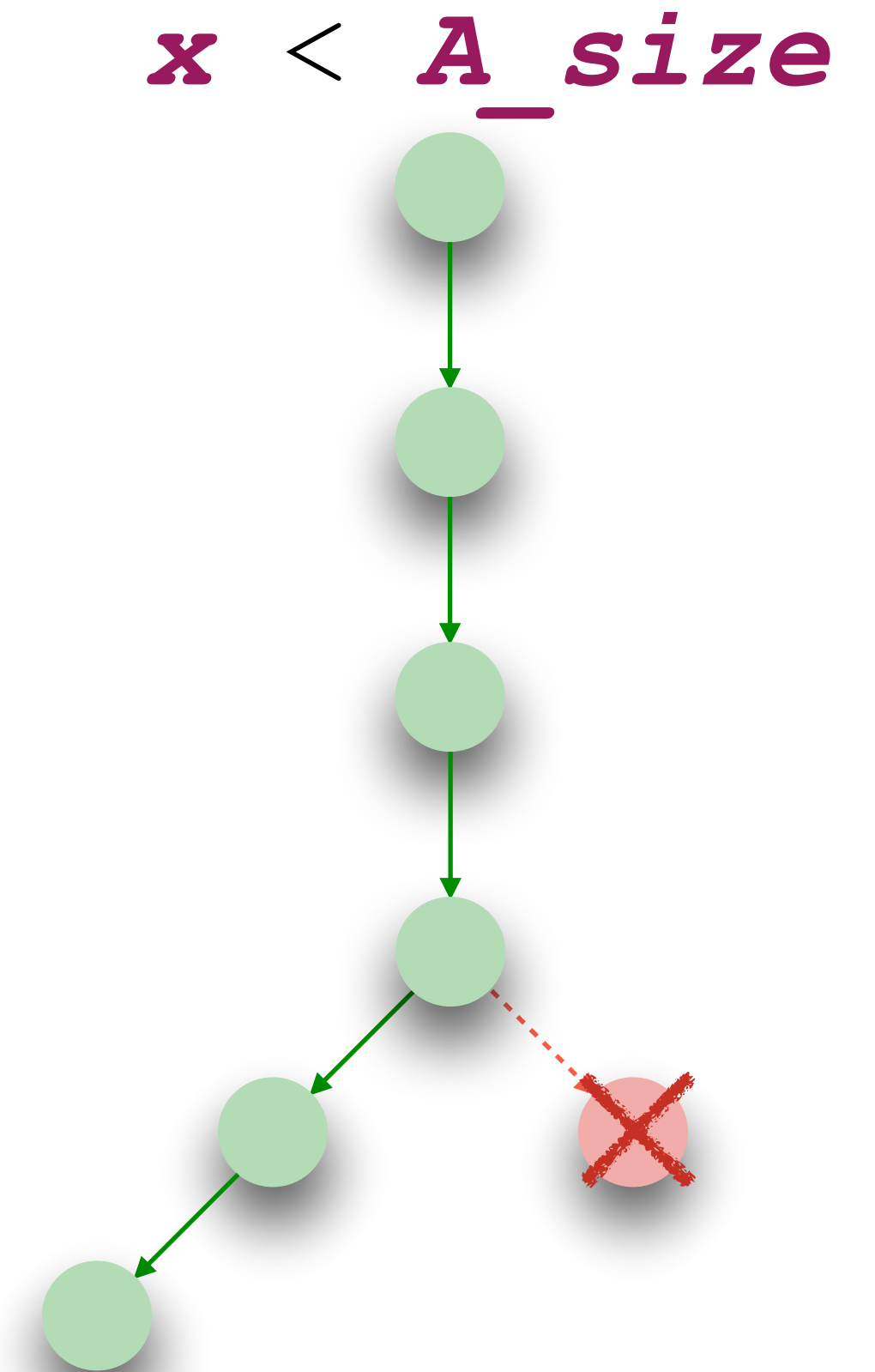
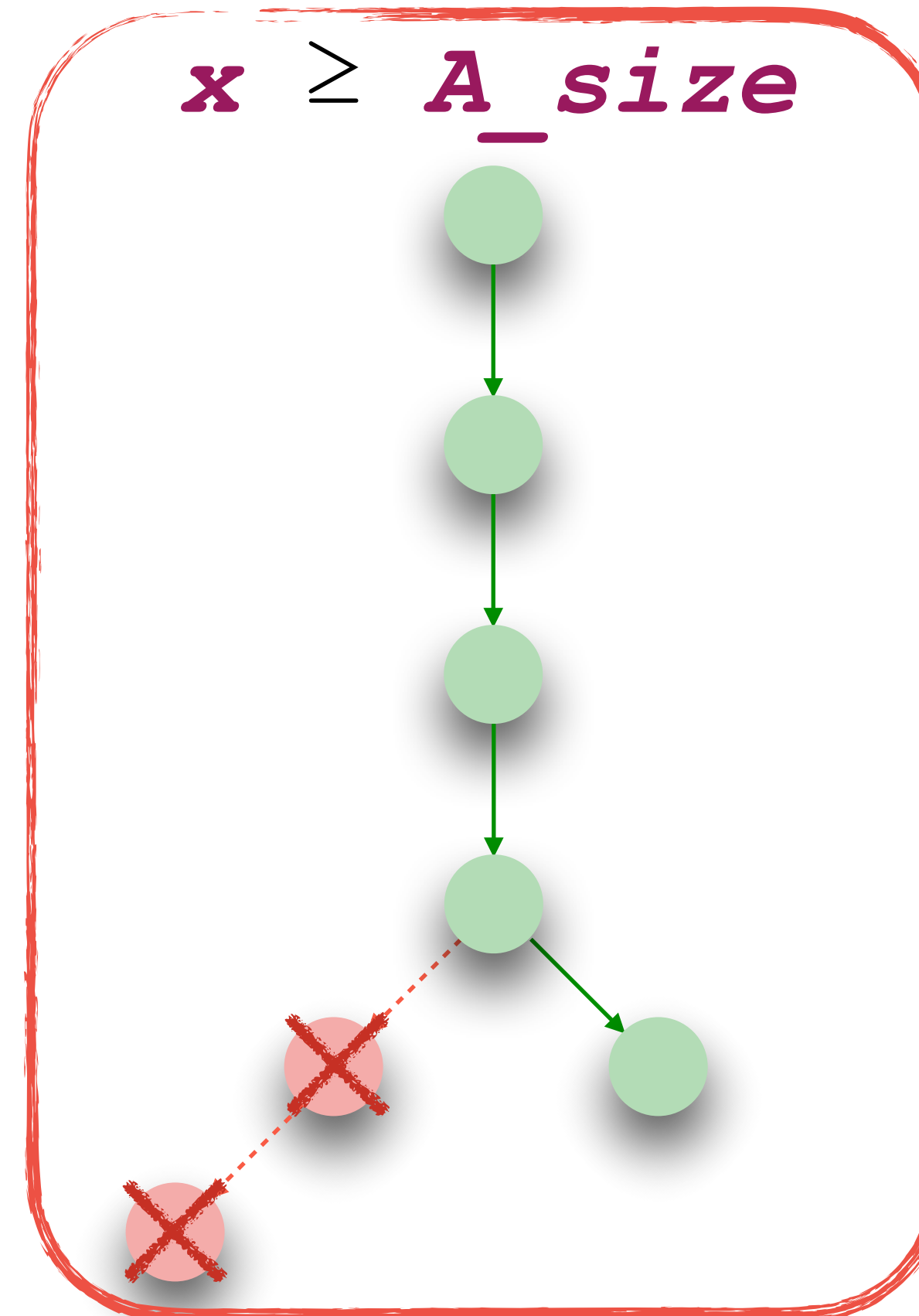
```
L1: load rax, A + rcx
```

```
load rax, B + rax
```

```
END:
```



Always mispredict
branch instructions



```
start pc L1 load A+x load B+A[x] rollback pc END
```


Symbolic execution

```
rax <- A_size
```

```
rcx <- x
```

```
jmp rcx ≥ rax, END
```

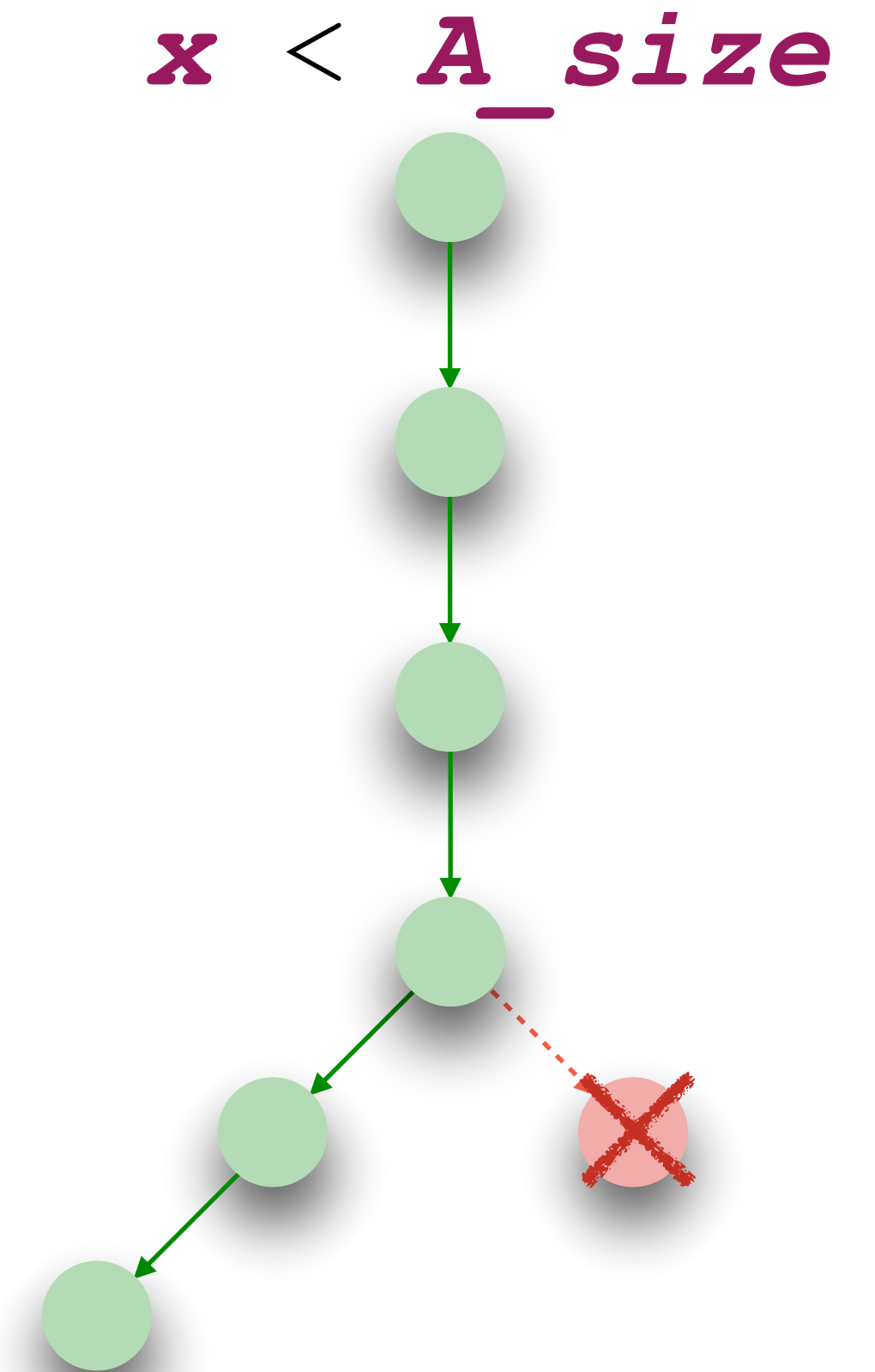
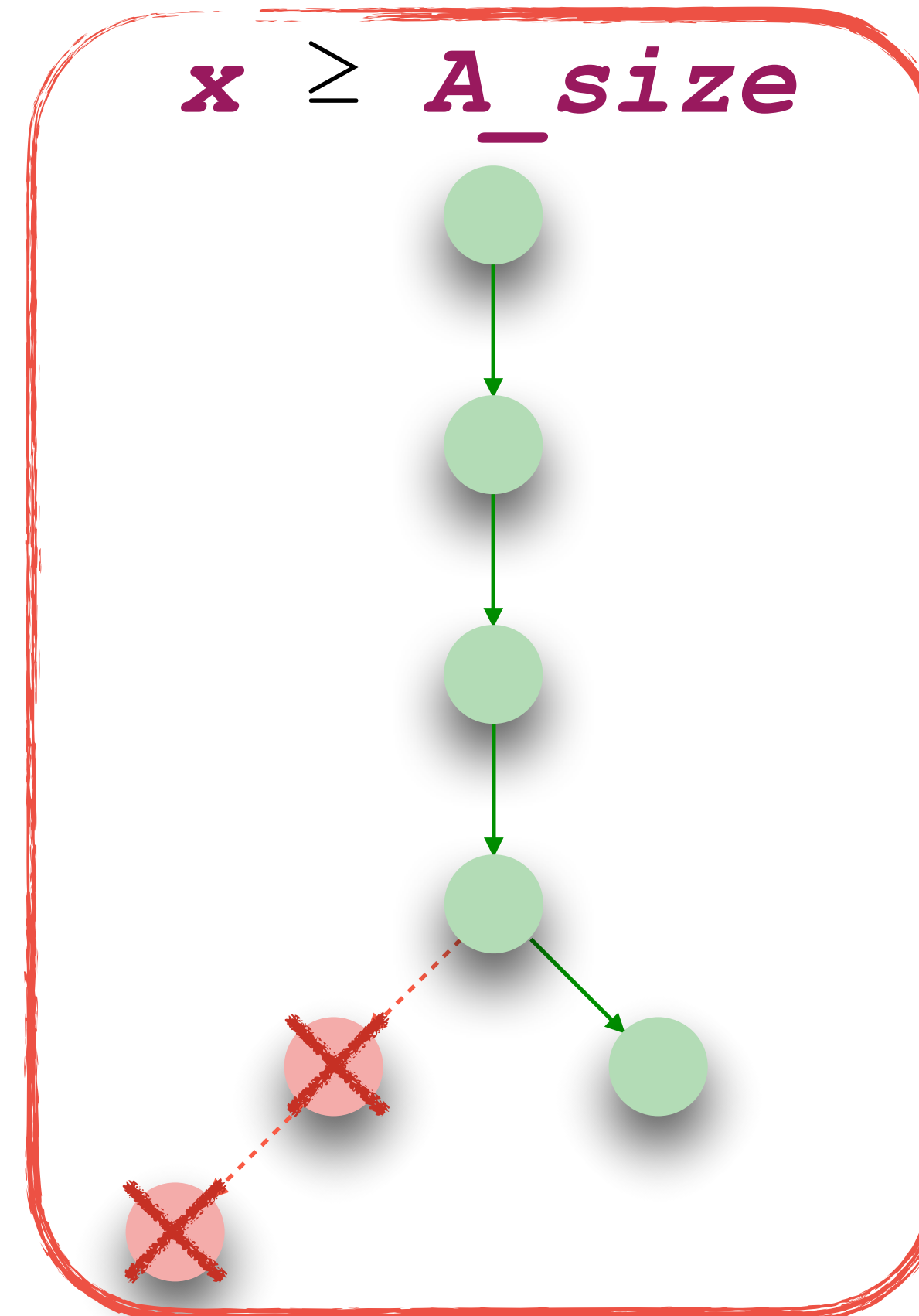
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L1: load rax, A + rcx
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```
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```

```
END:
```



Always mispredict
branch instructions



```
start pc L1 load A+x load B+A[x] rollback pc END
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Symbolic execution

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rax ←- A_size
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jmp rcx ≥ rax, END
```

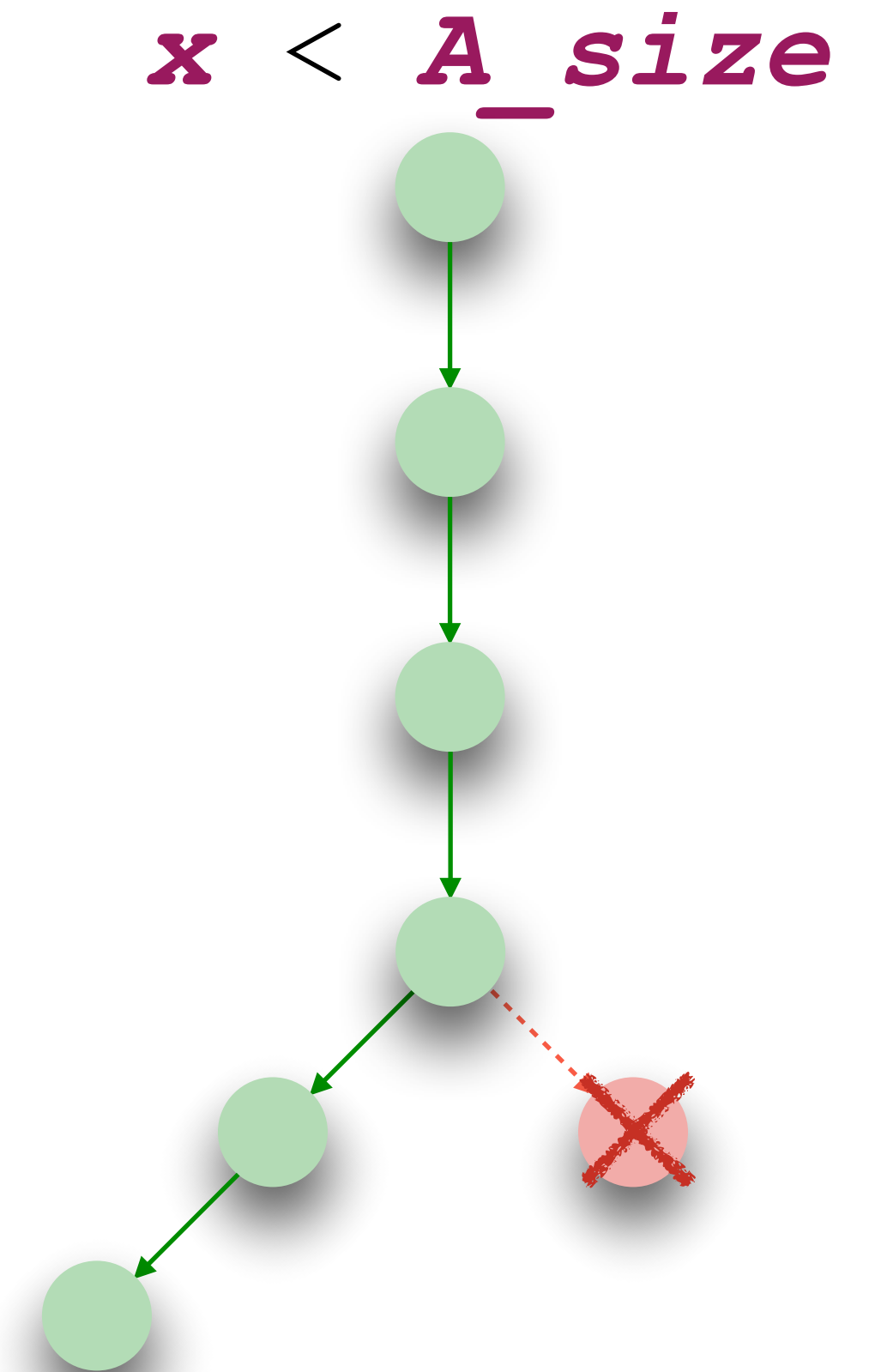
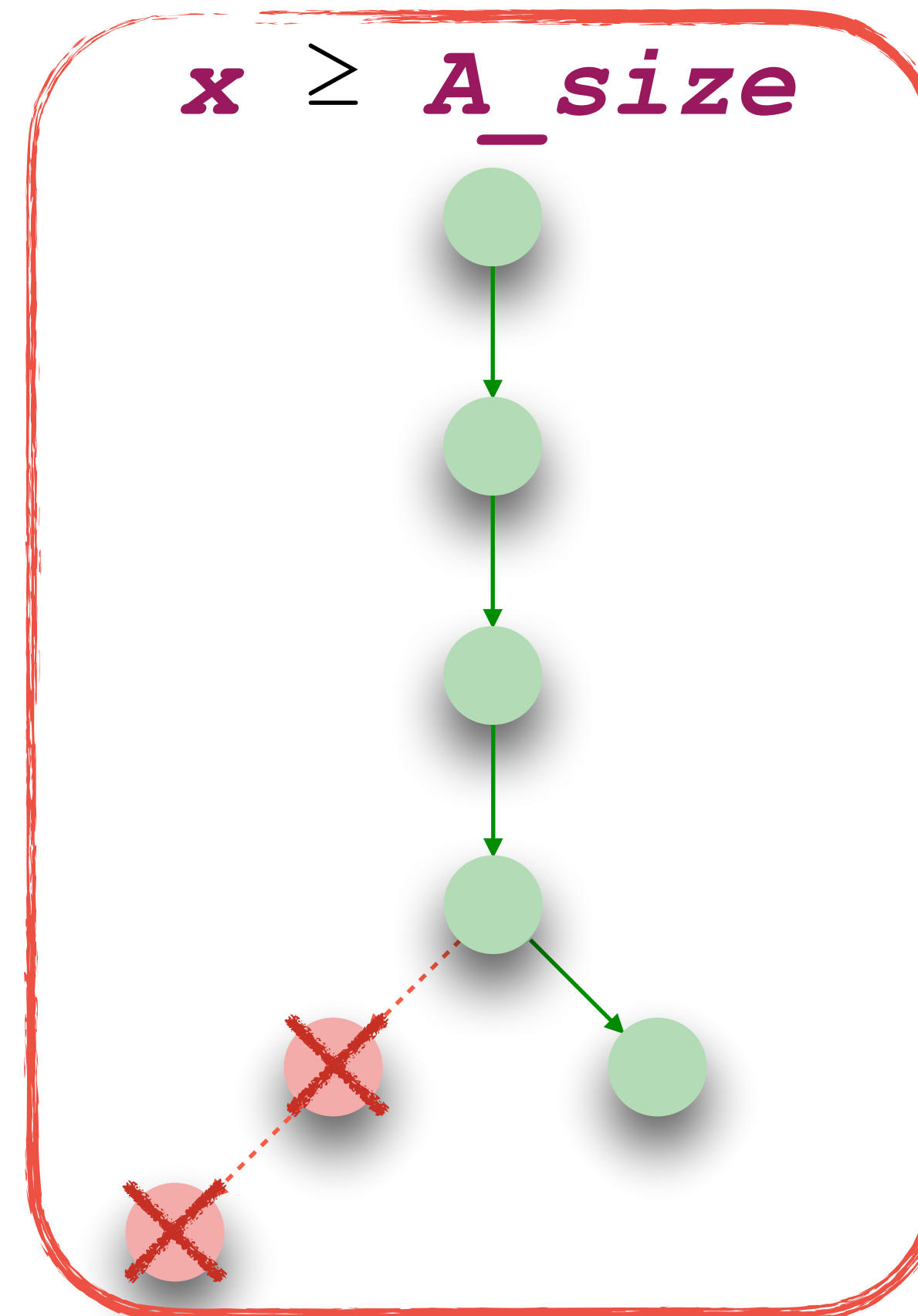
```
L1: load rax, A + rcx
```

```
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```
END:
```



Always mispredict
branch instructions



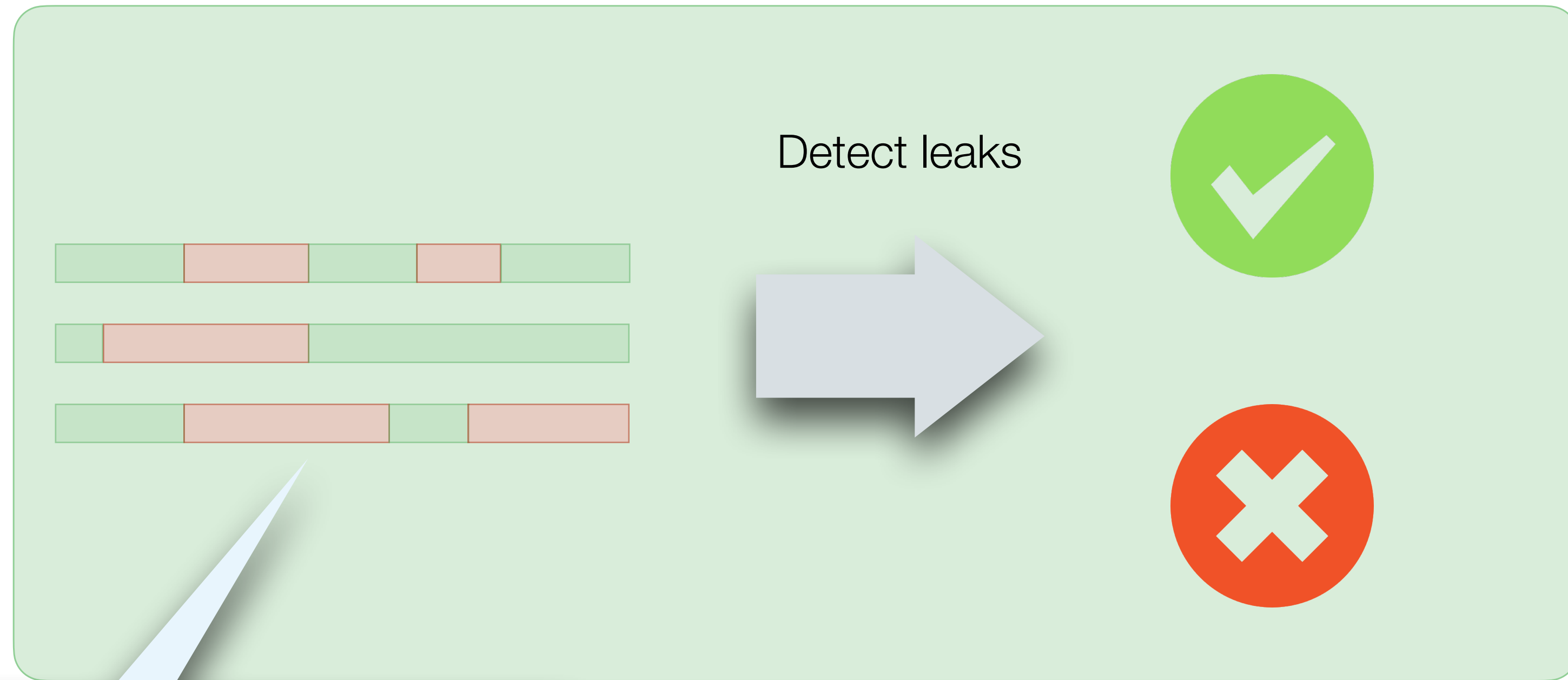
```
start pc L1 load A+x load B+A[x] rollback pc END
```

Detecting speculative leaks

```
rax <- A_size  
rcx <- x  
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L1: load rax, A + rcx  
load rax, B + rax
```

END:

Symbolic
execution



Symbolic trace: path condition + observations along the symbolic path

Detecting speculative leaks

```
For each symbolic trace  $\tau \in traces(prg)$   
  if  $MemLeak(\tau)$  then  
    return INSECURE  
  if  $CtrlLeak(\tau)$  then  
    return INSECURE  
return SECURE
```

```
rax  
rcx  
jmp  
L1:  load  
    load
```

```
END:
```



Detecting speculative leaks

```
For each symbolic trace  $\tau \in traces(prg)$   
  if MemLeak( $\tau$ ) then  
    return INSECURE  
  if CtrlLeak( $\tau$ ) then  
    return INSECURE  
  return SECURE
```

```
rax  
rcx  
jmp  
L1:  load  
    load
```

```
END:
```



Memory leaks

Speculative memory accesses **must** depend only on

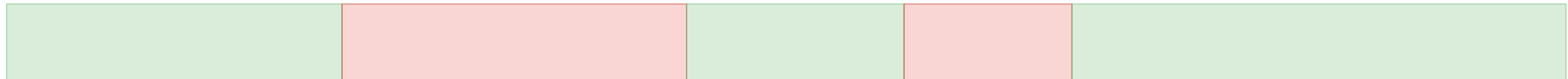
- Non-sensitive information
- Non-speculative observations

Memory leaks

Speculative memory accesses **must** depend only on

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- Non-speculative observations

τ

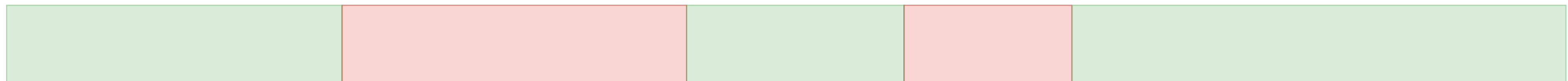


Memory leaks

Speculative memory accesses **must** depend only on

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- Non-speculative observations

τ



$$pathCnd(\tau) \wedge obsEqv(\tau|_{non-spec}) \wedge \neg obsEqv(\tau|_{spec})$$

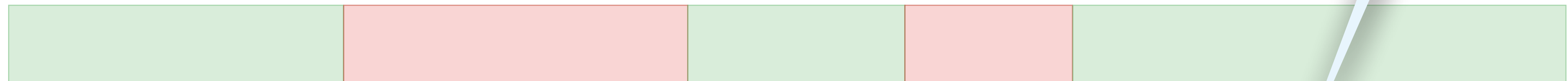
Memory leaks

Speculative memory accesses **must** depend only on

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- Non-speculative observations

Check with self-composition

τ



$$pathCnd(\tau) \wedge obsEqv(\tau|_{non-spec}) \wedge \neg obsEqv(\tau|_{spec})$$

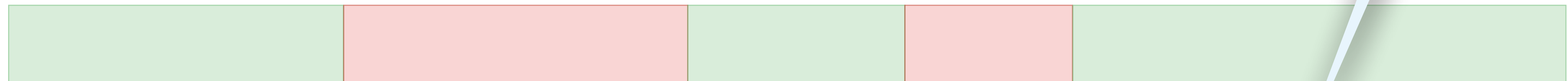
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$$pathCnd(\tau) \wedge obsEqv(\tau|_{non-spec}) \wedge \neg obsEqv(\tau|_{spec})$$

s_1

s_2

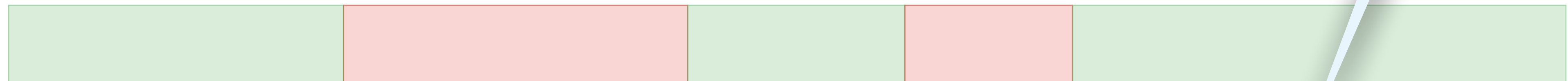
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$$pathCnd(\tau) \wedge obsEqv(\tau|_{non-spec}) \wedge \neg obsEqv(\tau|_{spec})$$

Equivalent
wrt *policy*

s_1

s_2

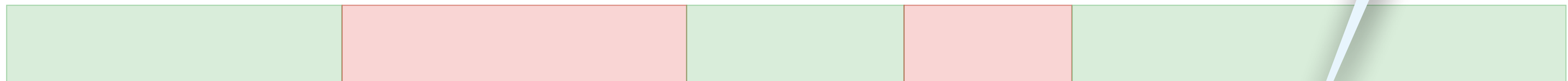
Memory leaks

Speculative memory accesses **must** depend only on

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- Non-speculative observations

Check with self-composition

τ



$$\boxed{\text{pathCnd}(\tau)} \wedge \text{obsEqv}(\tau|_{\text{non-spec}}) \wedge \neg \text{obsEqv}(\tau|_{\text{spec}})$$

Equivalent
wrt *policy*

$$s_1 \models \varphi$$

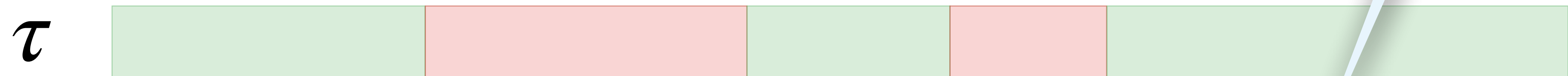
$$s_2 \models \varphi$$

Memory leaks

Speculative memory accesses **must** depend only on

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- Non-speculative observations

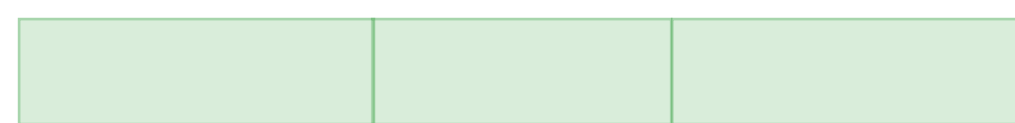
Check with self-composition



$$pathCnd(\tau) \wedge \boxed{obsEqv(\tau|_{non-spec})} \wedge \neg obsEqv(\tau|_{spec})$$

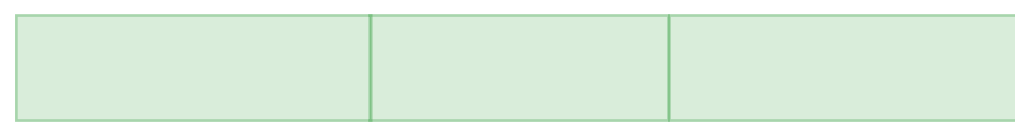
Equivalent
wrt *policy*

$s_1 \models \varphi$



||

$s_2 \models \varphi$



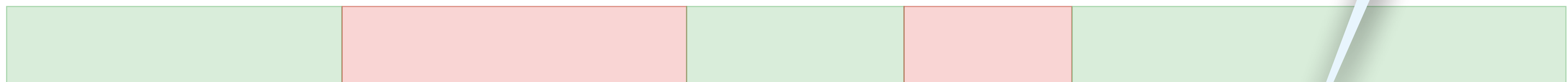
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- Non-speculative observations

Check with self-composition

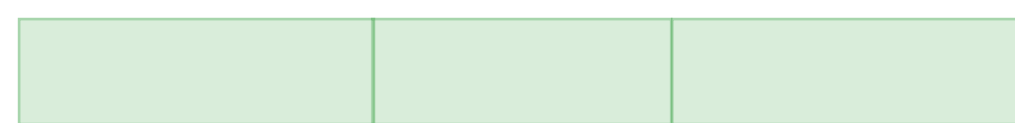
τ



$$pathCnd(\tau) \wedge obsEqv(\tau|_{non-spec}) \wedge \boxed{\neg obsEqv(\tau|_{spec})}$$

Equivalent
wrt *policy*

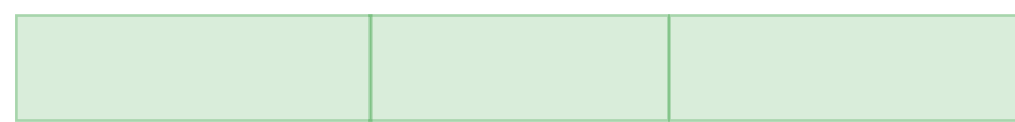
$s_1 \models \varphi$



\parallel

$\not\parallel$

$s_2 \models \varphi$



Spectector + Case studies

Spectector

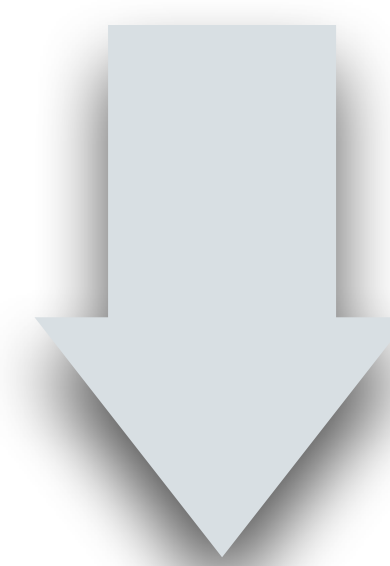


```
mov    rax, A_size
mov    rcx, x
cmp    rcx, rax
jae    END
L1: mov    rax, A[rcx]
mov    rax, B[rax]
```

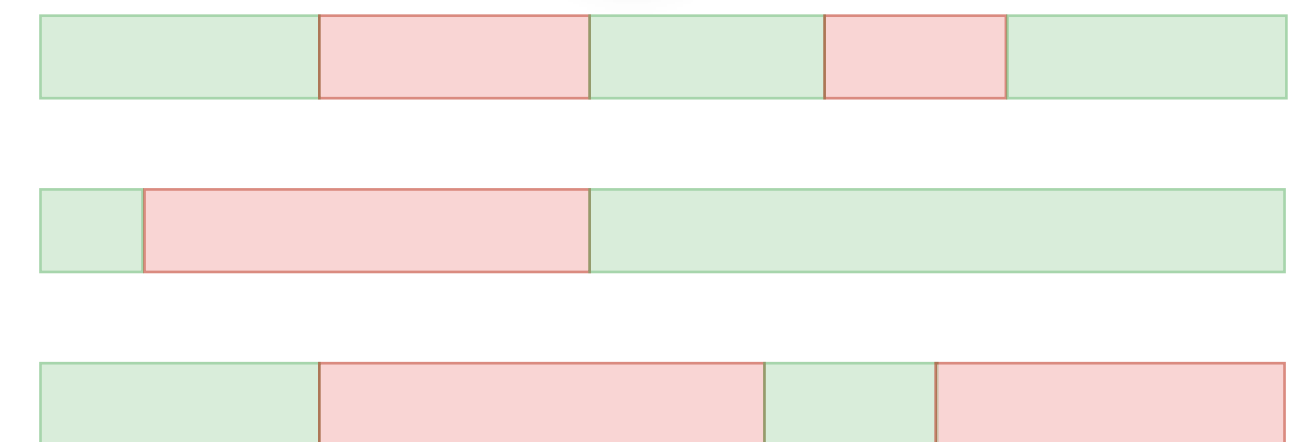
x64 to μ ASM



```
rax <- A_size
rcx <- x
jmp rcx >= rax, END
L1: load rax, A + rcx
load rax, B + rax
END:
```



Symbolic execution



Check for speculative leaks



Spectector



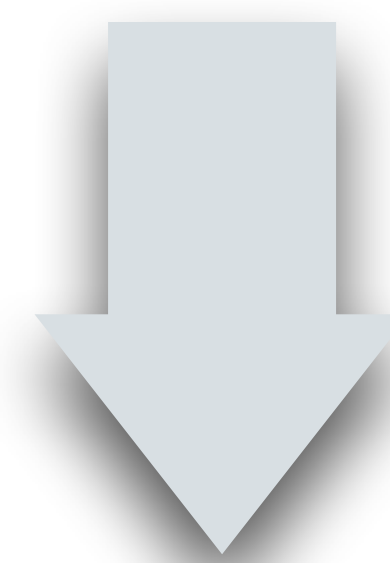
```
mov rax, A_size
mov rcx, x
cmp rcx, rax
jae END
L1: mov rax, A
mov rax, B
```

x64 to μ ASM

```
rax <- A_size
rcx <- x
jmp rcx >= rax, END
load rax, A + rcx
load rax, B + rax
```

More details

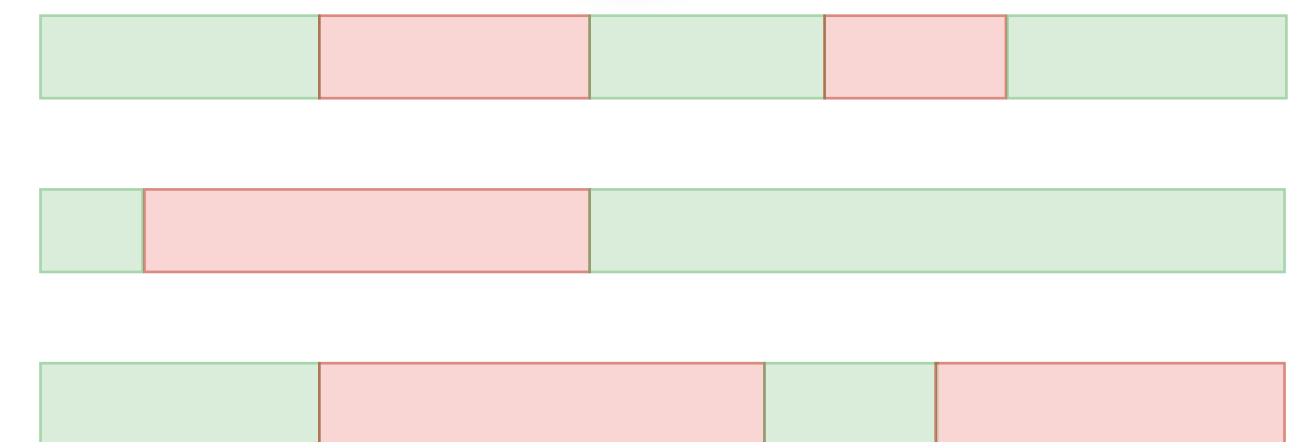
- Built in  Prolog
- **Z3** for symbolic execution and leak detection



Symbolic execution



Check for speculative leaks



Case study: compiler mitigations

Target:

- 15 variants of Spectre V1 by Paul Kocher*
- Compiled with Microsoft Visual C++, Intel ICC, and Clang with different mitigations and optimization levels
- 240 assembly programs of up to 200 instructions each

How:

- Use Spectector to prove security or detect leaks

* Paul Kocher - Spectre Mitigations in Microsoft C/C++ Compiler — <https://www.paulkocher.com/doc/MicrosoftCompilerSpectreMitigation.html>

Results

Ex.	VCC						ICC				CLANG					
	UNP		FEN 19.15		FEN 19.20		UNP		FEN		UNP		FEN		SLH	
	-00	-02	-00	-02	-00	-02	-00	-02	-00	-02	-00	-02	-00	-02	-00	-02
01	○	○	●	●	●	●	○	○	●	●	○	○	●	●	●	●
02	○	○	●	●	●	●	○	○	●	●	○	○	●	●	●	●
03	○	○	●	○	●	●	○	○	●	●	○	○	●	●	●	●
04	○	○	○	○	●	●	○	○	●	●	○	○	●	●	●	●
05	○	○	●	○	●	○	○	○	●	●	○	○	●	●	●	●
06	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	●
07	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	●
08	○	●	○	●	○	●	○	●	●	●	○	●	●	●	●	●
09	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	●
10	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	○
11	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	●
12	○	○	○	○	●	●	○	○	●	●	○	○	●	●	●	●
13	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	●
14	○	○	○	○	●	●	○	○	●	●	○	○	●	●	●	●
15	○	○	○	○	○	○	○	○	●	●	○	○	●	●	○	●

Results

Ex.	VCC						ICC				CLANG					
	UNP		FEN 19.15		FEN 19.20		UNP		FEN		UNP		FEN		SLH	
	-00	-02	-00	-02	-00	-02	-00	-02	-00	-02	-00	-02	-00	-02	-00	-02
01	○	○	●	●	●	●	○	○	●	●	○	○	●	●	●	●
02	○	○	●	●	●	●	○	○	●	●	○	○	●	●	●	●
03	○	○	●	○	●	●	○	○	●	●	○	○	●	●	●	●
04	○	○	○	○	●	●	○	○	●	●	○	○	●	●	●	●
05	○	○	●	○	●	○	○	○	●	●	○	○	●	●	●	●
06	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	●
07	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	●
08	○	●	○	●	○	●	○	●	●	●	○	●	●	●	●	●
09	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	●
10	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	○
11	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	●
12	○	○	○	○	●	●	○	○	●	●	○	○	●	●	●	●
13	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	●
14	○	○	○	○	●	●	○	○	●	●	○	○	●	●	●	●
15	○	○	○	○	○	○	○	○	●	●	○	○	●	●	○	●

Results

Ex.	VCC				ICC				CLANG							
	UNP		FEN 19.15		FEN 19.20		UNP		FEN		UNP		FEN		SLH	
	-00	-02	-00	-02	-00	-02	-00	-02	-00	-02	-00	-02	-00	-02	-00	-02
01	○	○	●	●	●	●	○	○	●	●	○	○	●	●	●	●
02	○	○	●	●	●	●	○	○	●	●	○	○	●	●	●	●
03	○	○	●	○	●	●	○	○	●	●	○	○	●	●	●	●
04	○	○	○	○	●	●	○	○	●	●	○	○	●	●	●	●
05	○	○	●	○	●	○	○	○	●	●	○	○	●	●	●	●
06	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	●
07	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	●
08	○	●	○	●	○	●	○	●	●	●	○	●	●	●	●	●
09	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	●
10	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	○
11	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	●
12	○	○	○	○	●	●	○	○	●	●	○	○	●	●	●	●
13	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	●
14	○	○	○	○	●	●	○	○	●	●	○	○	●	●	●	●
15	○	○	○	○	○	○	○	○	●	●	○	○	●	●	○	●

Results

Ex.	VCC				ICC				CLANG							
	UNP		FEN 19.15		FEN 19.20		UNP		FEN		UNP		FEN		SLH	
	-00	-02	-00	-02	-00	-02	-00	-02	-00	-02	-00	-02	-00	-02	-00	-02
01	○	○	●	●	●	●	○	○	●	●	○	○	●	●	●	●
02	○	○	●	●	●	●	○	○	●	●	○	○	●	●	●	●
03	○	○	●	○	●	●	○	○	●	●	○	○	●	●	●	●
04	○	○	○	○	●	●	○	○	●	●	○	○	●	●	●	●
05	○	○	●	○	●	○	○	○	●	●	○	○	●	●	●	●
06	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	●
07	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	●
08	○	●	○	●	○	●	○	●	●	●	○	●	●	●	●	●
09	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	●
10	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	○
11	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	●
12	○	○	○	○	●	●	○	○	●	●	○	○	●	●	●	●
13	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	●
14	○	○	○	○	●	●	○	○	●	●	○	○	●	●	●	●
15	○	○	○	○	○	○	○	○	●	●	○	○	●	●	○	●

Results

No countermeasures

Ex.	VCC				ICC				CLANG							
	UNP		FEN 19.15		FEN 19.20		UNP		FEN		UNP		FEN		SLH	
	-00	-02	-00	-02	-00	-02	-00	-02	-00	-02	-00	-02	-00	-02	-00	-02
01	○	○	●	●	●	●	○	○	●	●	○	○	●	●	●	●
02	○	○	●	●	●	●	○	○	●	●	○	○	●	●	●	●
03	○	○	●	○	●	●	○	○	●	●	○	○	●	●	●	●
04	○	○	○	○	●	●	○	○	●	●	○	○	●	●	●	●
05	○	○	●	○	●	○	○	○	●	●	○	○	●	●	●	●
06	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	●
07	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	●
08	○	●	○	●	○	●	○	●	●	●	○	●	●	●	●	●
09	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	●
10	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	○
11	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	●
12	○	○	○	○	●	●	○	○	●	●	○	○	●	●	●	●
13	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	●
14	○	○	○	○	●	●	○	○	●	●	○	○	●	●	●	●
15	○	○	○	○	○	○	○	○	●	●	○	○	●	●	○	●

Results

Automated insertion of fences

Ex.	VCC				ICC				CLANG							
	UNP		FEN 19.15		FEN 19.20		UNP		FEN		UNP		FEN		SLH	
	-00	-02	-00	-02	-00	-02	-00	-02	-00	-02	-00	-02	-00	-02	-00	-02
01	○	○	●	●	●	●	○	○	●	●	○	○	●	●	●	●
02	○	○	●	●	●	●	○	○	●	●	○	○	●	●	●	●
03	○	○	●	○	●	●	○	○	●	●	○	○	●	●	●	●
04	○	○	○	○	●	●	○	○	●	●	○	○	●	●	●	●
05	○	○	●	○	●	○	○	○	●	●	○	○	●	●	●	●
06	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	●
07	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	●
08	○	●	○	●	○	●	○	●	●	●	○	●	●	●	●	●
09	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	●
10	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	○
11	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	●
12	○	○	○	○	●	●	○	○	●	●	○	○	●	●	●	●
13	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	●
14	○	○	○	○	●	●	○	○	●	●	○	○	●	●	●	●
15	○	○	○	○	○	○	○	○	●	●	○	○	●	●	○	●

Results

Speculative load
hardening

Ex.	VCC				ICC				CLANG							
	UNP		FEN 19.15		FEN 19.20		UNP		FEN		UNP		FEN		SLH	
	-00	-02	-00	-02	-00	-02	-00	-02	-00	-02	-00	-02	-00	-02	-00	-02
01	○	○	●	●	●	●	○	○	●	●	○	○	●	●	●	●
02	○	○	●	●	●	●	○	○	●	●	○	○	●	●	●	●
03	○	○	●	○	●	●	○	○	●	●	○	○	●	●	●	●
04	○	○	○	○	●	●	○	○	●	●	○	○	●	●	●	●
05	○	○	●	○	●	○	○	○	●	●	○	○	●	●	●	●
06	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	●
07	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	●
08	○	●	○	●	○	●	○	●	●	●	○	●	●	●	●	●
09	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	●
10	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	○
11	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	●
12	○	○	○	○	●	●	○	○	●	●	○	○	●	●	●	●
13	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	●
14	○	○	○	○	●	●	○	○	●	●	○	○	●	●	●	●
15	○	○	○	○	○	○	○	○	●	●	○	○	●	●	○	●

Results

Ex.	VCC						ICC				CLANG					
	UNP		FEN 19.15		FEN 19.20		UNP		FEN		UNP		FEN		SLH	
	-00	-02	-00	-02	-00	-02	-00	-02	-00	-02	-00	-02	-00	-02	-00	-02
01	○	○	●	●	●	●	○	○	●	●	○	○	●	●	●	●
02	○	○	●	●	●	●	○	○	●	●	○	○	●	●	●	●
03	○	○	●	○	●	●	○	○	●	●	○	○	●	●	●	●
04	○	○	○	○	●	●	○	○	●	●	○	○	●	●	●	●
05	○	○	●	○	●	○	○	○	●	●	○	○	●	●	●	●
06	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	●
07	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	●
08	○	●	○	●	○	●	○	●	●	●	○	●	●	●	●	●
09	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	●
10	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	○
11	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	●
12	○	○	○	○	●	●	○	○	●	●	○	○	●	●	●	●
13	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	●
14	○	○	○	○	●	●	○	○	●	●	○	○	●	●	●	●
15	○	○	○	○	○	○	○	○	●	●	○	○	●	●	○	●

Results

Ex.	VCC						ICC				CLANG					
	UNP		FEN 19.15		FEN 19.20		UNP		FEN		UNP		FEN		SLH	
	-00	-02	-00	-02	-00	-02	-00	-02	-00	-02	-00	-02	-00	-02	-00	-02
01	○	○	●	●	●	●	○	○	●	●	○	○	●	●	●	●
02	○	○	●	●	●	●	○	○	●	●	○	○	●	●	●	●
03	○	○	●	○	●	●	○	○	●	●	○	○	●	●	●	●
04	○	○	○	○	●	●	○	○	●	●	○	○	●	●	●	●
05	○	○	●	○	●	○	○	○	●	●	○	○	●	●	●	●
06	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	●
07	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	●
08	○	●	○	●	○	●	○	●	●	●	○	●	●	●	●	●
09	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	●
10	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	○
11	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	●
12	○	○	○	○	●	●	○	○	●	●	○	○	●	●	●	●
13	○	○	○	○	○	○	○	○	●	●	○	○	●	●	●	●
14	○	○	○	○	●	●	○	○	●	●	○	○	●	●	●	●
15	○	○	○	○	○	○	○	○	●	●	○	○	●	●	○	●

Results

Ex.	VCC				ICC				CLANG				
	UNP		FEN 19.15	FEN 19.20	UNP		FEN	UNP		FEN	SLH		
	-00	-02								-02	-00	-02	
01	○	○								●	●	●	
02	○	○								●	●	●	
03	○	○								●	●	●	
04	○	○								●	●	●	
05	○	○								●	●	●	
06	○	○								●	●	●	
07	○	○								●	●	●	
08	○	●								●	●	●	
09	○	○								●	●	●	
10	○	○								●	●	○	
11	○	○								●	●	●	
12	○	○								●	●	●	
13	○	○								●	●	●	
14	○	○	○	○	●	●	○	○	●	●	○	○	●
15	○	○	○	○	○	○	○	○	○	●	●	○	●

Summary

- Leaks in all unprotected programs (except example #08 with optimizations)
- Confirm all vulnerabilities in VCC pointed out by Paul Kocher
- Programs with fences (ICC and Clang) are secure
 - Unnecessary fences
- Programs with SLH are secure except #10 and #15

Case study: scalability

Target: Xen hypervisors

Main challenges for scalability:

- Policy definition
- ISA coverage
- Path explosion

How:

- Analyze scalability of checking SNI **relative to** symbolic execution
- 24'000 symbolic paths of < 10'000 instructions (from ~ 4'000 functions)

Case study: scalability

Target: Xen hypervisors

Main challenges for scalability:

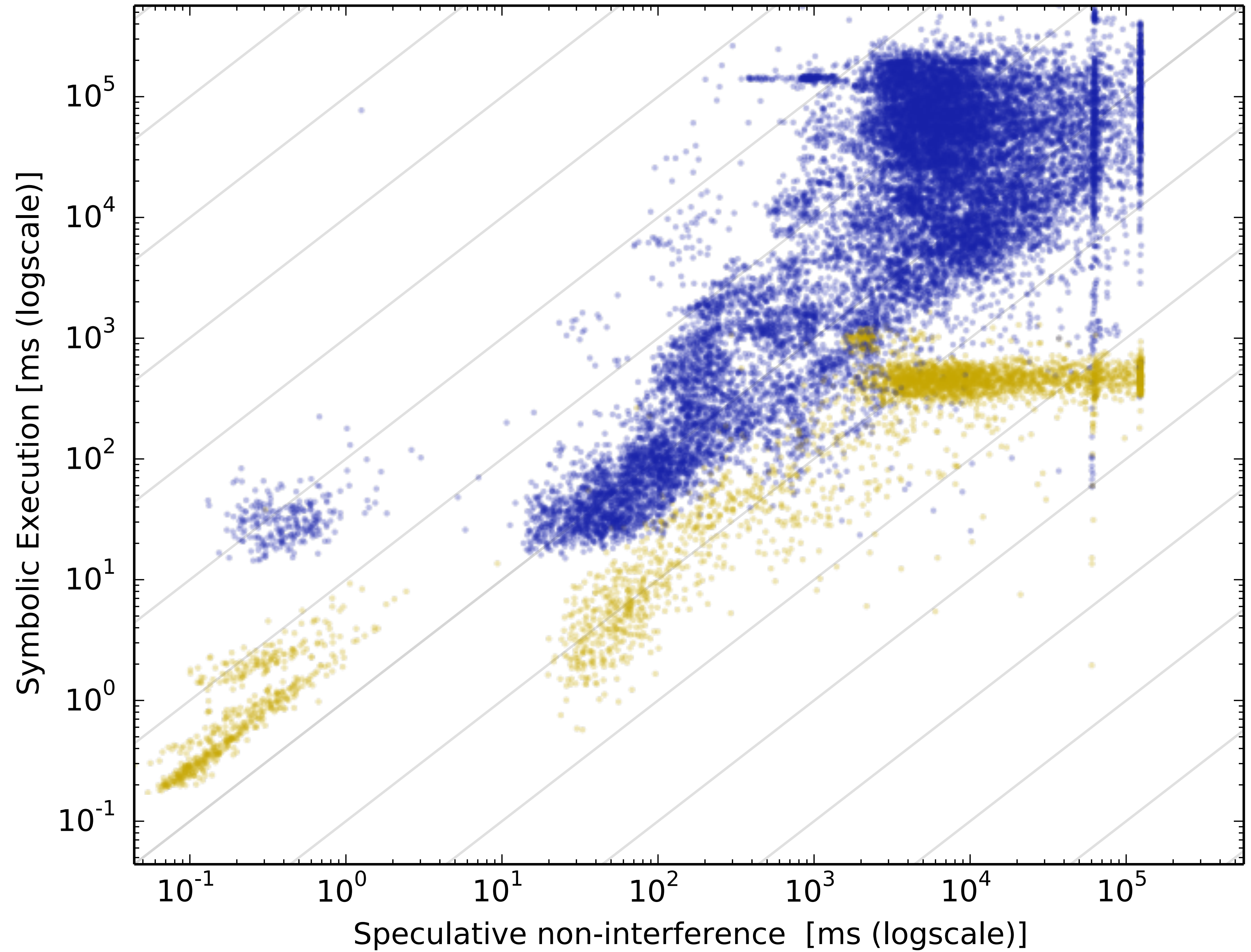
- Policy definition
- ISA coverage
- Path explosion

} Trade-offs affect analysis
soundness and completeness

How:

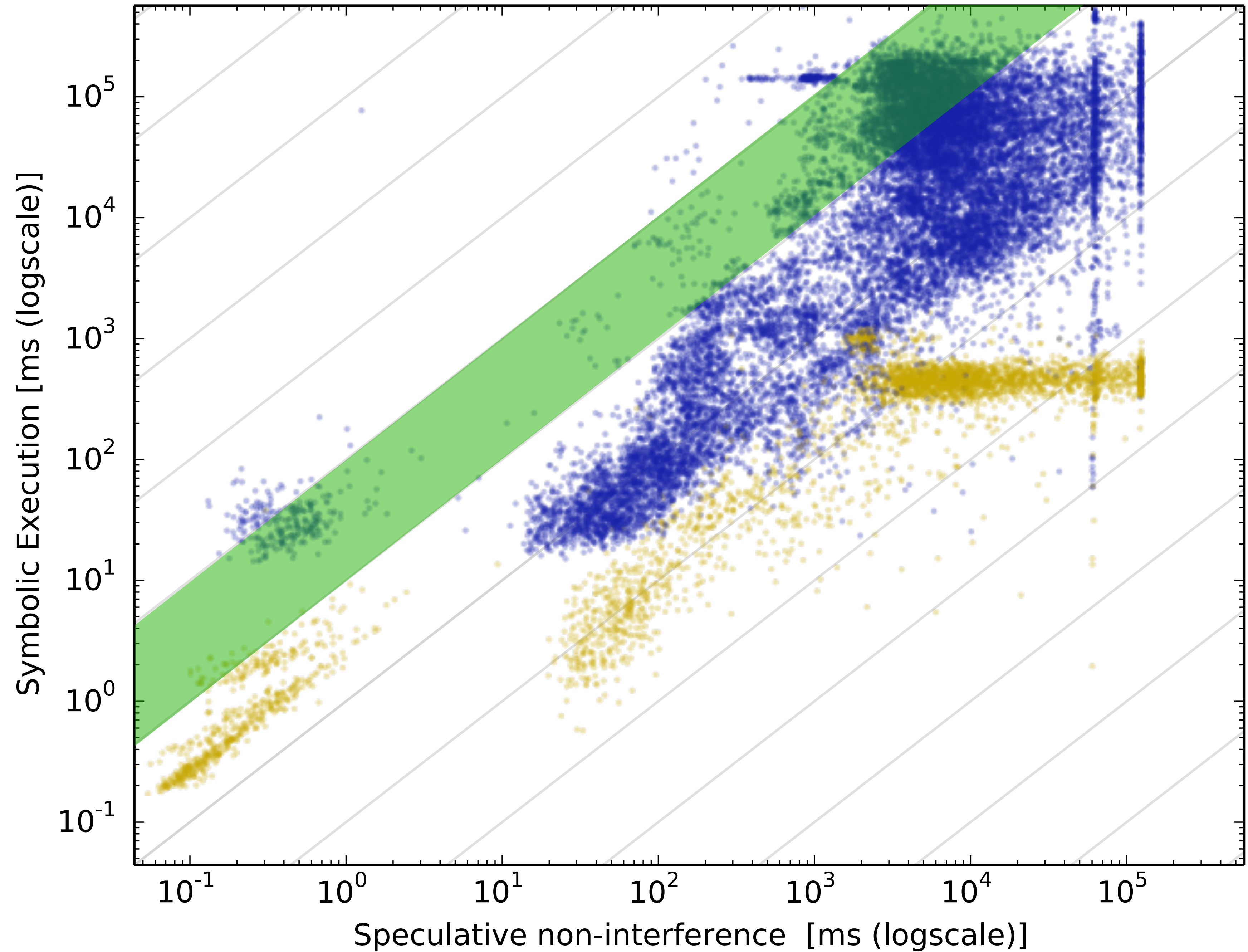
- Analyze scalability of checking SNI **relative to** symbolic execution
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Results



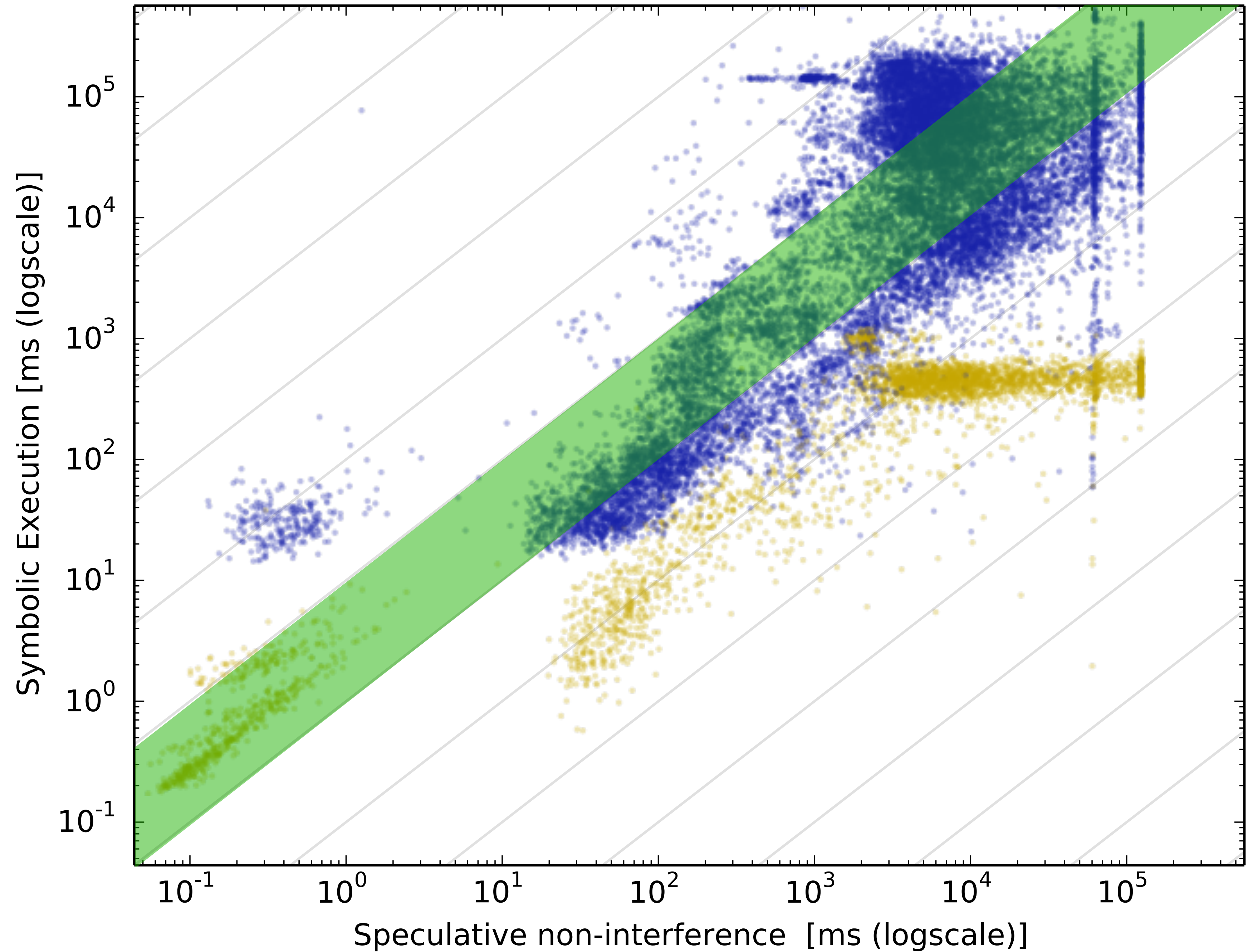
Results

- SNI 10x-100x faster
- 20.2% traces



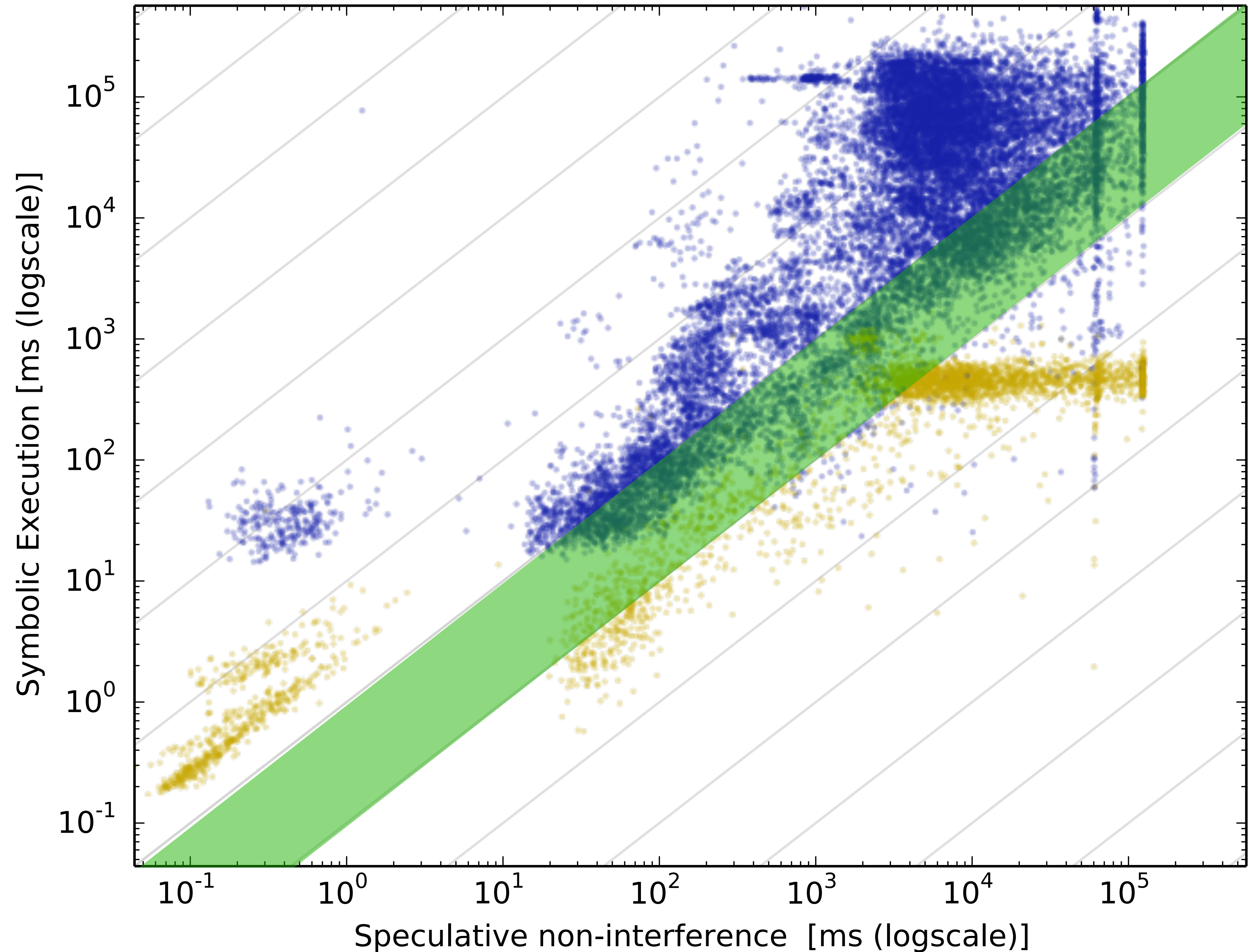
Results

- SNI 10x-100x faster
 - 20.2% traces
- SNI $\leq 10x$ faster
 - 41.9% traces



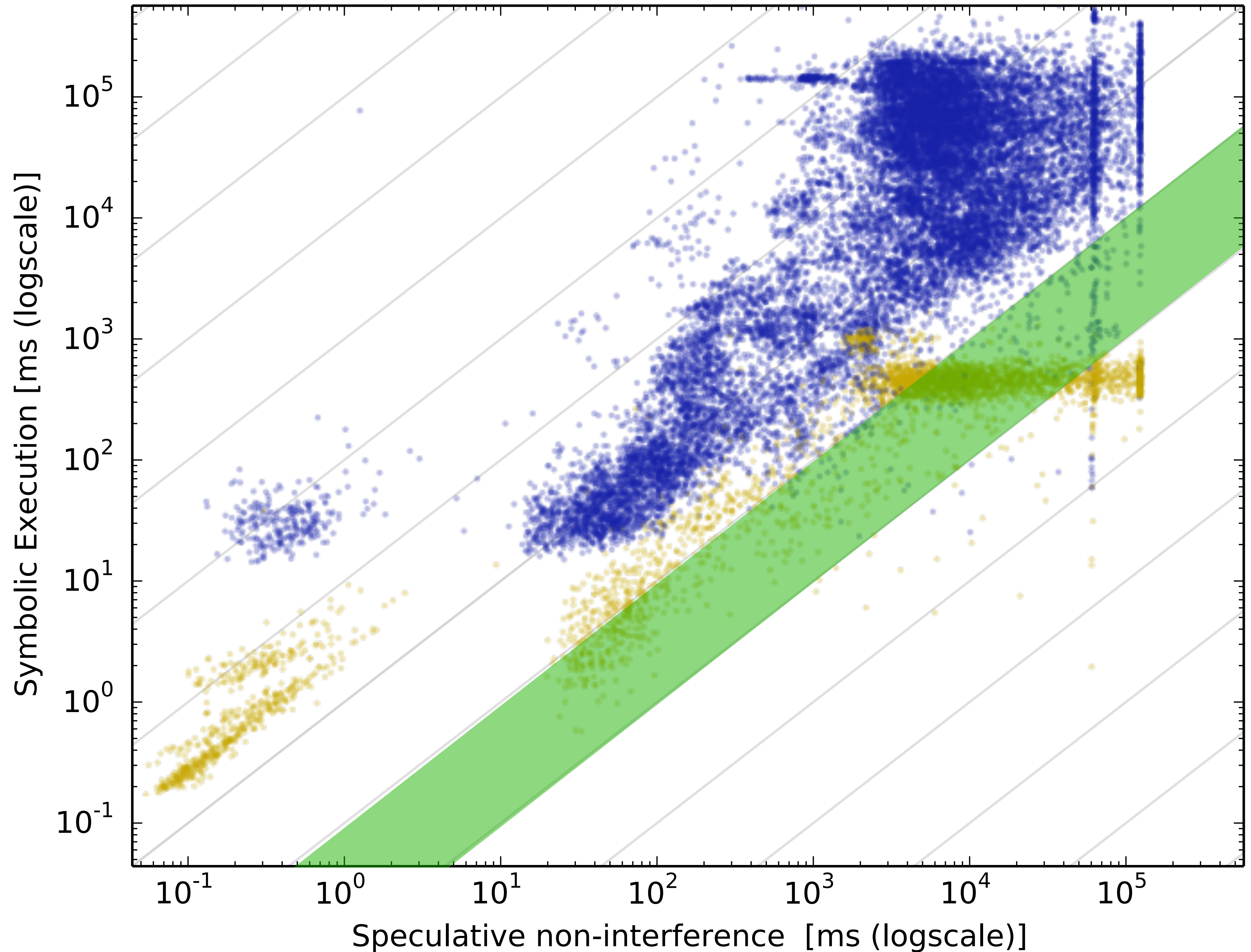
Results

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Results

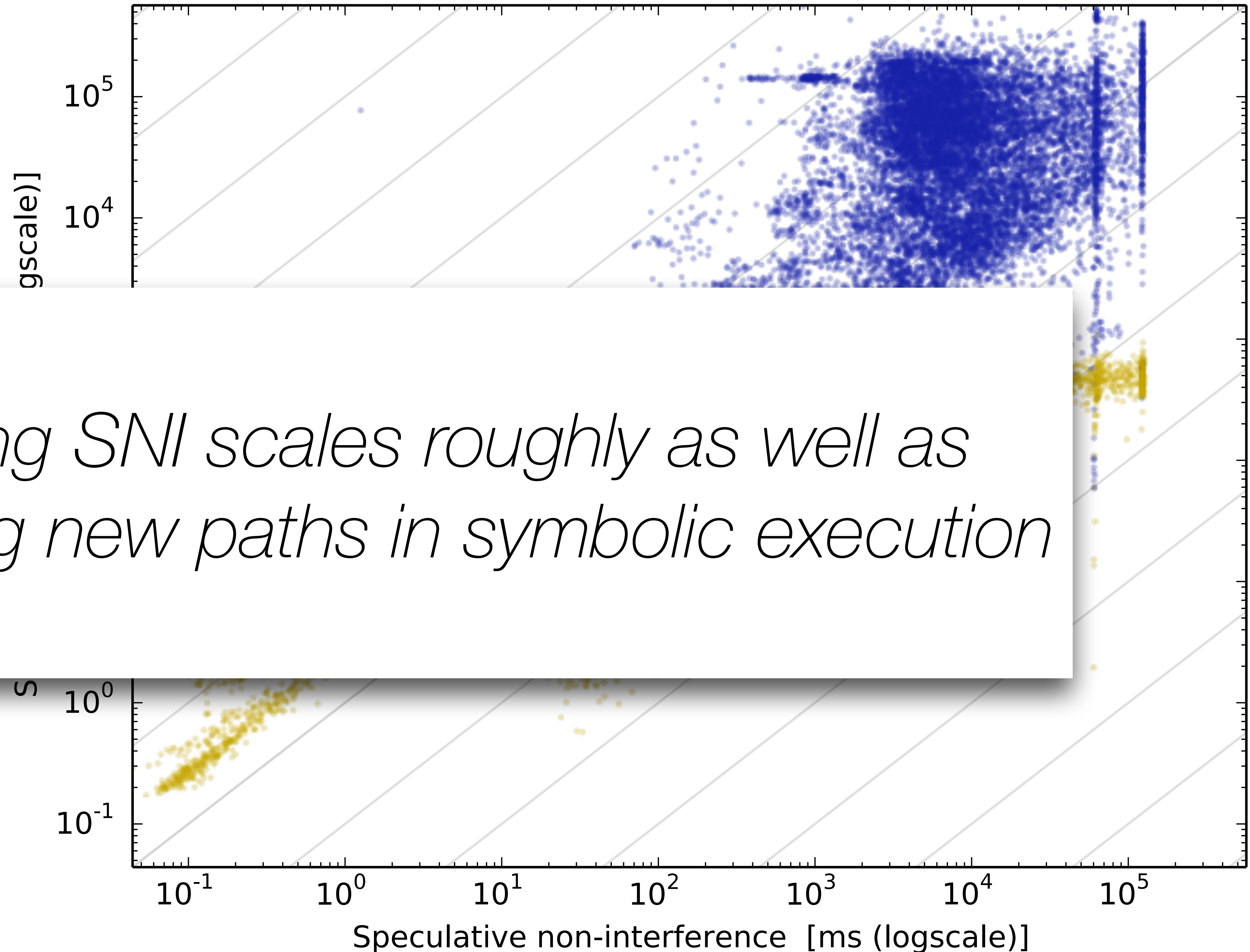
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Results

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Checking SNI scales roughly as well as discovering new paths in symbolic execution



Conclusion

Speculative non-interference

Formally!

Program P is **speculatively non-interferent** for prediction oracle O if

For all program states s and s' :

$$P_{\text{non-spec}}(s) = P_{\text{non-spec}}(s') \\ \Rightarrow P_{\text{spec}}(s, O) = P_{\text{spec}}(s', O)$$

Results

Ex.	Vcc						Icc				CLANG				SLH	
	UNP		FEN 19.15		FEN 19.20		UNP		FEN		UNP		FEN			
	-00	-02	-00	-02	-00	-02	-00	-02	-00	-02	-00	-02	-00	-02		
01	o	o	•	•	•	•	o	o	•	•	o	o	•	•	•	•
02	o	o	•	•	•	•	o	o	•	•	o	o	•	•	•	•
03	o	o	•	o	•	•	o	o	•	•	o	o	•	•	•	•
04	o	o	o	o	•	•	o	o	•	•	o	o	•	•	•	•
05	o	o	•	o	•	o	o	o	•	•	o	o	•	•	•	•
06	o	o	o	o	o	o	o	o	•	•	o	o	•	•	•	•
07	o	o	o	o	o	o	o	o	•	•	o	o	•	•	•	•
08	o	•	o	•	o	•	o	•	•	•	o	•	•	•	•	•
09	o	o	o	o	o	o	o	o	•	•	o	o	•	•	•	•
10	o	o	o	o	o	o	o	o	•	•	o	o	•	•	o	o
11	o	o	o	o	o	o	o	o	•	•	o	o	•	•	•	•
12	o	o	o	o	•	•	o	o	•	•	o	o	•	•	•	•
13	o	o	o	o	o	o	o	o	•	•	o	o	•	•	•	•
14	o	o	o	o	•	•	o	o	•	•	o	o	•	•	•	•
15	o	o	o	o	o	o	o	o	•	•	o	o	•	•	o	•

Spectector



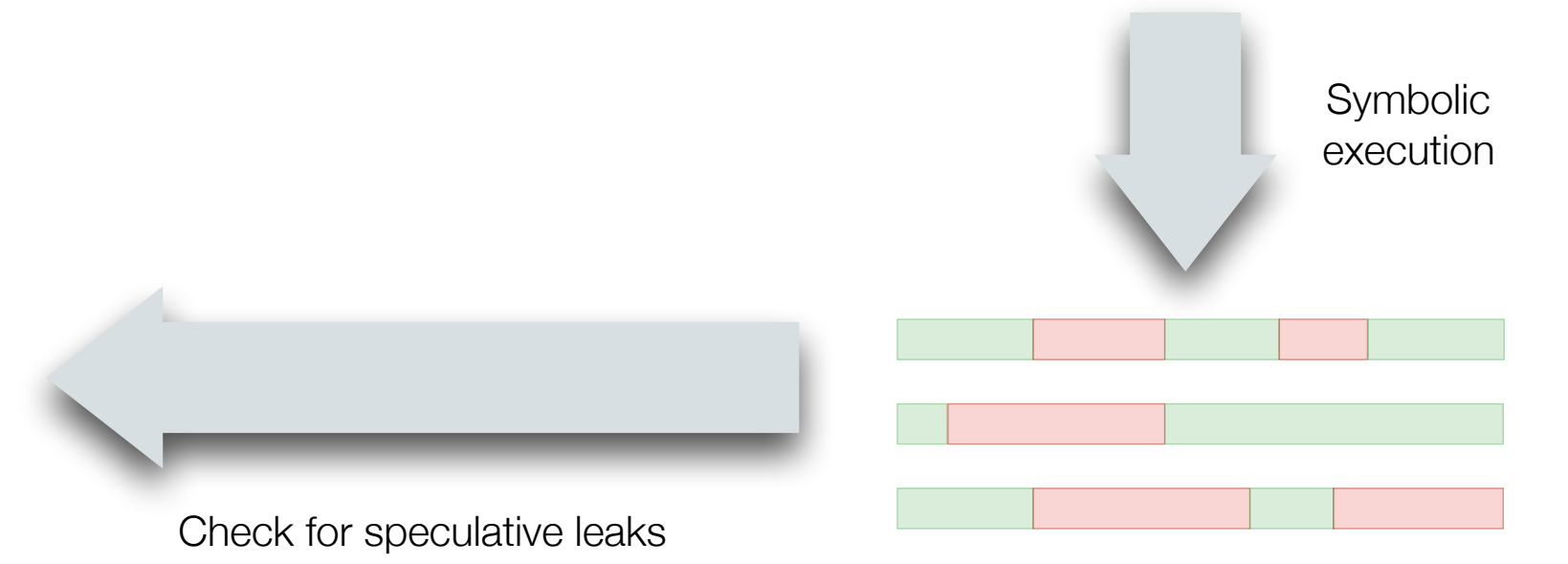
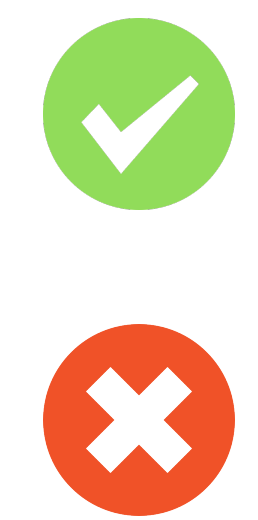
```

mov    rax, A_size
mov    rcx, x
cmp    rcx, rax
jae    END
L1:    mov    rax, A[rcx]
mov    rax, B[rax]
    
```

x64 to μASM

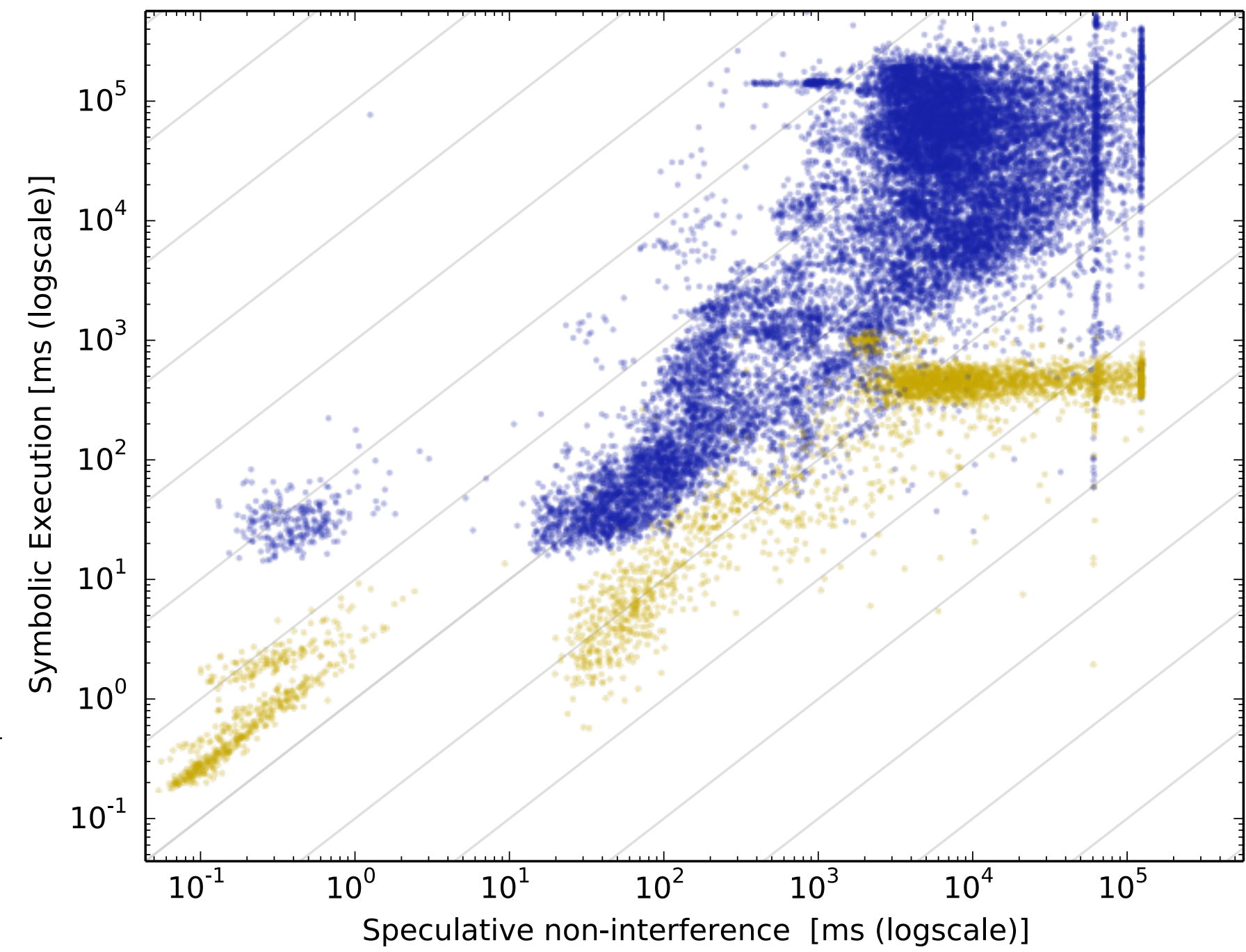
```

rax <- A_size
rcx <- x
jmp rcx >= rax, END
L1:  load rax, A + rcx
load rax, B + rax
END:
    
```



Results

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Speculative non-interference

Spectector



Formally!

Program P is **speculatively non-interferent** for prediction oracle O if

```

mov    rax, A_size
mov    rcx, x
cmp    rcx, rax
jae    END
L1:    mov    rax, A[rcx]

```

x64 to μ ASM

```

rax <- A_size
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jmp rcx >= rax, END
L1:  load rax, A + rcx
    load rax, B + rax
END:

```

For all P_{non}



Spectector



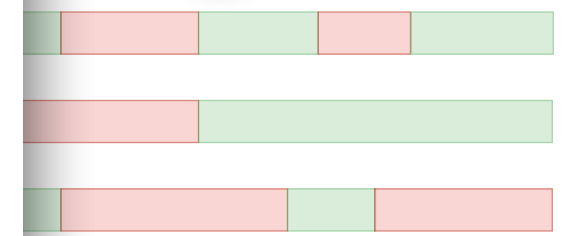
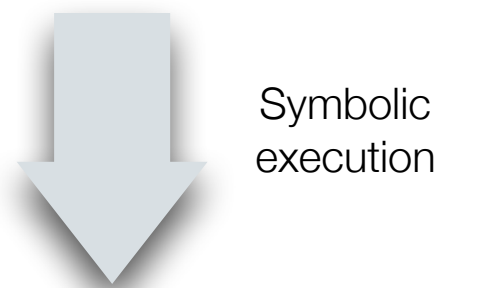
<https://spectector.github.io>



marco.guarnieri@imdea.org



@MarcoGuarnier1



Results

Ex.	Vcc																					
	UNP		FEN 19.15																			
	-00	-02	-00	-02																		
01	o	o	•	•	o	o	o	o	•	•	o	o	•	•	o	o	•	•	o	o	•	•
02	o	o	•	•	o	o	o	o	•	•	o	o	•	•	o	o	•	•	o	o	•	•
03	o	o	•	o	o	o	o	o	•	•	o	o	•	•	o	o	•	•	o	o	•	•
04	o	o	o	o	o	o	o	o	•	•	o	o	•	•	o	o	•	•	o	o	•	•
05	o	o	•	o	o	o	o	o	•	•	o	o	•	•	o	o	•	•	o	o	•	•
06	o	o	o	o	o	o	o	o	•	•	o	o	•	•	o	o	•	•	o	o	•	•
07	o	o	o	o	o	o	o	o	•	•	o	o	•	•	o	o	•	•	o	o	•	•
08	o	•	o	•	o	•	o	•	•	•	o	•	•	•	o	•	•	•	o	•	•	•
09	o	o	o	o	o	o	o	o	•	•	o	o	•	•	o	o	•	•	o	o	•	•
10	o	o	o	o	o	o	o	o	•	•	o	o	•	•	o	o	•	•	o	o	•	•
11	o	o	o	o	o	o	o	o	•	•	o	o	•	•	o	o	•	•	o	o	•	•
12	o	o	o	o	•	•	o	o	•	•	o	o	•	•	o	o	•	•	o	o	•	•
13	o	o	o	o	o	o	o	o	•	•	o	o	•	•	o	o	•	•	o	o	•	•
14	o	o	o	o	•	•	o	o	•	•	o	o	•	•	o	o	•	•	o	o	•	•
15	o	o	o	o	o	o	o	o	•	•	o	o	•	•	o	o	•	•	o	o	•	•

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